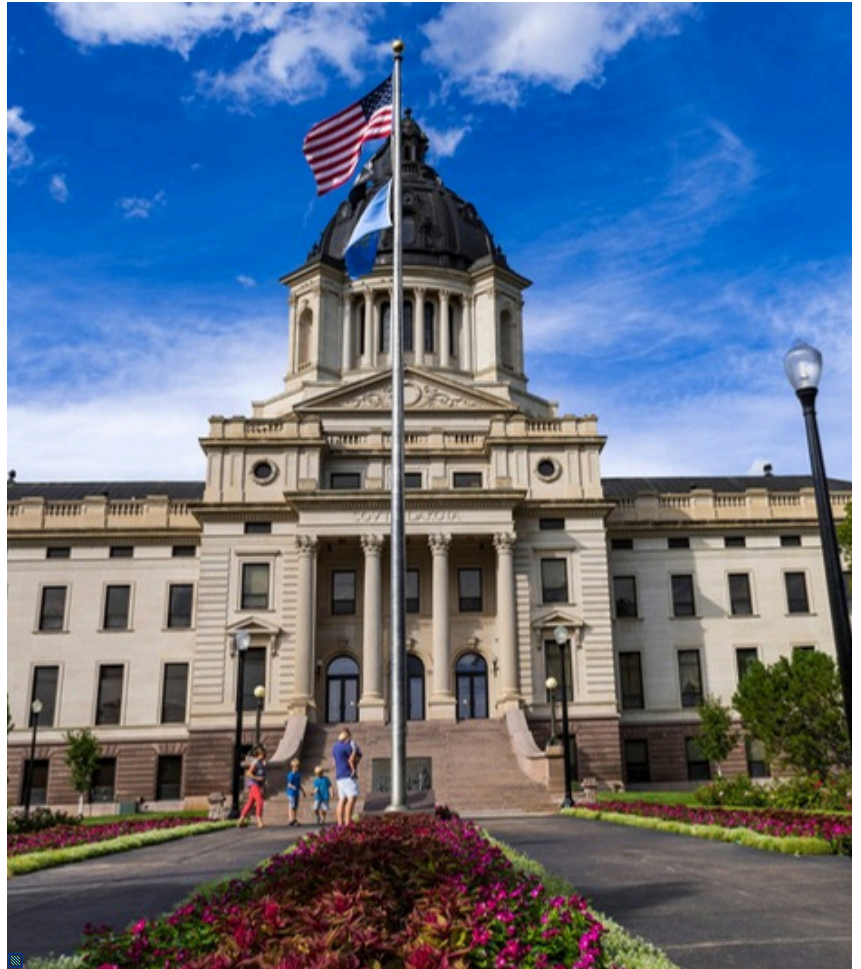


JUNE 25, 2024

# INTERNAL CONTROL QUARTERLY REPORT

PREPARED FOR THE STATE BOARD OF  
INTERNAL CONTROL



PREPARED BY

Allysen Kerr |  
Statewide Internal  
Control Officer



# Executive Summary

## Introduction

The State of South Dakota Internal Control Framework has been successfully rolled out to eighteen agencies: the Bureau of Finance & Management, the Department of Revenue, the Department of Agriculture, the Department of Game, Fish & Parks, the Department of Tribal Relations, the Department of Tourism, the Department of Corrections, the Department of the Military, School and Public Lands, the Office of the State Auditor, the Department of Public Safety, the Department of Social Services, the Department of Health, the Office of the State Treasurer, the Department of Education, the Department of Human Services, the Department of Labor, and the Board of Regents. These agencies have documented their objectives, risks and controls which are subject to periodic revision.

Due to a merger between the Department of Agriculture and the Department of Environment and Natural Resources, work done at the Department of Agriculture is temporarily suppressed. We will resume this work as well as refresh it to reflect the new agency when the framework is rolled out to Department of Agriculture and Natural Resources.

## Our Intent

The Statewide Internal Control Framework enables the State and its individual Agencies to implement an adaptive, effective internal control system with the intent to continually improve accountability in achieving Agency and State objectives. The Framework consists of a set of Standards which provide guidance for establishing, maintaining, assessing, and reporting effective internal controls across the State.

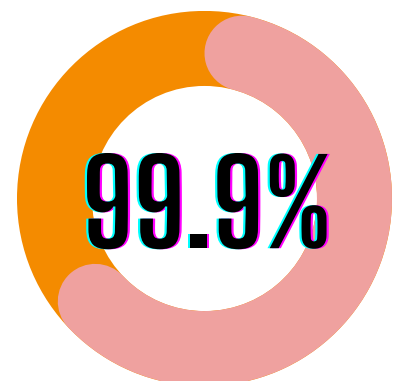
## Strategy & Implementation

The Framework provides for the need to monitor, test and report control deficiencies as part of the first line of defense activities. This report details the results and findings as part of the self-assessments performed by the control owners in each division. The following activities were performed as part of the self-assessment:

- Control owners and Agency Internal Control Officers completed a review of their objectives, risks, and controls to ensure their matrix was up to date. This includes changing risks, risk ratings, objectives, and prioritizations where necessary;
- Control owners completed a self-assessment/attestation for their respective controls validating control effectiveness;
- Control owners are documenting remediation plans for control deficiencies where applicable;
- Control owners received training on attestation completion;
- The Statewide Internal Control Officer reviewed the key information reported by all relevant parties and provided guidance where necessary.

### Control Attestation Summary

Overall, we had a 99.9% response rate on the control owner attestations this quarter.





# STATEWIDE SUMMARY

Allysen Kerr, Statewide Internal Control Officer

Last Review Period: April 5, 2024 | Date of Review: June 25, 2024

## Statewide

Metric	Details	Quarter 4, FY 24		Quarter 3, FY 24		Quarter 2, FY 24		Quarter 1, FY 24	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	657	7.9%	364	8.8%	326	8.6%	300	8.9%
	Technology	466	5.6%	174	4.2%	150	3.9%	141	4.2%
	Operational	4558	54.6%	2331	56.1%	2151	56.5%	1925	57.0%
	Compliance	1670	20.0%	808	19.4%	738	19.4%	613	18.1%
	Financial	904	10.8%	453	10.9%	426	11.2%	386	11.4%
	Fraud	99	1.2%	28	0.7%	19	0.5%	14	0.4%
		<b>8354</b>	<b>100%</b>	<b>4158</b>	<b>100%</b>	<b>3810</b>	<b>100%</b>	<b>3379</b>	<b>100%</b>
Risk by Priority	Low	1536	18.4%	1030	24.8%	995	26.1%	931	27.6%
	Medium	5189	62.1%	2482	59.7%	2249	59.0%	1962	58.1%
	High	1310	15.7%	494	11.9%	425	11.2%	357	10.6%
	Critical	319	3.8%	152	3.7%	141	3.7%	129	3.8%
		<b>8354</b>	<b>100%</b>	<b>4158</b>	<b>100%</b>	<b>3810</b>	<b>100%</b>	<b>3379</b>	<b>100%</b>
Control Owner Self-Assessments	Completed On-time	99.9%		98%		100%		98.6%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks	Public Perception	2	2.5%	3	9.1%	0	0.0%	3	9.4%
	Technology	14	17.3%	3	9.1%	0	0.0%	1	3.1%
	Operational	35	43.2%	19	57.6%	15	62.5%	15	46.9%
	Compliance	14	17.3%	4	12.1%	9	37.5%	11	34.4%
	Financial	14	17.3%	4	12.1%	0	0.0%	2	6.3%
	Fraud	2	2.5%	0	0.0%	0	0.0%	0	0.0%
		<b>81</b>	<b>100%</b>	<b>33</b>	<b>100%</b>	<b>24</b>	<b>100%</b>	<b>32</b>	<b>100%</b>
Total Open Control Issues		0		0		0		0	
Preventive vs. Detective Controls		72.7% vs. 27.3%		59.5% vs. 40.5%		56.7% vs. 43.3%		54.2% vs. 45.8%	
Controls by Frequency	Ad-Hoc	1105	49.2%	524	52.7%	452	51.1%	397	49.9%
	Daily	331	14.7%	105	10.6%	96	10.8%	94	11.8%
	Weekly	68	3.0%	24	2.4%	22	2.5%	20	2.5%
	Bi-Weekly	16	0.7%	6	0.6%	6	0.7%	6	0.8%
	Monthly	216	9.6%	118	11.9%	109	12.3%	101	12.7%
	Bi-Monthly	12	0.5%	6	0.6%	6	0.7%	6	0.8%
	Quarterly	61	2.7%	30	3.0%	28	3.2%	22	2.8%
	Semi-Annually	60	2.7%	20	2.0%	20	2.3%	18	2.3%
	Annually	378	16.8%	161	16.2%	146	16.5%	132	16.6%
		<b>2247</b>	<b>100%</b>	<b>994</b>	<b>100%</b>	<b>885</b>	<b>100%</b>	<b>796</b>	<b>100%</b>

## Bureau of Finance and Management

Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Technology	13	7.0%	13	7.0%	13	7.0%	13	7.0%
	Operational	123	65.8%	123	65.8%	123	65.8%	123	65.8%
	Compliance	27	14.4%	27	14.4%	27	14.4%	27	14.4%
	Financial	24	12.8%	24	12.8%	24	12.8%	24	12.8%
	Fraud	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>187</b>	<b>100%</b>	<b>187</b>	<b>100%</b>	<b>187</b>	<b>100%</b>	<b>187</b>	<b>100%</b>
Risk by Priority	Low	52	27.8%	52	27.8%	52	27.8%	52	27.8%
	Medium	109	58.3%	109	58.3%	109	58.3%	109	58.3%
	High	25	13.4%	25	13.4%	25	13.4%	25	13.4%
	Critical	1	0.5%	1	0.5%	1	0.5%	1	0.5%
		<b>187</b>	<b>100%</b>	<b>187</b>	<b>100%</b>	<b>187</b>	<b>100%</b>	<b>187</b>	<b>100%</b>
Control Owner Self-Assessments	Completed On-time	100%		100%		100%		100%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks	Public Perception	0	0%	0	0%	0	0.0%	0	0%
	Technology	0	0%	0	0%	0	0.0%	0	0%
	Operational	0	0%	0	0%	2	100.0%	0	0%
	Compliance	0	0%	0	0%	0	0.0%	0	0%
	Financial	0	0%	0	0%	0	0.0%	0	0%
	Fraud	0	0%	0	0%	0	0.0%	0	0%
		<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>2</b>	<b>100%</b>	<b>0</b>	<b>0%</b>
Total Open Control Issues		0		0		0		0	
Control Issues by Division	BFM Budget Analysis	0	0%	0	0%	0	0.0%	0	0%
	BFM EMFO	0	0%	0	0%	1	50.0%	0	0%
	BFM Financial Reporting	0	0%	0	0%	0	0.0%	0	0%
	BFM Financial Systems and Ops	0	0%	0	0%	1	50.0%	0	0%
	BFM Internal Controls	0	0%	0	0%	0	0.0%	0	0%
	BFM State Economist	0	0%	0	0%	0	0.0%	0	0%
Controls with Repeat Issues		0		0		0		0	
Preventive vs. Detective Controls		67.2% vs. 32.8%		67.2% vs. 32.8%		67.2% vs. 32.8%		67.2% vs. 32.8%	
Controls by Frequency	Ad-Hoc	32	52.5%	32	52.5%	32	52.5%	32	52.5%
	Daily	8	13.1%	8	13.1%	8	13.1%	8	13.1%
	Weekly	1	1.6%	1	1.6%	1	1.6%	1	1.6%
	Bi-Weekly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Monthly	4	6.6%	4	6.6%	4	6.6%	4	6.6%
	Bi-Monthly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Quarterly	3	4.9%	3	4.9%	3	4.9%	3	4.9%
	Semi-Annually	1	1.6%	1	1.6%	1	1.6%	1	1.6%
	Annually	12	19.7%	12	19.7%	12	19.7%	12	19.7%
		<b>61</b>	<b>100%</b>	<b>61</b>	<b>100%</b>	<b>61</b>	<b>100%</b>	<b>61</b>	<b>100%</b>



# REVENUE

**Bob Adams: Deputy Director of Administration**

Last review period: December 21, 2023 | Date of review: June 25, 2024

## Department of Revenue

Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	40	14.1%	40	14.4%	40	14.4%	40	14.4%
	Technology	27	9.5%	27	9.7%	27	9.7%	27	9.7%
	Operational	83	29.3%	81	29.1%	81	29.1%	81	29.1%
	Compliance	73	25.8%	72	25.9%	72	25.9%	72	25.9%
	Financial	55	19.4%	55	19.8%	55	19.8%	55	19.8%
	Fraud	5	1.8%	3	1.1%	3	1.1%	3	1.1%
		<b>283</b>	<b>100%</b>	<b>278</b>	<b>100%</b>	<b>278</b>	<b>100%</b>	<b>278</b>	<b>100%</b>
Risk by Priority	Low	79	27.9%	79	28.4%	79	28.4%	79	28.4%
	Medium	132	46.6%	131	47.1%	131	47.1%	131	47.1%
	High	39	13.8%	37	13.3%	37	13.3%	37	13.3%
	Critical	33	11.7%	31	11.2%	31	11.2%	31	11.2%
		<b>283</b>	<b>100%</b>	<b>278</b>	<b>100%</b>	<b>278</b>	<b>100%</b>	<b>278</b>	<b>100%</b>
Control Owner Self-Assessments	Completed On-time	100%		100%		100%		100%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks	Public Perception	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Technology	1	14.3%	0	0.0%	1	100.0%	0	0.0%
	Operational	1	14.3%	0	0.0%	0	0.0%	2	50.0%
	Compliance	3	42.9%	1	100.0%	0	0.0%	2	50.0%
	Financial	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Fraud	2	28.6%	0	0.0%	0	0.0%	0	0.0%
		<b>7</b>	<b>100%</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>100%</b>	<b>4</b>	<b>100%</b>
Total Open Control Issues		0		0		0		0	
Control Issues by Division	DOR Administration	1	14.3%	1	100.0%	0	0.0%	3	75.0%
	DOR Audit	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOR Business Tax	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOR Gaming	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOR Legal	1	14.3%	0	0.0%	1	100.0%	1	25.0%
	DOR Lottery	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOR Motor Vehicles	5	71.4%	0	0.0%	0	0.0%	0	0.0%
	DOR Property Taxes	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOR Special Taxes	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>0</b>	<b>0.0%</b>	<b>0</b>	<b>0.0%</b>	<b>0</b>	<b>0.0%</b>	<b>0</b>	<b>0.0%</b>
Controls with Repeat Issues		0		0		0		0	
Preventive vs. Detective Controls		34.3% vs. 65.7%		34.7% vs. 65.3%		34.7% vs. 65.3%		34.7% vs. 65.3%	
Controls by Frequency	Ad-Hoc	68	38.2%	67	38.7%	67	38.7%	67	38.7%
	Daily	25	14.0%	25	14.5%	25	14.5%	25	14.5%
	Weekly	6	3.4%	6	3.5%	6	3.5%	6	3.5%
	Bi-Weekly	5	2.8%	5	2.9%	5	2.9%	5	2.9%
	Monthly	38	21.3%	36	20.8%	36	20.8%	36	20.8%
	Bi-Monthly	3	1.7%	3	1.7%	3	1.7%	3	1.7%
	Quarterly	6	3.4%	6	3.5%	6	3.5%	6	3.5%
	Semi-Annually	4	2.2%	2	1.2%	2	1.2%	2	1.2%
	Annually	23	12.9%	23	13.3%	23	13.3%	23	13.3%
		<b>178</b>	<b>100%</b>	<b>173</b>	<b>100%</b>	<b>173</b>	<b>100%</b>	<b>173</b>	<b>100%</b>



TOURISM INDUSTRY

# TOURISM

**Wanda Goodman: Deputy Secretary**

Last review period: December 21, 2023 | Date of review: June 25, 2024

## Department of Tourism

Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	16	15.7%	16	15.7%	16	15.7%	16	15.7%
	Technology	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Operational	76	74.5%	76	74.5%	76	74.5%	76	74.5%
	Compliance	4	3.9%	4	3.9%	4	3.9%	4	3.9%
	Financial	6	5.9%	6	5.9%	6	5.9%	6	5.9%
	Fraud	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>102</b>	<b>100%</b>	<b>102</b>	<b>100%</b>	<b>102</b>	<b>100%</b>	<b>102</b>	<b>100%</b>
Risk by Priority	Low	58	56.9%	58	56.9%	58	56.9%	58	56.9%
	Medium	34	33.3%	34	33.3%	34	33.3%	34	33.3%
	High	5	4.9%	5	4.9%	5	4.9%	5	4.9%
	Critical	5	4.9%	5	4.9%	5	4.9%	5	4.9%
		<b>102</b>	<b>100%</b>	<b>102</b>	<b>100%</b>	<b>102</b>	<b>100%</b>	<b>102</b>	<b>100%</b>
Control Owner Self-Assessments	Completed On-time	100%		100%		100%		100%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks	Public Perception	0	0%	0	0%	0	0.0%	0	0.0%
	Technology	0	0%	0	0%	0	0.0%	0	0.0%
	Operational	0	0%	0	0%	1	100.0%	1	100.0%
	Compliance	0	0%	0	0%	0	0.0%	0	0.0%
	Financial	0	0%	0	0%	0	0.0%	0	0.0%
	Fraud	0	0%	0	0%	0	0.0%	0	0.0%
		<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>1</b>	<b>100%</b>	<b>1</b>	<b>100%</b>
Total Open Control Issues		0		0		0		0	
Control Issues by Division	TOUR Arts	0	0%	0	0%	1	100.0%	1	100.0%
	TOUR Tourism	0	0%	0	0%	0	0.0%	0	0.0%
Controls with Repeat Issues		0		0		0		0	
Preventive vs. Detective Controls		20% vs. 80%		20% vs. 80%		20% vs. 80%		20% vs. 80%	
Controls by Frequency	Ad-Hoc	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Daily	2	20.0%	2	20.0%	2	20.0%	2	20.0%
	Weekly	1	10.0%	1	10.0%	1	10.0%	1	10.0%
	Bi-Weekly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Monthly	3	30.0%	3	30.0%	3	30.0%	3	30.0%
	Bi-Monthly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Quarterly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Semi-Annually	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Annually	4	40.0%	4	40.0%	4	40.0%	4	40.0%
		<b>10</b>	<b>100%</b>	<b>10</b>	<b>100%</b>	<b>10</b>	<b>100%</b>	<b>10</b>	<b>100%</b>



# TRIBAL RELATIONS

**Nicole Schneider: Senior Policy Analyst**

Last review period: December 21, 2023 | Date of review: June 25, 2024

## Department of Tribal Relations

Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	16	12.9%	7	12.7%	7	12.7%	7	12.7%
	Technology	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Operational	67	54.0%	32	58.2%	32	58.2%	32	58.2%
	Compliance	6	4.8%	1	1.8%	1	1.8%	1	1.8%
	Financial	35	28.2%	15	27.3%	15	27.3%	15	27.3%
	Fraud	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>124</b>	<b>100%</b>	<b>55</b>	<b>100%</b>	<b>55</b>	<b>100%</b>	<b>55</b>	<b>100%</b>
Risk by Priority	Low	52	41.9%	23	41.8%	23	41.8%	23	41.8%
	Medium	63	50.8%	28	50.9%	28	50.9%	28	50.9%
	High	9	7.3%	4	7.3%	4	7.3%	4	7.3%
	Critical	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>124</b>	<b>100%</b>	<b>55</b>	<b>100%</b>	<b>55</b>	<b>100%</b>	<b>55</b>	<b>100%</b>
Control Owner Self-Assessments	Completed On-time	100%		100%		100%		100%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks	Public Perception	0	0.0%	0	0%	0	0%	0	0%
	Technology	0	0.0%	0	0%	0	0%	0	0%
	Operational	1	100.0%	0	0%	0	0%	0	0%
	Compliance	0	0.0%	0	0%	0	0%	0	0%
	Financial	0	0.0%	0	0%	0	0%	0	0%
	Fraud	0	0.0%	0	0%	0	0%	0	0%
		<b>1</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>
Total Open Control Issues		0		0		0		0	
Control Issues by Division	TRIB Tribal Relations	1	100.0%	0	0%	0	0%	0	0%
Controls with Repeat Issues		0		0		0		0	
Preventive vs. Detective Controls		52.4% vs. 47.6%		41.7% vs. 58.3%		41.7% vs. 58.3%		41.7% vs. 58.3%	
Controls by Frequency	Ad-Hoc	8	38.1%	4	33.3%	4	33.3%	4	33.3%
	Daily	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Weekly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Bi-Weekly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Monthly	3	14.3%	3	25.0%	3	25.0%	3	25.0%
	Bi-Monthly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Quarterly	1	4.8%	1	8.3%	1	8.3%	1	8.3%
	Semi-Annually	2	9.5%	2	16.7%	2	16.7%	2	16.7%
	Annually	7	33.3%	2	16.7%	2	16.7%	2	16.7%
		<b>21</b>	<b>100%</b>	<b>12</b>	<b>100%</b>	<b>12</b>	<b>100%</b>	<b>12</b>	<b>100%</b>





# CORRECTIONS

**Danna Humig: Deputy Director of Finance**

Last review period: December 21, 2023 | Date of review: June 25, 2024

## Department of Corrections

Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	22	6.1%	22	6.1%	22	6.1%	19	5.4%
	Technology	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Operational	254	70.4%	254	70.4%	254	70.4%	248	70.9%
	Compliance	44	12.2%	44	12.2%	44	12.2%	42	12.0%
	Financial	41	11.4%	41	11.4%	41	11.4%	41	11.7%
	Fraud	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>361</b>	<b>100%</b>	<b>361</b>	<b>100%</b>	<b>361</b>	<b>100%</b>	<b>350</b>	<b>100%</b>
Risk by Priority	Low	140	38.8%	140	38.8%	140	38.8%	140	40.0%
	Medium	190	52.6%	190	52.6%	190	52.6%	190	54.3%
	High	25	6.9%	25	6.9%	25	6.9%	19	5.4%
	Critical	6	1.7%	6	1.7%	6	1.7%	1	0.3%
		<b>361</b>	<b>100%</b>	<b>361</b>	<b>100%</b>	<b>361</b>	<b>100%</b>	<b>350</b>	<b>100%</b>
Control Owner Self-Assessments	Completed On-time	100%		100%		92.9%		100%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks	Public Perception	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Technology	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Operational	1	100.0%	2	100.0%	4	100.0%	2	100.0%
	Compliance	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Financial	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Fraud	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>1</b>	<b>100%</b>	<b>2</b>	<b>100%</b>	<b>4</b>	<b>100%</b>	<b>2</b>	<b>100%</b>
Total Open Control Issues		0		0		0		0	
Control Issues by Division	DOC Administration	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOC Classification & Programming	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOC Correctional Behavioral Health	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOC Finance	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOC Grants	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOC Inmate Records	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOC Juvenile	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOC Parole	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOC Pheasantland Industries	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	DOC Security	1	100.0%	2	100.0%	4	100.0%	2	100.0%
Controls with Repeat Issues		1		0		0		0	
Preventive vs. Detective Controls		61.3% vs. 38.7%		61.3% vs. 38.7%		61.3% vs. 38.7%		60% vs. 40%	
Controls by Frequency	Ad-Hoc	33	45.2%	33	45.2%	33	45.2%	25	41.7%
	Daily	18	24.7%	18	24.7%	18	24.7%	17	28.3%
	Weekly	2	2.7%	2	2.7%	2	2.7%	1	1.7%
	Bi-Weekly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Monthly	14	19.2%	14	19.2%	14	19.2%	11	18.3%
	Bi-Monthly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Quarterly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Semi-Annually	1	1.4%	1	1.4%	1	1.4%	1	1.7%
	Annually	5	6.8%	5	6.8%	5	6.8%	5	8.3%
		<b>73</b>	<b>100%</b>	<b>73</b>	<b>100%</b>	<b>73</b>	<b>100%</b>	<b>60</b>	<b>100%</b>

## School and Public Lands

Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	3	3.8%	3	3.8%	3	3.8%	3	3.8%
	Technology	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Operational	54	69.2%	54	69.2%	54	69.2%	54	69.2%
	Compliance	13	16.7%	13	16.7%	13	16.7%	13	16.7%
	Financial	8	10.3%	8	10.3%	8	10.3%	8	10.3%
	Fraud	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>78</b>	<b>100%</b>	<b>78</b>	<b>100%</b>	<b>78</b>	<b>100%</b>	<b>78</b>	<b>100%</b>
Risk by Priority	Low	35	44.9%	35	44.9%	35	44.9%	35	44.9%
	Medium	40	51.3%	40	51.3%	40	51.3%	40	51.3%
	High	2	2.6%	2	2.6%	2	2.6%	2	2.6%
	Critical	1	1.3%	1	1.3%	1	1.3%	1	1.3%
		<b>78</b>	<b>100%</b>	<b>78</b>	<b>100%</b>	<b>78</b>	<b>100%</b>	<b>78</b>	<b>100%</b>
Control Owner Self-Assessments	Completed On-time	100%		100%		100%		100%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks		<b>Number</b>	<b>Percent</b>	<b>Number</b>	<b>Percent</b>	<b>Number</b>	<b>Percent</b>	<b>Number</b>	<b>Percent</b>
	Public Perception	0	0%	0	0%	0	0%	0	0%
	Technology	0	0%	0	0%	0	0%	0	0%
	Operational	0	0%	0	0%	0	0%	0	0%
	Compliance	0	0%	0	0%	0	0%	0	0%
	Financial	0	0%	0	0%	0	0%	0	0%
	Fraud	0	0%	0	0%	0	0%	0	0%
	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	
Total Open Control Issues		0		0		0		0	
Control Issues by Division	SPL Finance	0	0%	0	0%	0	0%	0	0%
	SPL Land Management	0	0%	0	0%	0	0%	0	0%
	SPL Oil, Gas & GIS	0	0%	0	0%	0	0%	0	0%
Controls with Repeat Issues		0		0		0		0	
Preventive vs. Detective Controls		25% vs. 75%		25% vs. 75%		25% vs. 75%		25% vs. 75%	
Controls by Frequency	Ad-Hoc	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Daily	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Weekly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Bi-Weekly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Monthly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Bi-Monthly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Quarterly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Semi-Annually	4	100.0%	4	100.0%	4	100.0%	4	100.0%
	Annually	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>4</b>	<b>100%</b>	<b>4</b>	<b>100%</b>	<b>4</b>	<b>100%</b>	<b>4</b>	<b>100%</b>



## Office of the State Auditor

Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Technology	9	14.5%	9	14.5%	9	14.5%	9	14.5%
	Operational	37	59.7%	37	59.7%	37	59.7%	37	59.7%
	Compliance	15	24.2%	15	24.2%	15	24.2%	15	24.2%
	Financial	1	1.6%	1	1.6%	1	1.6%	1	1.6%
	Fraud	0	0.0%	0	0.0%	0	0.0%	0	0.0%
		<b>62</b>	<b>100%</b>	<b>62</b>	<b>100%</b>	<b>62</b>	<b>100%</b>	<b>62</b>	<b>100%</b>
Risk by Priority	Low	13	21.0%	13	21.0%	13	21.0%	13	21.0%
	Medium	36	58.1%	36	58.1%	36	58.1%	36	58.1%
	High	2	3.2%	2	3.2%	2	3.2%	2	3.2%
	Critical	11	17.7%	11	17.7%	11	17.7%	11	17.7%
		<b>62</b>	<b>100%</b>	<b>62</b>	<b>100%</b>	<b>62</b>	<b>100%</b>	<b>62</b>	<b>100%</b>
Control Owner Self-Assessments	Completed On-time	100%		100%		100%		100%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks		<b>Number</b>	<b>Percent</b>	<b>Number</b>	<b>Percent</b>	<b>Number</b>	<b>Percent</b>	<b>Number</b>	<b>Percent</b>
	Public Perception	0	0%	0	0.0%	0	0%	0	0%
	Technology	0	0%	0	0.0%	0	0%	0	0%
	Operational	0	0%	2	100.0%	0	0%	0	0%
	Compliance	0	0%	0	0.0%	0	0%	0	0%
	Financial	0	0%	0	0.0%	0	0%	0	0%
Fraud	0	0%	0	0.0%	0	0%	0	0%	
		<b>0</b>	<b>0%</b>	<b>2</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>
Total Open Control Issues		0		0		0		0	
Control Issues by Division	OSA Accounting	0	0%	1	100.0%	0	0%	0	0%
	OSA Auditing	0	0%	0	0.0%	0	0%	0	0%
	OSA Payroll	0	0%	0	0.0%	0	0%	0	0%
Controls with Repeat Issues		0		0		0		0	
Preventive vs. Detective Controls		91.7% vs. 8.3%		91.7% vs. 8.3%		91.7% vs. 8.3%		91.7% vs. 8.3%	
Controls by Frequency	Ad-Hoc	9	75.0%	9	75.0%	9	75.0%	9	75.0%
	Daily	1	8.3%	1	8.3%	1	8.3%	1	8.3%
	Weekly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Bi-Weekly	1	8.3%	1	8.3%	1	8.3%	1	8.3%
	Monthly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Bi-Monthly	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Quarterly	1	8.3%	1	8.3%	1	8.3%	1	8.3%
	Semi-Annually	0	0.0%	0	0.0%	0	0.0%	0	0.0%
	Annually	0	0.0%	0	0.0%	0	0.0%	0	0.0%
			<b>12</b>	<b>100%</b>	<b>12</b>	<b>100%</b>	<b>12</b>	<b>100%</b>	<b>12</b>

# STATE TREASURER

**Jason Williams: Deputy State Treasurer**

Last review period: December 21, 2023 | Date of review: June 25, 2024

## Office of the State Treasurer

Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	3	4.5%	3	4.5%	3	4.5%	0	0%
	Technology	3	4.5%	3	4.5%	3	4.5%	0	0%
	Operational	38	56.7%	38	56.7%	38	56.7%	0	0%
	Compliance	15	22.4%	15	22.4%	15	22.4%	0	0%
	Financial	8	11.9%	8	11.9%	8	11.9%	0	0%
	Fraud	0	0.0%	0	0.0%	0	0.0%	0	0%
		<b>67</b>	<b>100%</b>	<b>67</b>	<b>100%</b>	<b>67</b>	<b>100%</b>	<b>0</b>	<b>0%</b>
Risk by Priority	Low	35	52.2%	35	52.2%	35	52.2%	0	0%
	Medium	24	35.8%	24	35.8%	24	35.8%	0	0%
	High	8	11.9%	8	11.9%	8	11.9%	0	0%
	Critical	0	0.0%	0	0.0%	0	0.0%	0	0%
		<b>67</b>	<b>100%</b>	<b>67</b>	<b>100%</b>	<b>67</b>	<b>100%</b>	<b>0</b>	<b>0%</b>
Control Owner Self-Assessments	Completed On-time	88.9%		100%		100%		0%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks	Public Perception	0	0.0%	0	0%	0	0%	0	0%
	Technology	0	0.0%	0	0%	0	0%	0	0%
	Operational	1	100.0%	0	0%	0	0%	0	0%
	Compliance	0	0.0%	0	0%	0	0%	0	0%
	Financial	0	0.0%	0	0%	0	0%	0	0%
	Fraud	0	0.0%	0	0%	0	0%	0	0%
		<b>1</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>
Total Open Control Issues		0		0		0		0	
Control Issues by Division	OST Treasury Management	1	100.0%	0	0%	0	0%	0	0%
	OST Unclaimed Property	0	0.0%	0	0%	0	0%	0	0%
Controls with Repeat Issues		0		0		0		0	
Preventive vs. Detective Controls		40% vs. 60%		40% vs. 60%		40% vs. 60%		0% vs. 0%	
Controls by Frequency	Ad-Hoc	35	79.5%	35	79.5%	35	79.5%	0	0%
	Daily	4	9.1%	4	9.1%	4	9.1%	0	0%
	Weekly	0	0.0%	0	0.0%	0	0.0%	0	0%
	Bi-Weekly	0	0.0%	0	0.0%	0	0.0%	0	0%
	Monthly	3	6.8%	3	6.8%	3	6.8%	0	0%
	Bi-Monthly	0	0.0%	0	0.0%	0	0.0%	0	0%
	Quarterly	0	0.0%	0	0.0%	0	0.0%	0	0%
	Semi-Annually	1	2.3%	1	2.3%	1	2.3%	0	0%
	Annually	1	2.3%	1	2.3%	1	2.3%	0	0%
		<b>44</b>	<b>100%</b>	<b>44</b>	<b>100%</b>	<b>44</b>	<b>100%</b>	<b>0</b>	<b>0%</b>



# HUMAN SERVICES

**Greg Evans: Audit Manager**

Last review period: December 21, 2023 | Date of review: June 25, 2024

## Department of Human Services

Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23	
		Number	Percent	Number	Percent	Number	Percent	Number	Percent
Risk by Type	Public Perception	26	5.9%	26	5.9%	0	0%	0	0%
	Technology	9	2.0%	9	2.0%	0	0%	0	0%
	Operational	239	53.8%	239	53.8%	0	0%	0	0%
	Compliance	125	28.2%	125	28.2%	0	0%	0	0%
	Financial	40	9.0%	40	9.0%	0	0%	0	0%
	Fraud	5	1.1%	5	1.1%	0	0%	0	0%
		<b>444</b>	<b>100%</b>	<b>444</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>
Risk by Priority	Low	66	14.9%	66	14.9%	0	0%	0	0%
	Medium	297	66.9%	297	66.9%	0	0%	0	0%
	High	69	15.5%	69	15.5%	0	0%	0	0%
	Critical	12	2.7%	12	2.7%	0	0%	0	0%
		<b>444</b>	<b>100%</b>	<b>444</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>
Control Owner Self-Assessments	Completed On-time	100%		100%		0%		0%	
Past Due Remediation Actions		0		0		0		0	
Total Control Issues for Critical and High Risks	Public Perception	0	0.0%	0	0.0%	0	0%	0	0%
	Technology	0	0.0%	0	0.0%	0	0%	0	0%
	Operational	2	40.0%	11	57.9%	0	0%	0	0%
	Compliance	3	60.0%	8	42.1%	0	0%	0	0%
	Financial	0	0.0%	0	0.0%	0	0%	0	0%
	Fraud	0	0.0%	0	0.0%	0	0%	0	0%
		<b>5</b>	<b>100%</b>	<b>19</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>
Total Open Control Issues		0		0		0		0	
Control Issues by Division	DHS Blind & Visually Impaired	0	0.0%	0	0.0%	0	0%	0	0%
	DHS Developmental Center	1	20.0%	1	5.3%	0	0%	0	0%
	DHS Developmental Disabilities	2	40.0%	12	63.2%	0	0%	0	0%
	DHS Finance, Budget & Admin	0	0.0%	0	0.0%	0	0%	0	0%
	DHS Guardianship & Legal	2	40.0%	0	0.0%	0	0%	0	0%
	DHS Long Term Services & Supports	0	0.0%	6	31.6%	0	0%	0	0%
	DHS Rehabilitation Services	0	0.0%	0	0.0%	0	0%	0	0%
Controls with Repeat Issues		3		0		0		0	
Preventive vs. Detective Controls		78.7% vs. 21.3%		78.7% vs. 21.3%		0% vs. 0%		0% vs. 0%	
Controls by Frequency	Ad-Hoc	55	61.8%	55	61.8%	0	0%	0	0%
	Daily	2	2.2%	2	2.2%	0	0%	0	0%
	Weekly	2	2.2%	2	2.2%	0	0%	0	0%
	Bi-Weekly	0	0.0%	0	0.0%	0	0%	0	0%
	Monthly	8	9.0%	8	9.0%	0	0%	0	0%
	Bi-Monthly	0	0.0%	0	0.0%	0	0%	0	0%
	Quarterly	6	6.7%	6	6.7%	0	0%	0	0%
	Semi-Annually	2	2.2%	2	2.2%	0	0%	0	0%
	Annually	14	15.7%	14	15.7%	0	0%	0	0%
			<b>89</b>	<b>100%</b>	<b>89</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>

Board of Regents										
Metric	Details	Quarter 4, FY 24		Quarter 2, FY 24		Quarter 4, FY23		Quarter 2, FY23		
		Number	Percent	Number	Percent	Number	Percent	Number	Percent	
Risk by Type	Public Perception	283	6.9%	0	0%	0	0%	0	0%	
	Technology	291	7.1%	0	0%	0	0%	0	0%	
	Operational	2167	53.0%	0	0%	0	0%	0	0%	
	Compliance	854	20.9%	0	0%	0	0%	0	0%	
	Financial	428	10.5%	0	0%	0	0%	0	0%	
	Fraud	69	1.7%	0	0%	0	0%	0	0%	
	<b>4092</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	
Risk by Priority	Low	474	11.6%	0	0%	0	0%	0	0%	
	Medium	2648	64.7%	0	0%	0	0%	0	0%	
	High	806	19.7%	0	0%	0	0%	0	0%	
	Critical	164	4.0%	0	0%	0	0%	0	0%	
	<b>4092</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0</b>	<b>0%</b>	
Control Owner Self-Assessments	Completed On-time	100%		0%		0%		0%		
Past Due Remediation Actions		0		0		0		0		
Total Control Issues for Critical and High Risks	Public Perception	2	3.0%	0	0%	0	0%	0	0%	
	Technology	13	19.7%	0	0%	0	0%	0	0%	
	Operational	29	43.9%	0	0%	0	0%	0	0%	
	Compliance	8	12.1%	0	0%	0	0%	0	0%	
	Financial	14	21.2%	0	0%	0	0%	0	0%	
	Fraud	0	0.0%	0	0%	0	0%	0	0%	
	<b>66</b>	<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0%</b>	
Total Open Control Issues		0		0		0		0		
Control Issues by Division	BHSU Academic Affairs	0	0.0%	0	0%	0	0%	0	0%	
	BHSU Athletics	1	1.5%	0	0%	0	0%	0	0%	
	BHSU Facilities	0	0.0%	0	0%	0	0%	0	0%	
	BHSU Finance, HR & Marketing	2	3.0%	0	0%	0	0%	0	0%	
	BHSU Foundation	0	0.0%	0	0%	0	0%	0	0%	
	BHSU IT	1	1.5%	0	0%	0	0%	0	0%	
	BHSU Research	0	0.0%	0	0%	0	0%	0	0%	
	BHSU Student Affairs	3	4.5%	0	0%	0	0%	0	0%	
	BOR Academic & Student Affairs	3	4.5%	0	0%	0	0%	0	0%	
	BOR Executive Director	0	0.0%	0	0%	0	0%	0	0%	
	BOR Finance	0	0.0%	0	0%	0	0%	0	0%	
	BOR General Counsel	0	0.0%	0	0%	0	0%	0	0%	
	BOR HR & Central Payroll	1	1.5%	0	0%	0	0%	0	0%	
	BOR Info & Gov't Relations	0	0.0%	0	0%	0	0%	0	0%	
	BOR RIS	7	10.4%	0	0%	0	0%	0	0%	
	DSU Academic Affairs	0	0.0%	0	0%	0	0%	0	0%	
	DSU Athletics	1	1.5%	0	0%	0	0%	0	0%	
	DSU Facilities	1	1.5%	0	0%	0	0%	0	0%	
	DSU Finance, HR & Marketing	0	0.0%	0	0%	0	0%	0	0%	
	DSU Foundation	18	26.9%	0	0%	0	0%	0	0%	
	DSU IT	4	6.0%	0	0%	0	0%	0	0%	
	DSU Research	0	0.0%	0	0%	0	0%	0	0%	
	DSU Student Affairs	0	0.0%	0	0%	0	0%	0	0%	
	NSU Academic Affairs	0	0.0%	0	0%	0	0%	0	0%	
	NSU Athletics	0	0.0%	0	0%	0	0%	0	0%	
	NSU Facilities	0	0.0%	0	0%	0	0%	0	0%	
	NSU Finance, HR & Marketing	1	1.5%	0	0%	0	0%	0	0%	
	NSU Foundation	0	0.0%	0	0%	0	0%	0	0%	
	NSU IT	1	1.5%	0	0%	0	0%	0	0%	
	NSU Research	0	0.0%	0	0%	0	0%	0	0%	
	NSU Student Affairs	3	4.5%	0	0%	0	0%	0	0%	
	SDBVI	3	4.5%	0	0%	0	0%	0	0%	
	SDSD	2	3.0%	0	0%	0	0%	0	0%	
	SDSMT Academic Affairs	0	0.0%	0	0%	0	0%	0	0%	
	SDSMT Athletics	0	0.0%	0	0%	0	0%	0	0%	
	SDSMT Finance, HR & Marketing	1	1.5%	0	0%	0	0%	0	0%	
	SDSMT Foundation	0	0.0%	0	0%	0	0%	0	0%	
	SDSMT IT	6	9.0%	0	0%	0	0%	0	0%	
	SDSMT Research	1	1.5%	0	0%	0	0%	0	0%	
	SDSMT Student Affairs	0	0.0%	0	0%	0	0%	0	0%	
	SDSU Academic Affairs	1	1.5%	0	0%	0	0%	0	0%	
	SDSU Athletics	0	0.0%	0	0%	0	0%	0	0%	
	SDSU Facilities	0	0.0%	0	0%	0	0%	0	0%	
	SDSU Finance, HR & Marketing	1	1.5%	0	0%	0	0%	0	0%	
	SDSU Foundation	0	0.0%	0	0%	0	0%	0	0%	
	SDSU IT	1	1.5%	0	0%	0	0%	0	0%	
	SDSU Research	0	0.0%	0	0%	0	0%	0	0%	
	SDSU Student Affairs	1	1.5%	0	0%	0	0%	0	0%	
	USD Academic Affairs	0	0.0%	0	0%	0	0%	0	0%	
	USD Athletics	0	0.0%	0	0%	0	0%	0	0%	
	USD Facilities	1	1.5%	0	0%	0	0%	0	0%	
	USD Finance, HR & Marketing	0	0.0%	0	0%	0	0%	0	0%	
	USD Foundation	0	0.0%	0	0%	0	0%	0	0%	
	USD IT	1	1.5%	0	0%	0	0%	0	0%	
	USD Research	0	0.0%	0	0%	0	0%	0	0%	
	USD Student Affairs	1	1.5%	0	0%	0	0%	0	0%	
	Controls with Repeat Issues		0		0		0		0	
	Preventive vs. Detective Controls		83.2% vs. 16.8%		0% vs. 0%		0% vs. 0%		0% vs. 0%	
	Controls by Frequency	Ad-Hoc	575	46.5%	0	0%	0	0%	0	0%
		Daily	224	18.1%	0	0%	0	0%	0	0%
		Weekly	44	3.6%	0	0%	0	0%	0	0%
		Bi-Weekly	10	0.8%	0	0%	0	0%	0	0%
		Monthly	96	7.8%	0	0%	0	0%	0	0%
		Bi-Monthly	6	0.5%	0	0%	0	0%	0	0%
		Quarterly	31	2.5%	0	0%	0	0%	0	0%
		Semi-Annually	38	3.1%	0	0%	0	0%	0	0%
Annually		212	17.2%	0	0%	0	0%	0	0%	
<b>1236</b>		<b>100%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0%</b>	<b>0%</b>	

# FRAMEWORK

# PROJECT UPDATE

## COMPLETE

- Internal Control Framework drafted and adopted
- Implementation training material developed and updated
- GRC Technology implemented
- Extended INRY contract for ServiceNow GRC administrative support
- Rolled out to eighteen agencies (BFM, DOR, SDDA, GFP, DTR, TOUR, DOC, DOM, SPL, OSA, DPS, DSS, DOH, OST, DOE, DHS, DLR & BOR)
- Presented Annual Work Plan to GOAC in August 2023



## IN PROGRESS

- Implementation finalization at the Department of Veterans Affairs
- FY25 Work Plan
- RFP posted for additional resources/consultants



## NOT STARTED

- Implementation at Bureau of Information & Telecommunications
- GOAC Annual Report on September 5th

