

Higher Education Savings Plan
Compliance Schedule
January 15, 2024

Compliance Schedule: Calendar Year 2023-Calendar Q2

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review	May 15	May 10
2.	Review Virtus financials	May 31	May 30

Compliance Schedule: Calendar Year 2023-Calendar Q3

	Required Action	Target Date	Date Completed
1.	VPD to provide any recommendations for fund and & asset allocation changes	July 1 or other agreed-upon time	June 26
2.	Quarterly conference call and performance review with VPD	Aug 15	Aug 3
3.	Wire transfer of scholarship funds from VPD to Dakota Corps Scholarship Fd.	July 21	July 13
4.	VPD pay Scholarship Admin. (BOR)	July 21	Aug 1
5.	Council vote to approve independent auditor for the current calendar year	August SDIC meeting	Aug 7
6.	Communication to VPD regarding approving of auditor	Within 2 days of Council approval	Aug 7
7.	VPD reimbursed Council for expenses (SDIO salary, conference, supplies)	August 31	Aug 8
8.	Council votes to approve proposed fund & asset allocation changes	August SDIC meeting	Aug 7
9.	Communication to VPD regarding approval of fund & asset alloc changes	Within 2 days of Council approval	Aug 7

Compliance Schedule: Calendar Year 2023-Calendar Q4

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review	Nov 15	Nov 14

Compliance Schedule: Calendar Year 2024-Calendar Q1

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review (qtr. & annual)	Feb 15	Scheduled 2/6/24
2.	Annual Report to Governor	Feb 1	Jan 18
3.	Confirmation of 65 bps expense for SD-D accts	Feb 28	
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	Mar 31	
5.	Review of VPD insurance certificates	Mar 31	
6.	Review CollegeAccess financials	Mar 31	
7.	Annual Audit Completed	Mar 31	