Higher Education Savings Plan
Compliance Schedule
November 3, 2026

Compliance Schedule: Calendar Year 2026-Calendar Q1

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review (qtr. & annual)	Feb 15	Feb 11
2.	Annual Report to Governor	Feb 1	Jan 16
3.	Confirmation of 65 bps expense for SD-D accts	Feb 28	Feb 24
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	Mar 31	Mar 31
5.	Review of VPD insurance certificates	Mar 31	Feb 18
6.	Review CollegeAccess financials	Mar 31	Mar 16
7.	Annual Audit Completed	Mar 31	Mar 26

Compliance Schedule: Calendar Year 2026-Calendar Q2

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review	May 15	May 6
2.	Review Virtus financials	May 31	June 1

Compliance Schedule: Calendar Year 2026-Calendar Q3

	Required Action	Target Date	Date Completed
1.	VPD to provide any recommendations for fund and asset allocation changes	July 1 or other agreed-upon time	June 11
2.	Quarterly conference call and performance review with VPD	Aug 15	Aug 19
3.	Wire transfer of scholarship funds from VPD to Dakota Corps Scholarship Fd.	July 21	July 21
4.	VPD pay Scholarship Admin. (BOR)	July 21	Aug 11
5.	Council vote to approve independent auditor for the current calendar year	August SDIC meeting	Aug 26
6.	Communication to VPD regarding approval of auditor	Within 2 days of Council approval	Aug 27
7.	VPD reimbursed Council for expenses (SDIO salary, conference, supplies)	August 31	Aug 11
8.	Council votes to approve proposed fund & asset allocation changes	August SDIC meeting	Aug 26
9.	Communication to VPD regarding approval of fund & asset alloc changes	Within 2 days of Council approval	Aug 27

Compliance Schedule: Calendar Year 2026-Calendar Q4

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review	Nov 15	Nov 18 scheduled
2.	Review IPS	Dec 31	