



**U. S. Department of Education
Office of Career, Technical, and Adult Education**

***The Carl D. Perkins Career and Technical
Education Act of 2006,
as amended by the
Strengthening Career and Technical Education
for the 21st Century Act
(Perkins V)***

**GUIDE FOR THE SUBMISSION
OF STATE PLANS**

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Dear Fellow Educators –

The *Strengthening Career and Technical Education for the 21st Century Act* (Perkins V) was signed into law by President Trump on July 31, 2018. This bipartisan measure reauthorizes the *Carl D. Perkins Career and Technical Education Act*, which provides roughly \$1.3 billion annually in Federal funding, administered by the U.S. Department of Education (Department), for career and technical education (CTE) for our nation’s youth and adults. It represents an important opportunity to advance the Department’s vision for our nation’s CTE system: *Expand opportunities for every student to explore, choose, and follow career and technical education programs of study and career pathways to earn credentials of value.* As stated by U.S. Secretary of Education DeVos regarding passage of the law, “Congress came together to expand educational pathways and opportunities, and give local communities greater flexibility in how best to prepare students for the jobs of today and tomorrow.”

Key provisions in the new law include:

- Requiring extensive collaboration among State- and local-level secondary, postsecondary, and business and industry partners to develop and implement high-quality CTE programs and programs of study;
- Introducing a needs assessment to align CTE programs to locally identified high-wage, high-skill, or in-demand career fields;
- Strengthening the CTE teacher and faculty pipeline, especially in hard-to-fill program areas;
- Promoting innovative practices to reshape where, when, how, and to whom CTE is delivered;
- Expanding the reach and scope of career guidance and academic counseling;
- Revising and expanding the list of special populations to be served and requiring States to set aside funds to recruit and serve these students in CTE programs; and
- Shifting responsibility to States to determine their performance measures, including new program quality measures, and related levels of performance to optimize outcomes for students.

As you embark on the development of new plans for CTE, it is our hope that you will use the opportunity afforded by the new law as a tool to “rethink” CTE in your State. You might consider asking:

- What is the right “split of funds” between secondary and postsecondary programs given today’s environment?
- How can “reserve” funds be used to incentivize “high-quality” CTE programs?
- How do you define and approve high-quality CTE programs?
- How can work-based learning, including “earn and learn programs” such as apprenticeships, be the rule and not the exception?
- How can you build the pipeline of teachers necessary to develop the pathways local communities need?
- What is the best role for employers in the development and delivery of CTE programs?
- How can you ensure that all students, regardless of background or circumstance, have access to high-quality CTE programs?

We hope you will arrive at big and bold goals for CTE in your State under this newly-authorized Perkins V statute. And, we look forward to working with you and helping you along the way.

Sincerely,



Scott Stump

Assistant Secretary for Career, Technical, and Adult Education

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INTRODUCTION AND SUBMISSION REQUIREMENTS

On July 31, 2018, the President signed into law the *Strengthening Career and Technical Education for the 21st Century Act* (Public Law 115-224) (Perkins V, the Act, or statute), which reauthorized and amended the *Carl D. Perkins Career and Technical Education Act of 2006*. The U. S. Department of Education's (Department) Office of Career, Technical, and Adult Education (OCTAE) developed this guide to assist each eligible agency in preparing and submitting a new State Plan under Perkins V and applicable Federal regulations.

The Department recognizes that it will take time for eligible agencies to update their career and technical education (CTE) systems, policies, and programs to align with the requirements of Perkins V. In particular, eligible agencies may not be ready to fully implement the new accountability provisions when Perkins V goes into effect at the beginning of the 2019-2020 school year. To provide for the orderly transition to Perkins V, consistent with Section 4 of the Act, the Secretary is delaying the implementation of certain new provisions until the start of Fiscal Year (FY) 2020. Eligible agencies will not be required to submit, among other things, State determined levels of performance until FY 2020 and may use FY 2019 to gather baseline data. In addition, eligible agencies that submit a 1-Year Transition Plan in FY 2019 will not be required to have their eligible recipients conduct and describe the results of a comprehensive needs assessment in their local applications for FY 2019. Although the Department is providing States with the flexibility to delay implementation of certain provisions in 2019, States are welcome begin implementing Perkins V during the 2019-2020 school year.

Options for the Submission of State Plans in FY 2019

Section 122(a)(1) of Perkins V requires each eligible agency desiring assistance for any fiscal year under the Act to prepare and submit a State plan to the Secretary. Each eligible agency must develop its State plan in consultation with key stakeholders, the Governor, and other State agencies with authority for CTE, consistent with section 122(c) of the Act.

To fulfill the obligation for a State plan, each eligible agency has the following options for how and when it will submit its Perkins V State Plan. It may submit—

- Option 1 – a 1-Year Transition Plan for FY 2019, which is the first fiscal year following the enactment of the law. Under this option, the eligible agency would submit its Perkins V State Plan in FY 2020 covering FY 2020-23.
- Option 2 – a Perkins V State Plan that covers 5 years, which includes a transition year in FY 2019 and then a 4-year period covering FY 2020-23.

Under either option, the eligible agency may choose to submit its State Plan as part of its Workforce Innovation and Opportunity Act (WIOA) Combined State Plan pursuant to section 122(b)(1) of the Act.

Tables 5 and 6, located at the end of this section, provide additional information on the implementation timelines for eligible agencies that submit a 1-Year Transition Plan versus a Perkins V State Plan in FY 2019.

Contents of Perkins V State Plans

State Plans under Perkins V must include the following items—

- A cover page, including a letter providing joint signature authority from the Governor;
- Narrative descriptions required by statute;
- Assurances, certifications, and other forms required by statute and/or applicable Federal regulations, including the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76;
- A budget for the upcoming year;
- State determined levels of performance (SDPLs).

Table 1 provides a comparison of the required items to be submitted for the 1-Year Transition Plan (Option 1) versus the Perkins V State Plan (Option 2) in FY 2019. Table 2 provides a comparison of the required items to be submitted for FY 2020 depending on whether the eligible agency chose Option 1 or Option 2 in FY 2019. As noted above, under both options, eligible agencies will not be required to submit, or held accountable to, State determined performance levels in FY 2019.

As noted with an asterisk on Table 1 (Option 2, D. Accountability for Results), eligible agencies that submit a Perkins V State plan in FY 2019 will submit their narrative accountability information and SDPL Form, along with any other State plan revisions, and a cover page in FY 2020. Please note that eligible agencies that submit a Perkins V State Plan in FY 2019 will have to complete the hearing, consultation, and public comment procedures identified in section 122(a) and (c) of Perkins V prior to submission of the plan in FY 2019. In addition, those eligible agencies must complete the consultation and public comment procedures required for the accountability system prior to submission to the “Accountability for Results” section of the State Plan in FY 2020. See section 113(b)(3)(B) of Perkins V and section D questions 3 and 4 in the Narrative Descriptions below.

As noted with an asterisk on Table 2 (Submitted a 1-Year Transition Plan in 2019, A. Plan Development and Coordination), eligible agencies that submit a one-year transition plan in FY2019 must ensure that their full Perkins V State Plan to be submitted in FY 2020, including the sections that were addressed during the transition year, go through the hearing, consultation and public comment procedures identified in section 122(a) and (c) of Perkins V prior to submission in FY 2020.

Table 1: Checklist of Items Required in Perkins V State Plans Submitted in FY 2019

State Plan Items	OPTION 1: 1-Year Transition Plan (FY 2019 only)	OPTION 2: Perkins V State Plan (FY 2019-2023)
I. Cover Page	Required, except for the Governor's signature	Required
II. Narrative Descriptions		
A. Plan Development and Coordination	Not required	Required
B. Program Administration and Implementation	Only Items B.2.a, b, c(i), d, and h; and B.3.a(i)(ii) and (iv)	Required
C. Fiscal Responsibility	Required, except for Item 1.c	Required
D. Accountability for Results	Not required	Not required*
III. Assurances, Certifications, and Other Forms	Required	Required
IV. Budget	Required	Required
V. State Determined Performance Levels	Not required	Not required

Table 2: Checklist of Items Required in Perkins V State Plans Submitted in FY 2020

State Plan Items	Submitted a 1-Year Transition Plan in 2019 (Option 1 from Table 1)	Submitted a Perkins V State Plan in 2019 (Option 2 from Table 1)
I. Cover Page	Required	Required
II. Narrative Descriptions		
A. Plan Development and Coordination	Required*	Revisions, if any
B. Program Administration and Implementation	Required in full	Revisions, if any
C. Fiscal Responsibility	Revisions, if any	Revisions, if any
D. Accountability for Results	Required	Required
III. Assurances, Certifications, and Other Forms	Revisions, if any	Revisions, if any
IV. Budget	Required	Required
V. State Determined Performance Levels	Required	Required

State Plans and Revisions in Subsequent Years

In subsequent years, each eligible agency must submit State plan revisions, if any, local formula allocations, and a budget for the upcoming fiscal year. Consistent with the requirements in section 113(b)(3)(A)(ii) and (iii) of Perkins V, an eligible agency may revise its SDPLs for the subsequent years covered by its Perkins V State Plan.

Timeline for the Issuance of Perkins V Grant Awards

Table 3 below provides the annual timeline for the Department to issue Perkins V grant awards. Congress appropriates funding for Perkins V State grants in two installments, one of which becomes available on July 1 and a second which becomes available on October 1. In each fiscal year, the Secretary will issue program memoranda with a reminder about the State plan submission requirements and due dates, and estimated State allocations, respectively, for the upcoming fiscal year.

Table 3: Timeline for the Issuance of Perkins V Grant Awards

Timeline	Actions
January	Department issues <i>Carl D. Perkins Career and Technical Education Act of 2006</i> , as amended by the <i>Strengthening Career and Technical Education for the 21st Century (Perkins V): Guide for the Submission of State Plans in 2019</i> and then program memoranda in subsequent years
No later than March ¹	Department issues estimated allocations for State’s Perkins V grant awards
Spring Date To Be Determined ²	Eligible agencies submit their Perkins V State Plans, revisions, if any, local formula allocations, and budgets for the upcoming year to the Department
June 30	Department approves State plans and/or revisions that meet the requirements of the statute for the upcoming year
July 1	Department issues 1st installment of State’s Perkins V grant awards for the upcoming year
October 1	Department issues supplemental (and final) installment of State’s Perkins V grant awards for the upcoming year

¹ The Department will publish estimated State allocations no later than March provided that an appropriation for the next fiscal year has been enacted into law by this time.

² Where practicable, the Department may determine that it does not need the full 120 day period allowable under section 122(f) of Perkins V to review State plans and/or revisions and issue Perkins grant awards on schedule on July 1.

Submission Instructions

Each eligible agency must submit its Perkins V State Plan and any annual revisions, including budgets and SDPLs, no later than close of business (5:00 pm EST) of each submission year on the date established by the Secretary in accordance with EDGAR 76.703(b)(3)(ii). Submissions must be entered into the Perkins V State Plan Portal at <https://perkins.ed.gov>.³ As in years past, the Department will provide eligible agencies with on-line training and technical assistance before and throughout the Perkins V State Plan submission process.

Approval of State Plans in 2019

Section 122(f)(1) of Perkins V requires the Secretary, not less than 120 days after the eligible agency submits its State Plan to approve such State Plan, or a revision of the plan under section 122(a)(2), including a revision of State determined performance levels in accordance with section 113(b)(3)(A)(ii), if the Secretary determines that the State has submitted State determined performance levels that meet the criteria established in section 113(b)(3), including the minimum requirements described in section 113(b)(3)(A)(i)(III). The Secretary shall not disapprove such plan unless the Secretary determines it does not meet the requirements of the Act pursuant to section 122(f)(1) and takes the disapproval actions described in section 122(f)(2) of the Act.

Publication Information

The Department plans to publish Perkins V State Plans, including State determined performance levels (SDPLs) on its Web site or through other means available.

For Further Information

For questions regarding the Perkins V State Plan submission requirements or process, an eligible agency should contact its Perkins Regional Coordinator (PRC) under the “Contact Us” tab on the Perkins Collaborative Resource Network (PCRN) at <https://cte.ed.gov>.

³ Hard copy submissions will not be accepted as the Department met the requirement of 2 CFR 76.720(b)(3) for the transition from hard copy to electronic submission of State plans and revisions during implementation of the *Carl D. Perkins Career and Technical Education Act of 2006* (Perkins IV).

Table 4: Timeline for Eligible Agencies Submitting 1-Year Transition Plans Covering FY 2019

Action	FY 2019 (July 1, 2019 – June 30, 2020)	FY 2020 (July 1, 2020 – June 30, 2021)	FY 2021 (July 1, 2021 – June 30, 2022)	FY 2022 (July 1, 2022 – June 30, 2023)	FY 2023 (July 1, 2023 – June 30, 2024)	FY 2024 (July 1, 2024 – June 30, 2025)
Submission of State Plan	Spring 2019 - Agency submits transition plan covering FY 2019	Spring 2020 – Agency submits 4-Year Plan covering FY 2020-23	Spring 2021 – Agency submits revisions, if any	Spring 2022 – Agency submits revisions, if any	Spring 2023 – Agency submits revisions, if any	Spring 2024 – Agency submits new 4-Year Plan covering FY 2024-27 or revisions to 4-Year Plan submitted in FY 2020
Submission/Revision of Performance Levels (as part of State Plan Submission)	N/A	Agency submits SDPLs for FY 20-23, including baseline levels	N/A	Agency revises, as appropriate, SDPLs for FY 2022-23	N/A	Agency submits SDPLs for FY 2024-27 (if new plan) or FY 2024 (if only revisions)
Receipt of Grant Award	July 1, 2019 – Agency receives first installment of FY 2019 grant award	July 1, 2020 – Agency receives first installment of FY 2020 grant award	July 1, 2021 – Agency receives first installment of FY 2020 grant award	July 1, 2022 – Agency receives first installment of FY 2020 grant award	July 1, 2023 – Agency receives first installment of FY 2020 grant award	July 1, 2024 – Agency receives first installment of FY 2020 grant award
	October 1, 2019 – Agency receives final installment of FY 2019 grant award	October 1, 2020 – Agency receives final installment of FY 2020 grant award	October 1, 2021 – Agency receives final installment of FY 2021 grant award	October 1, 2022 – Agency receives final installment of FY 2022 grant award	October 1, 2023 – Agency receives final installment of FY 2023 grant award	October 1, 2024 – Agency receives final installment of FY 2024 grant award

Table 5: Timeline for Eligible Agencies Submitting Perkins V State Plans Covering FY 2019-23

Action	FY 2019 (July 1, 2019 – June 30, 2020)	FY 2020 (July 1, 2020 – June 30, 2021)	FY 2021 (July 1, 2021 – June 30, 2022)	FY 2022 (July 1, 2022 – June 30, 2023)	FY 2023 (July 1, 2023 – June 30, 2024)	FY 2024 (July 1, 2024 – June 30, 2025)
Submission of State Plan	Spring 2019 - Agency submits State plan covering FY 2019-23	Spring 2020 – Agency submits revisions, if any	Spring 2021 – Agency submits revisions, if any	Spring 2022 – Agency submits revisions, if any	Spring 2023 – Agency submits revisions, if any	Spring 2024 – Agency submits new 4-Year Plan covering FY 2024-27 or revisions to 4-Year Plan submitted in FY 2020
Submission/Revision of Performance Levels (as part of State Plan Submission)	N/A	Agency submits SDPLs for FY 20-23, including baseline levels	N/A	Agency revises, as appropriate, SDPLs for FY 2022-23	N/A	Agency submits SDPLs for FY 2024-27 (if new plan) or FY 2024 (if only revisions)
Receipt of Grant Award	July 1, 2019 – Agency receives first installment of FY 2019 grant award	July 1, 2020 – Agency receives first installment of FY 2020 grant award	July 1, 2021 – Agency receives first installment of FY 2020 grant award	July 1, 2022 – Agency receives first installment of FY 2020 grant award	July 1, 2023 – Agency receives first installment of FY 2020 grant award	July 1, 2024 – Agency receives first installment of FY 2020 grant award
	October 1, 2019 – Agency receives final installment of FY 2019 grant award	October 1, 2020 – Agency receives final installment of FY 2020 grant award	October 1, 2021 – Agency receives final installment of FY 2021 grant award	October 1, 2022 – Agency receives final installment of FY 2022 grant award	October 1, 2023 – Agency receives final installment of FY 2023 grant award	October 1, 2024 – Agency receives final installment of FY 2024 grant award

**U. S. Department of Education
Office of Career, Technical, and Adult Education**

**Strengthening Career and Technical Education for the 21st Century Act
(Perkins V) State Plan**

I. COVER PAGE

A. State Name: _____

B. Eligible Agency (State Board) Submitting Plan on Behalf of State:

C. Person at, or officially designated by, the eligible agency, identified in Item B above, who is responsible for answering questions regarding this plan. This is also the person designated as the “authorized representative” for the agency.

1. Name: _____

2. Official Position Title: _____

3. Agency: _____

4. Telephone: () _____ 6. Email: _____

D. Individual serving as the State Director for Career and Technical Education:

■ Check here if this individual is the same person identified in Item C above and then ~~proceed~~ to Item E below.

1. Name: _____

2. Official Position Title: _____

3. Agency: _____

4. Telephone: () _____ 5. Email: _____

E. Type of Perkins V State Plan Submission - FY 2019 (*Check one*):

■ 1-Year Transition Plan (FY2019 only) – *if an eligible agency selects this option, it will ~~then~~ complete Items G and J*

■ State Plan (FY 2019-23) – *if an eligible agency selects this option, it will then complete ~~then~~ Items G, I, and J*

F. Type of Perkins V State Plan Submission - *Subsequent Years (Check one)*:⁴

- State Plan (FY 2020-23) - *if an eligible agency selects this option, it will then complete items H, I, and J*
- State Plan Revisions (Please indicate year of *submission*: _____) - *if an eligible agency selects this option, it will then complete Items H and J*

G. Submitting Perkins V State Plan as Part of a Workforce Innovation and Opportunities Act (WIOA) Combined State Plan – FY 2019 (*Check one*):

- Yes
- No

H. Submitting Perkins V State Plan as Part of a Workforce Innovation and Opportunities Act (WIOA) Combined State Plan – *Subsequent Years (Check one)*:⁵

- Yes (*If yes, please indicate year of submission*: _____)
- No

I. Governor’s Joint Signatory Authority of the Perkins V State Plan (*Fill in text box and then check one box below*):⁶

Date Governor was sent State Plan for signature:

- The Governor has provided a letter that he or she is jointly signing the State plan for submission to the Department.
- The Governor has not provided a letter that he or she is jointly signing the State plan for submission to the Department.

⁴ Item F will only appear to the user in the Perkins V State Plan Portal in subsequent years (FY 2020-23).

⁵ Item H will only appear to the user in the Perkins V State Plan Portal in subsequent years (FY 2020-23).

⁶ Item I is required in FY 2019 only for States that choose to submit a full Perkins V State plan, covering FY 2019-23.

J. By signing this document, the eligible entity, through its authorized representative, agrees:

1. To the assurances, certifications, and other forms enclosed in its State plan submission; and
2. That, to the best of my knowledge and belief, all information and data included in this State plan submission are true and correct.

Authorized Representative Identified in Item C Above (Printed Name)	Telephone:
Signature of Authorized Representative	Date:

II. NARRATIVE DESCRIPTIONS

A. **Plan Development and Consultation**

1. Describe how the State plan was developed in consultation with the stakeholders and in accordance with the procedures in section 122(c)(2) of Perkins V. See Text Box 1 for the statutory requirements for State plan consultation under section 122(c)(1) of Perkins V.
2. Consistent with section 122(e)(1) of Perkins V, each eligible agency must develop the portion of the State plan relating to the amount and uses of any funds proposed to be reserved for adult career and technical education, postsecondary career and technical education, and secondary career and technical education after consultation with the State agencies identified in section 122(e)(1)(A)-(C) of the Act. If a State agency, other than the eligible agency, finds a portion of the final State plan objectionable, the eligible agency must provide a copy of such objections and a description of its response in the final plan submitted to the Secretary. (Section 122(e)(2) of Perkins V)
3. Describe opportunities for the public to comment in person and in writing on the State plan. (Section 122(d)(14) of Perkins V)

B. **Program Administration and Implementation**

1. **State's Vision for Education and Workforce Development**

- a. Provide a summary of State-supported workforce development activities (including education and training) in the State, including the degree to which the State's career and technical education programs and programs of study are aligned with and address the education and skill needs of the employers in the State identified by the State workforce development board. (Section 122(d)(1) of Perkins V)
- b. Describe the State's strategic vision and set of goals for preparing an educated and skilled workforce (including special populations) and for meeting the skilled workforce needs of employers, including in existing and emerging in-demand industry sectors and occupations as identified by the State, and how the State's career and technical education programs will help to meet these goals. (Section 122(d)(2) of Perkins V)

Text Box 1: Statutory Requirements for State Plan Consultation

(c) PLAN DEVELOPMENT.—

(1) IN GENERAL.—The eligible agency shall—

(A) develop the State plan in consultation with—

- (i) representatives of secondary and postsecondary career and technical education programs, including eligible recipients and representatives of 2-year minority serving institutions and historically Black colleges and universities and tribally controlled colleges or universities in States where such institutions are in existence, adult career and technical education providers, and charter school representatives in States where such schools are in existence, which shall include teachers, faculty, school leaders, specialized instructional support personnel, career and academic guidance counselors, and paraprofessionals;
- (ii) interested community representatives, including parents, students, and community organizations;
- (iii) representatives of the State workforce development board established under section 101 of the Workforce Innovation and Opportunity Act (29 U.S.C. 3111) (referred to in this section as the “State board”);
- (iv) members and representatives of special populations;
- (v) representatives of business and industry (including representatives of small business), which shall include representatives of industry and sector partnerships in the State, as appropriate, and representatives of labor organizations in the State;
- (vi) representatives of agencies serving out-of-school youth, homeless children and youth, and at-risk youth, including the State Coordinator for Education of Homeless Children and Youths established or designated under section 722(d)(3) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11432(d)(3));
- (vii) representatives of Indian Tribes and Tribal organizations located in, or providing services in, the State; and
- (viii) individuals with disabilities; and

(B) consult the Governor of the State, and the heads of other State agencies with authority for career and technical education programs that are not the eligible agency, with respect to the development of the State plan.

(2) ACTIVITIES AND PROCEDURES.—The eligible agency shall develop effective activities and procedures, including access to information needed to use such procedures, to allow the individuals and entities described in paragraph (1) to participate in State and local decisions that relate to development of the State plan.

(3) CONSULTATION WITH THE GOVERNOR.—The consultation described in paragraph (1)(B) shall include meetings of officials from the eligible agency and the Governor’s office and shall occur—

- (A)** during the development of such plan; and
- (B)** prior to submission of the plan to the Secretary.

(Section 122(c)(1) of Perkins V)

- c. Describe the State’s strategy for any joint planning, alignment, coordination, and leveraging of funds between the State's career and technical education programs and programs of study with the State's workforce development system, to achieve the strategic vision and goals described in section 122(d)(2) of Perkins V, including the core programs defined in section 3 of the Workforce Innovation and Opportunity Act (29 U.S.C. 3102) and the elements related to system alignment under section 102(b)(2)(B) of such Act (29 U.S.C. 3112(b)(2)(B)); and for programs carried out under this title with other Federal programs, which may include programs funded under the Elementary and Secondary Education Act of 1965 and the Higher Education Act of 1965. (Section 122(d)(3) of Perkins V)
- d. Describe how the eligible agency will use State leadership funds made available under section 112(a)(2) of Perkins V for each of the purposes under section 124(a) of the Act. See Text Box 2 for the required uses of State leadership funds under section 124(a) of Perkins V. (Section 122(d)(7) of Perkins V)

Text Box 2: Required Uses of State Leadership Funds

(a) GENERAL AUTHORITY.—

From amounts reserved under section 112(a)(2), each eligible agency shall—

- (1) conduct State leadership activities to improve career and technical education, which shall include support for—
 - (A) preparation for non-traditional fields in current and emerging professions, programs for special populations, and other activities that expose students, including special populations, to high-skill, high-wage, and in-demand occupations;
 - (B) individuals in State institutions, such as State correctional institutions, including juvenile justice facilities, and educational institutions that serve individuals with disabilities;
 - (C) recruiting, preparing, or retaining career and technical education teachers, faculty, specialized instructional support personnel, or paraprofessionals, such as preservice, professional development, or leadership development programs; and
 - (D) technical assistance for eligible recipients; and
- (2) report on the effectiveness of such use of funds in achieving the goals described in section 122(d)(2) and the State determined levels of performance described in section 113(b)(3)(A), and reducing disparities or performance gaps as described in section 113(b)(3)(C)(ii)(II).

2. Implementing Career and Technical Education Programs and Programs of Study

- a. Describe the career and technical education programs or programs of study that will be supported, developed, or improved at the State level, including descriptions of the programs of study to be developed at the State level and made available for adoption by eligible recipients. (Section 122(d)(4)(A) of Perkins V)

South Dakota has adopted programs of study for each of the 16 Career Clusters and pathways within each cluster for further specialization. Each cluster is approved to be offered in at least one eligible recipient throughout the state in FY19.

As a means of supporting schools in their implementation of programs of study, the department provides approved sequence of courses documents for each cluster and each pathway within the cluster, updated annually. Clusters are supported by standards and unpacked standards in each area, which are revised every five years. South Dakota's programs of study for each of the 16 Career Clusters can be found here:

<https://doe.sd.gov/cte/careerclusters.aspx>.

In order to receive Perkins funding and count CTE coursework towards high school graduation requirements, a school's CTE courses must be approved by the department. One component of this approval process is verifying that the eligible recipient offers a program of study that follows the state's model for each Career Cluster.

- b. Describe the process and criteria to be used for approving locally developed programs of study or career pathways (see Text Box 3 for the statutory definition of career pathways under section 3(8) of Perkins V), including how such programs address State workforce development and education needs and the criteria to assess the extent to which the local application under section 132⁷ will—

With the robust programs of study available for each of the 16 Career Clusters and pathways within each Career Cluster, the state does not approve locally developed programs of study at the secondary level. The state's five Perkins-funded postsecondary technical colleges design postsecondary CTE programs of study, culminating in certificates, diplomas and associates degrees.

Program approval process is spelled out in administrative rule (South Dakota Administrative Rule 24:59:01; see <http://sdlegislature.gov/Rules/DisplayRule.aspx?Rule=24:59:01>). The criteria established for approving any new or expanded programs includes:

- (1) Identification and description of the program;
- (2) Objectives and purpose of the program;
- (3) Methods of attaining the objectives of the program;
- (4) Description of labor market demands of the United States, the state of South Dakota, student needs, and industry support;
- (5) Population to be served by the program;
- (6) Projected three-year budget;
- (7) Program competencies and entry and exit points of suboccupations;
- (8) Statement of nonduplication;
- (9) Curriculum design and research; and
- (10) Wage factor.

Programs must be approved by the Board of Technical Education (BOTE) before students are enrolled in the program; only approved programs are eligible for Perkins funding.

An example of an application to the BOTE for a new diploma program can be found here:

[https://boardsandcommissions.sd.gov/bcuploads/STI%20Medical%20Assistant%20Proposal%20\(February%202019\).pdf](https://boardsandcommissions.sd.gov/bcuploads/STI%20Medical%20Assistant%20Proposal%20(February%202019).pdf).

- i. promote continuous improvement in academic achievement and technical skill attainment;

Each Associate of Applied Science (AAS) degree program must include a component of general education coursework in areas such as communications, behavioral sciences, social sciences, mathematics, and computer literacy to be approved both for Perkins funding and to be offered at a South Dakota technical institution. AAS degree programs must include a minimum of 60 semester credit hours in a course of study.

Once a diploma or AAS program is approved, programs must be submitted periodically for a “continuing program review” to ensure that programs are meeting the BOTE’s high standards for academic and technical skill attainment, employability prospects, and industry demand. A program’s enrollment, retention, and placement, in addition to performance against what were formerly Federally Agreed Upon Performance Levels (FAUPLs) were reviewed for a minimum of three years. Additionally, a local program advisory board of council endorsement is required on programs not holding national, state, regional or industry accreditation or certification specific to the degree awarded.

Through these rigorous approval and continuing program reviews, the BOTE together with the department ensures that students and graduates of the programs receive the academic and technical instruction necessary to succeed in the field they are pursuing. South Dakota’s most recent level of Technical Skill Attainment of 97 percent speaks to this commitment.

- ii. expand access to career and technical education for special populations; and

The Postsecondary Career Coordinators at each of the state’s technical institutes both support career guidance and academic counseling efforts at the secondary level and provide relevant programming and connections for their postsecondary students, and particularly nontraditional populations. The Postsecondary Career Coordinators offer targeted programming aimed at assisting students with job searching professionalism. These coordinators also sponsor Veteran’s Job Fairs, in which attending employers are open and interested in hiring future employees from this student pool.

Student Success Centers at each of the technical institutes provide a centralized office to coordinate student success and support efforts, including tutoring services, a place to study for students, and academic success workshops. Student Success Coaches provide one-on-one assistance to students. The four postsecondary technical institutes also offer student organizations and events that support nontraditional and specifically targeted students. The four postsecondary technical institutes also offer student organizations/events that support nontraditional and specifically targeted students, such as:

- Eagle Feather Society (Native American students)
- Diversity events, such as months honoring Black History, Women’s History, Hispanic History, and Native American History
- Diversity Student Coordinator positions
- Nontraditional Student Success Center

A statewide scholarship program was created four years ago to prepare workers in high demand, high wage fields. The Build Dakota Scholarship program is a private-public partnership that has created a scholarship pool to train students in high need, technical fields in South Dakota. Special efforts are made by admissions staff at each of South Dakota’s technical institutes to target underrepresented populations for this scholarship. The technical institutions and state education staff also work in partnership with the Department of Labor and Regulation to offer additional financial support to both scholarship awardees and applicants for things such as transportation, housing assistance, daycare support, etc. – items that are not covered under the scholarship. With this partnership, the program is striving to remove key financial barriers that keep underrepresented populations from accessing postsecondary education.

- iii. support the inclusion of employability skills in programs of study and career pathways. (Section 122(d)(4)(B) of Perkins V)

Employability skills are a key component of education at the state’s technical colleges. Placement levels for program completers remains consistent at around 80 percent, demonstrating the degree to which graduates are prepared for the workforce after completion of their postsecondary degree.

Work-based learning is a component in nearly every program of study within the state’s technical institutes. These hands-on experiences equip students with the skills necessary to enter the workforce upon completion. Additionally, the technical colleges offer soft-skills courses such as *Bring Your “A” Game* and other courses ready students to succeed in the workplace. Student Success Centers and Postsecondary Career Coordinators, described above, are integral to the success of students gaining the technical and employability skills they need.

- c. Describe how the eligible agency will—
 - i. make information on approved programs of study and career pathways (including career exploration, work-based learning opportunities, early college high schools, and dual or concurrent enrollment program opportunities) and guidance and advisement resources, available to students (and parents, as appropriate), representatives of secondary and postsecondary education, and special populations, and to the extent practicable, provide that information and those resources in a language students, parents, and educators can understand;

South Dakota has invested heavily in career pathways and aligned programs of study. Programs of study and pathways within each program of study are mapped out for each of the 16 Career Clusters, providing schools with sequences of courses that integrate foundational skills with increasing rigor and complementary academic and Capstone Experience courses aligned to each cluster. Career cluster alignment is evident throughout South Dakota’s system, including in secondary and postsecondary CTE programs and through to workforce and labor data information.

The state’s starting point for career exploration and information regarding pathways, work-based learning opportunities, dual credit, personal learning plan, and advisement resources is SDMyLife (<https://sdmylife.com>). This web interface features both general resources in parent- and student-friendly language, as well as information tailored to students based on their interests and plans through a secure site. This career exploration and academic program is funded by the department to provide a single source information portal to help prepare students for life after high school. It is available to all 6th through 12th grade students at no cost to them or to their school districts. Through their SDMyLife activities, students explore careers, especially as they relate to students’ interests, skills and knowledge. South Dakota Labor Market projections and wage data are loaded into SDMyLife to provide students understanding of the career demand and earnings. In addition, SDMyLife

assists students in identifying postsecondary programs and other training options that will assist them in navigating to their career(s) of interest.

The Department of Education and the South Dakota Department of Labor and Regulation (DLR) work closely to collaborate on resources in language that is accessible to a variety of audiences on career pathway opportunities and work-based learning resources.

Since January 2018 the two departments have collaborated to administer a project called Career Launch to help students, both in high school and middle school, explore career options more meaningfully and participate in work based learning opportunities, including job shadows, internships and apprenticeships. The Career Launch staff work in school districts across the state to provide career development and work-based learning information and resources to students, parents, educators, and industry professionals.

Since 2018, DLR has provided the *Bring Your "A" Game* training to school districts across the state at no cost to the districts. The curriculum focuses on seven foundational behaviors to build work ethic: Attendance, Appearance, Attitude, Ambition, Accountability, Acceptance, and Appreciation. Through experiential learning in activities and group participation, students gain an understanding of the long-term benefits of work ethic, setting a foundation for personal and professional success. By being able to participate in this training ahead of a work-based learning experience, students are better prepared to engage in work-based learning at a meaningful level.

Additionally, the two agencies also collaborate on webpages to reach a wide audience with information relevant to career pathways and cluster information. DLR hosts pages with information on career pathways (<http://careerpathways.sd.gov>) that help current and adult students understand how to achieve their career goals in particular occupations and occupational clusters. Additionally, DLR's Labor Market Information Center (LMIC) recently launched a website and poster series featuring occupational opportunities tied to each of the 16 Career Clusters. This information complements the Department of Education's resources by driving career planners to the LMIC's online resources to learn more about occupations of interests and help tie career opportunities that exist in South Dakota with the Career Clusters. (https://dlr.sd.gov/lmic/menu_career_clusters.aspx). Finally, as a toolkit for educators and employers, DLR hosts a page with classroom-ready resources, amongst additional work-based learning tools, to help students prepare for and engage in work-based learning opportunities (http://www.sdjobs.org/workforce_services/individuals/career_launch/toolkit.aspx).

- ii. facilitate collaboration among eligible recipients in the development and coordination of career and technical education programs and programs of study and career pathways that include multiple entry and exit points;
- iii. use State, regional, or local labor market data to determine alignment of eligible recipients' programs of study to the needs of the State, regional, or local economy, including in-demand industry sectors and occupations identified by the State board, and to align career and technical education with such needs, as appropriate;
- iv. ensure equal access to approved career and technical education programs of study and activities assisted under this Act for special populations;
- v. coordinate with the State board to support the local development of career pathways and articulate processes by which career pathways will be developed by local workforce development boards, as appropriate;

⁷ Based on the context of this requirement, the reference to the local application process under “section 132” appears to be a typographical error in the Perkins V statute. The correct section for local applications in Perkins V is section 134. Therefore, eligible agencies should respond to this item using the provisions in section 134 of Perkins V.

- vi. support effective and meaningful collaboration between secondary schools, postsecondary institutions, and employers to provide students with experience in, and understanding of, all aspects of an industry, which may include work-based learning such as internships, mentorships, simulated work environments, and other hands-on or inquiry-based learning activities; and
- vii. improve outcomes and reduce performance gaps for CTE concentrators, including those who are members of special populations. (Section 122(d)(4)(C) of Perkins V)

Text Box 3: Statutory Definition of Career Pathways

The term ‘career pathways’ has the meaning given the term in section 3 of the Workforce Innovation and Opportunity Act (29 U.S.C. 3102)

- (7) Career pathway.--The term “career pathway” means a combination of rigorous and high-quality education, training, and other services that—
- (A) aligns with the skill needs of industries in the economy of the State or regional economy involved;
 - (B) prepares an individual to be successful in any of a full range of secondary or postsecondary education options, including apprenticeships registered under the Act of August 16, 1937 (commonly known as the “National Apprenticeship Act”; 50 Stat. 664, chapter 663; 29 U.S.C. 50 et seq.) (referred to individually in this Act as an “apprenticeship”, except in section 171);
 - (C) includes counseling to support an individual in achieving the individual’s education and career goals;
 - (D) includes, as appropriate, education offered concurrently with and in the same context as workforce preparation activities and training for a specific occupation or occupational cluster;
 - (E) organizes education, training, and other services to meet the particular needs of an individual in a manner that accelerates the educational and career advancement of the individual to the extent practicable;
 - (F) enables an individual to attain a secondary school diploma or its recognized equivalent, and at least 1 recognized postsecondary credential; and
 - (G) helps an individual enter or advance within a specific occupation or occupational cluster. (Section 3(7) of the Workforce Innovation and Opportunity Act [Public Law 113- 128])

(Section 3(8) of Perkins V)

- d. Describe how the eligible agency, if it opts to do so, will include the opportunity for secondary school students to participate in dual or concurrent enrollment programs, early college high school, or competency-based education. (Section 122(d)(4)(D) of Perkins V)

South Dakota has invested heavily in its state-sponsored reduced-tuition dual credit program. Begun in the 2014-15 school year, South Dakota students in grades 11 and 12 can enroll in technical and general education courses for a rate that currently sits at less than \$50 per credit hour. The remaining costs of providing the credits are then underwritten by the state and the institutions themselves. This program is available across the state's Board of Regents system as well at all of state's four public technical institutions. The program, currently in its fifth school year, has proven exponentially successful, with around 11,000 students taking nearly 87,000 credits to date, and growing.

State staff partner with both the Board of Regents and technical institutes to develop policies for the program that support students' career goals. Information is available on the state-supported career and academic planning platform, SDMyLife.com. State staff have also worked with local school districts to incorporate appropriate dual credit courses into districts approved CTE programs, which can greatly expand the breadth and rigor of approved program offerings many schools are able to offer their students.

State staff have and will continue to provide technical assistance, both online and in-person, to local districts interested in implementing dual credit by facilitating conversations with the appropriate technical institute.

In addition to the cost incentive available to students to participate in the reduced-tuition dual credit program, the state has also incentivized participation by incorporating success data into the state's College and Career Readiness indicator, a component of secondary accountability in the state's plan under the Every Student Succeeds Act.

Specific to career and technical education programs, each of the state's four public technical colleges participates in the state-sponsored reduced-tuition dual credit program with both general education courses and CTE courses. This benefits CTE students and programs throughout the state -- students can earn postsecondary CTE credits and schools can augment their CTE offerings, including diversifying their approved pathways that would otherwise not be possible.

- e. Describe how the eligible agency will involve parents, academic and career and technical education teachers, administrators, faculty, career guidance and academic counselors, local business (including small businesses), labor organizations, and representatives of Indian Tribes and Tribal organizations, as appropriate, in the planning, development, implementation, and evaluation of its career and technical education programs. (Section 122(d)(12) of Perkins V)
- f. Include a copy of the local application template that the eligible agency will require eligible recipients to submit pursuant to section 134(b) of Perkins V. See Text Box 4 for the statutory requirements for local applications under section 134(b) of Perkins V.
- g. Include a copy of the comprehensive local needs assessment template and/or guidelines that the eligible agency will require of eligible recipients to meet the

requirements of section 134(c) of Perkins V. See Text Box 5 for the requirements for the comprehensive local needs assessment under section 134(c) of Perkins V.

- h. Provide the eligible agency’s definition for “size, scope, and quality” that will be used to make funds available to eligible recipients pursuant to section 135(b) of Perkins V.

At the secondary level, South Dakota used the opportunity of the 2019-20 school year career and technical education program application to collect information on each school’s CTE programs – including an inventory of courses offered, the diversity of work-based learning experiences, the extent of postsecondary connections, and how well schools are connecting with industry. The state also used the required annual data dig to gather information on specific program components. Using these two survey opportunities, in addition to other stakeholder engagement opportunities, the state will have a robust picture of how better to support programs in the coming four-year state plan and draw out examples of exemplary programs throughout the state. Understanding at a more in-depth level will also help the state more clearly define the necessary size, scope, and quality to be effective. The 2019-20 school year program application template can be found here: <https://doe.sd.gov/cte/documents/19-Perkins-Example.pdf>.

However, the department would note that the necessary size, scope, and quality to be effective varies depending on the resources available to the eligible entity. Therefore, the local needs assessment (currently under development) will be a critical component to an eligible entity determining that it can offer effective programs to its students. Through the local needs assessment, the eligible entity and the state both can understand whether the programs offered have the necessary components to be effective.

For the FY19 transition year, South Dakota will require that to be effective, each approved program employ a certified teacher (or a teacher on a plan of intent to gain the necessary CTE endorsement), teach to the approved content standards, offer a program of study to students of increasing rigor and depth, including as appropriate dual credit, capstone experiences, academic courses, and virtual courses, and connect with industry to identify needs. Additionally, for the FY19 transition year each eligible entity is encouraged to connect with at least one Career and Technical Student Organization and offer at least one industry-recognized credential; these will become requirements in FY20 onward. These components, combined with the minimum funding threshold identified in the law (or, at the discretion of the state, a waiver of that minimum if the entity demonstrates the ability to offer an effective program), will be sufficient for the state to approve with confidence effective programs for the FY19 transition year.

The state is also using the FY2019 transition year to pilot programs that will lead to innovative solutions in delivery methods and outcomes across the state’s rural expanses. Over the course of Perkins IV, local entities devised innovative means of providing opportunities for students in remote areas – including mobile trailers, career and technical education secondary hubs, virtual offerings, and dual credit opportunities. The state intends to build upon that framework to take further advantage of advances in technology to help schools partner in designing course options that maximize the expertise across the state.

At the postsecondary level, each program undergoes a rigorous review process on a regular basis to determine its effectiveness and connection to industry need. Program continuation is then approved by the state’s Board of Technical Education. This data-driven process ensures that diploma and degree programs offered through the technical colleges are of sufficient size, scope, and quality to be effective. For more information regarding postsecondary program reviews, please see question 2(b).

Text Box 4: Statutory Requirements for Local Applications

- (b) CONTENTS.—The eligible agency shall determine the requirements for local applications, except that each local application shall contain—
- (1) a description of the results of the comprehensive needs assessment conducted under subsection (c);
 - (2) information on the career and technical education course offerings and activities that the eligible recipient will provide with funds under this part, which shall include not less than 1 program of study approved by a State under section 124(b)(2), including—
 - (A) how the results of the comprehensive needs assessment described in subsection (c) informed the selection of the specific career and technical education programs and activities selected to be funded;
 - (B) a description of any new programs of study the eligible recipient will develop and submit to the State for approval; and
 - (C) how students, including students who are members of special populations, will learn about their school’s career and technical education course offerings and whether each course is part of a career and technical education program of study;
 - (3) a description of how the eligible recipient, in collaboration with local workforce development boards and other local workforce agencies, one-stop delivery systems described in section 121(e)(2) of the Workforce Innovation and Opportunity Act (29 U.S.C. 3151(e)(2)), and other partners, will provide—
 - (A) career exploration and career development coursework, activities, or services;
 - (B) career information on employment opportunities that incorporate the most up-to-date information on high-skill, high-wage, or in-demand industry sectors or occupations, as determined by the comprehensive needs assessment described in subsection (c); and
 - (C) an organized system of career guidance and academic counseling to students before enrolling and while participating in a career and technical education program;
 - (4) a description of how the eligible recipient will improve the academic and technical skills of students participating in career and technical education programs by strengthening the academic and career and technical education components of such programs through the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education programs to ensure learning in the subjects that constitute a well-rounded education (as defined in section 8101 of the Elementary and Secondary Education Act of 1965);

(Continued on the following page)

Text Box 4: Statutory Requirements of Local Applications (continued)

- (5) a description of how the eligible recipient will—
 - (A) provide activities to prepare special populations for high-skill, high-wage, or in-demand industry sectors or occupations that will lead to self-sufficiency;
 - (B) prepare CTE participants for non-traditional fields;
 - (C) provide equal access for special populations to career and technical education courses, programs, and programs of study; and
 - (D) ensure that members of special populations will not be discriminated against on the basis of their status as members of special populations;
- (6) a description of the work-based learning opportunities that the eligible recipient will provide to students participating in career and technical education programs and how the recipient will work with representatives from employers to develop or expand work-based learning opportunities for career and technical education students, as applicable;
- (7) a description of how the eligible recipient will provide students participating in career and technical education programs with the opportunity to gain postsecondary credit while still attending high school, such as through dual or concurrent enrollment programs or early college high school, as practicable;
- (8) a description of how the eligible recipient will coordinate with the eligible agency and institutions of higher education to support the recruitment, preparation, retention, and training, including professional development, of teachers, faculty, administrators, and specialized instructional support personnel and paraprofessionals who meet applicable State certification and licensure requirements (including any requirements met through alternative routes to certification), including individuals from groups underrepresented in the teaching profession; and
- (9) a description of how the eligible recipient will address disparities or gaps in performance as described in section 113(b)(3)(C)(ii)(II) in each of the plan years, and if no meaningful progress has been achieved prior to the third program year, a description of the additional actions such recipient will take to eliminate those disparities or gaps.

(Section 134(b) of Perkins V)

Text Box 5: Statutory Requirements for Comprehensive Local Needs Assessment

(c) **COMPREHENSIVE NEEDS ASSESSMENT.**—

- (1) **IN GENERAL.**—To be eligible to receive financial assistance under this part, an eligible recipient shall—
- (A) conduct a comprehensive local needs assessment related to career and technical education and include the results of the needs assessment in the local application submitted under subsection (a); and
 - (B) not less than once every 2 years, update such comprehensive local needs assessment.
- (2) **REQUIREMENTS.**—The comprehensive local needs assessment described in paragraph (1) shall include each of the following:
- (A) An evaluation of the performance of the students served by the eligible recipient with respect to State determined and local levels of performance established pursuant to section 113, including an evaluation of performance for special populations and each subgroup described in section 1111(h)(1)(C)(ii) of the Elementary and Secondary Education Act of 1965.
 - (B) A description of how career and technical education programs offered by the eligible recipient are—(i) sufficient in size, scope, and quality to meet the needs of all students served by the eligible recipient; and (ii)(I) aligned to State, regional, Tribal, or local in-demand industry sectors or occupations identified by the State workforce development board described in section 101 of the Workforce Innovation and Opportunity Act (29 U.S.C. 3111) (referred to in this section as the “State board”) or local workforce development board, including career pathways, where appropriate; or (II) designed to meet local education or economic needs not identified by State boards or local workforce development boards.
 - (C) An evaluation of progress toward the implementation of career and technical education programs and programs of study.
 - (D) A description of how the eligible recipient will improve recruitment, retention, and training of career and technical education teachers, faculty, specialized instructional support personnel, paraprofessionals, and career guidance and academic counselors, including individuals in groups underrepresented in such professions.
 - (E) A description of progress toward implementation of equal access to high-quality career and technical education courses and programs of study for all students, including— (i) strategies to overcome barriers that result in lower rates of access to, or performance gaps in, the courses and programs for special populations; (ii) providing programs that are designed to enable special populations to meet the local levels of performance; and (iii) providing activities to prepare special populations for high-skill, high-wage, or in-demand industry sectors or occupations in competitive, integrated settings that will lead to self-sufficiency.

(Section 134(c) of Perkins V)

3. Meeting the Needs of Special Populations

- a. Describe the eligible agency's program strategies for special populations, including a description of how individuals who are members of special populations—
 - i. will be provided with equal access to activities assisted under this Act;

The South Dakota Department of Education's aspiration is that all students graduate, prepared for college, careers, and life. Career and technical education opportunities are a key component of supporting the department's work toward this ambition at the middle and high school levels. The greatest opportunity for positive impacts in meeting the aspiration are by assisting special populations, specifically economically disadvantaged, Native American, special education, Black, Hispanic, and English Learner students, in successfully navigating their educations and developing skills and knowledge that will propel them into their future endeavors. Programs and efforts that provide direct support to special populations for career awareness, exploration, and readiness include SDMyLife, technical coursework, soft skill development, secondary to postsecondary transitions supports, career camps, and student organizations.

In addition to signing a general assurances statement that they will not discriminate against special populations, each eligible recipient must describe in the local application how they will use Perkins funding to meet the needs of special populations. Program staff verify budget expenditures on an annual basis to determine how recipients are spending funds to further students' learning for high skill, high demand occupations. Program staff also conduct school visits on a minimum of an annual basis; part of that review includes ensuring that gender bias, stereotyping pictures, and language do not exist in curricula, publications, websites, and printed or audio/visual materials. Postsecondary institutions employ nontraditional/equity coordinators to work with students to provide services to special populations.

Finally, the department will provide data annually to each recipient that breaks out aggregate performance levels by both Career Cluster area and subgroups to highlight how students of all backgrounds are succeeding (or not) in a recipients' programs. This data will then be at the local level, including as part of the local needs assessment, to make decisions on how to improve programs and opportunities for students.

- ii. will not be discriminated against on the basis of status as a member of a special population;

The department recognizes the importance of equitable access to, and participation in, federally assisted programs for students, teachers, and their beneficiaries with special needs. As a component of submitting an application for federal funds, districts must submit assurances that include nondiscrimination. These assurances related specifically to Perkins funding and to the General Education Provisions Act (GEPA).

Perkins-specific assurances include that recipients will comply with all state and federal rules and regulations regarding nondiscrimination on the basis of disability, race, color, national origin and sex; that the recipient will maintain documentation to verify the eligibility of students enrolled in programs supported by federal funds for education of students who are members of special populations; and that Individuals who are members of special populations will be provided with equal access to recruitment, enrollment and placement activities to the full range of career and technical education programs available to individuals who are not members of special populations, including occupationally specific courses of study, cooperative education, apprenticeship programs and, to the extent practicable, comprehensive career guidance and counseling services, and shall not be discriminated against on the basis of their status as members of special populations.

To assist districts in ensuring they are in compliance with GEPA, as part of the state's Perkins application process, all recipients must provide a written GEPA statement that pertains to the recipient that explains how its intended use of funds will be all-inclusive. To assist recipients in the creation of these statements, the department provides an example statement that recipients can refine to reflect their unique local requirements and needs. The example explains that the recipient must provide a specific explanation of the recipient's proposed use(s) of grant funds and a specific explanation of how the recipient will use grant funds in a way that addresses barriers to access and does not discriminate on the basis of any federally-protected category.

- iii. will be provided with programs designed to enable individuals who are members of special populations to meet or exceed State determined levels of performance described in section 113, and prepare special populations for further learning and for high-skill, high-wage, or in-demand industry sectors or occupations;
- iv. will be provided with appropriate accommodations; and

South Dakota is committed to helping all students, including members of special populations, graduate from high school prepared to navigate the transitions and life events that come after high school.

This commitment begins at the state level by ensuring students have access to relevant supports. SDMyLife (<https://sdmylife.com/>; see more above), the department's career development and academic planning tool, is assistive technology accessible for almost all areas of the online application; it complies with Section 508 standards. Xello, the interface the department uses for the site, is available in English and Spanish. SDMyLife program staff target trainings to students on IEPs and present at regional special education conferences, in addition to students who are in foster care.

CTE program staff also collaborate regularly with program staff from other areas of the department to provide best practices support to district staff. This includes presenting annually at the Special Education Transitions Conference in order to educate special education providers about how to ensure students with disabilities can access the full array of CTE courses, career development resources, and work-based learning opportunities. On the reverse side, program staff also present at the annual CTE summer conference, the primary professional development opportunity for CTE teachers and administrators, on their role in providing accommodations to students in their classes.

In a state with a high Native American population, ensuring inclusion of culturally relevant education is an important priority. The South Dakota Board of Education Standards adopted revised Oceti Sakowin Essential Understandings and Standards in 2018 (see: <https://doe.sd.gov/contentstandards/documents/18-OSEUs.pdf>). These standards are not content specific, but rather intended to be infused throughout a school's curriculum. Several schools in the state have infused the standards into career explorations courses; the department has highlighted those local initiatives as an example for other schools throughout the state.

Finally, as part of an assurance for receiving funds under Perkins, all recipients assure that, among other assurances:

1. Individuals who are members of special populations will be provided with equal access to recruitment, enrollment, and placement activities to the full range of career and technical education programs available to individuals who are not members of special populations, including occupationally specific courses of study, cooperative education, apprenticeship programs, and, to the extent practicable, comprehensive career guidance and counseling services, and shall not be discriminated against on the basis of their status as members of special populations;

2. Career and technical education planning for individuals with disabilities will be coordinated between appropriate representatives of career and technical education, special education and state vocational rehabilitation agencies;
3. The provision of career and technical education will be monitored to ensure that disadvantaged students and students of limited English proficiency have access to such education in the most integrated setting possible.
 - v. will be provided instruction and work-based learning opportunities in integrated settings that support competitive, integrated employment. (Section 122(d)(9) of Perkins V)

4. Preparing Teachers and Faculty

- a. Describe how the eligible agency will support the recruitment and preparation of teachers, including special education teachers, faculty, school principals, administrators, specialized instructional support personnel, and paraprofessionals to provide career and technical education instruction, leadership, and support, including professional development that provides the knowledge and skills needed to work with and improve instruction for special populations. (Section 122(d)(6) of Perkins V)

C. Fiscal Responsibility

1. Describe the criteria and process for how the eligible agency will approve eligible recipients for funds under this Act, including how—
 - a. each eligible recipient will promote academic achievement;

South Dakota’s secondary and postsecondary career and technical education programs have long prided themselves on high standards of achievement. At the secondary level, career and technical education is an integral component of the state’s aspiration that all students will graduate college, career and life ready. As such, supports and expectations for academic achievement are infused throughout the state’s approved CTE programs. That infusion is evident with the state’s program approval process.

In brief, the program approval process involves evaluating the program’s sequence of courses, Perkins data, participation in annual data dig meetings, utilization of an advisory committee and teacher certification. Programs also indicate if they offer a career and technical student organization or industry certifications. A rubric is utilized by all regional specialists to provide consistent and quality feedback. In addition to cluster and pathway courses, the program application requires relevant academic, foundational and capstone courses be recorded. Program staff evaluate the sequence of courses and make recommendations for ways to strengthen the overall program. Perkins data must be entered for the previous year to receive approval. The data is reviewed at annual data dig meetings, which are required for CTE teams from each school to attend. The data evaluation is then used to create goals for increasing academic achievement during the upcoming school year. Program data is also evaluated by advisory committees. Approved programs are required to meet with their advisory committees a minimum of twice per year.

To describe components of the approval process in more detail, the cornerstone for students’ academic achievement begins with qualified teachers, and all teachers must be either certified in their CTE content area or on a plan of intent to become so in order to teach in an approved program. South Dakota reworked and streamlined the certification process for CTE teachers, effective in 2016, including adding a new element of

training in CTE teacher methods and the basics of quality CTE programs and requiring teachers to participate in a mentorship program. The current certifications standardize and rationalize the requirements for teachers with an education degree program to become CTE certified, as well as incentivizing professionals in the field to bring their expertise into the classroom through alternative certification. For more information, see:

<https://doe.sd.gov/cte/CTEcertification.aspx>

Certified teachers then have available standards and programs of study in each of the 16 recognized Career Clusters. Following a rigorous design process through workgroups consisting of industry and education professionals, these standards are approved by the South Dakota Board of Education Standards (BOES). They are updated and revised on a regular cycle to ensure the standards are both current with industry needs and provide a modern blueprint for students and teachers. Following approval by the SD BOES, the department, again in collaboration with industry and education professionals, unpacks each of the standards in order to provide educators in the field with helpful resources to implement standards with fidelity in their classrooms. Full details on standards in each of the 16 career clusters, including unpacking resources, can be found here:

<https://doe.sd.gov/cte/careerclusters.aspx>. A more detailed outline of the content standards review process is located here: <https://doe.sd.gov/contentstandards/documents/RevProces.pdf>; finally, the SD BOES-adopted revision and adoption timeline, including standards for CTE clusters, can be examined here:

<https://doe.sd.gov/contentstandards/documents/18-Timeline.pdf>.

Beyond requiring that CTE programs approved for funding have certified teachers and that courses are aligned to the content standards, the department also requires that each program is a true program of study by following a sequence of courses for pathways in each cluster outlined by the department. The sequencing ensures that students have the opportunity to build increasing levels of skills through a progression of coursework. With the recognition that high-quality CTE programs do not operate in isolation from academic coursework and outside learning opportunities, the sequences require integration of academic, dual credit, and capstone courses. These three areas are combined with foundational, cluster, and pathway courses in each cluster for an integrated program of study designed to prepare students for the workplace and postsecondary education.

Secondary sequences are approved and posted online for each career cluster for every school year. More information can be found under the individual career cluster links on the departments page here:

<https://doe.sd.gov/cte/careerclusters.aspx>.

Finally, the department has placed an emphasis on the integration of CTE content with traditional academic content. Schools can develop a CTE course that covers both academic content and CTE content and apply to have that course count as a core content course towards high school graduation requirements. In the FY19 transition year, the state is also funding a pilot program to develop curriculum in both math and English Language Arts to facilitate schools adopting CTE for Core Content courses as a regular component of their curriculum. The state's newly-adopted high school graduation requirements (see below), combined with the state's accountability system under the Every Student Succeeds Act, both demonstrate the priority the state places on career development as an extension of academic content knowledge. The state therefore is ensuring that schools are able to take that concept into the classroom level.

- b. each eligible recipient will promote skill attainment, including skill attainment that leads to a recognized postsecondary credential; and

South Dakota's secondary and postsecondary career and technical education programs maximize student learning with real-world knowledge and skills. Half the state's 7-12 student population participates in at least one CTE course and more than a third of public high school students were CTE concentrators in the 2017-18 school year. Of those students, in the 2017-18 school year, 1,316 students took a dual credit technical course at

one of the state's four public technical institutes, 3,121 students earned a National Career Readiness Certification at a Silver, Gold, or Platinum level, and 7,108 students participated in a Career and Technical Student Organization. Additionally, 95 percent of CTE concentrators are four-year cohort graduates (compared with 85 percent of all students) and 80 percent pursue postsecondary education (compared with 69 percent of all students). Lake Area Technical Institute, one of the state's four public technical colleges, ranks third for where students graduating in the state choose to attend.

As is evident from these figures, skill attainment is prized within South Dakota's CTE system; it is also encouraged as a component of becoming an approved CTE program.

The department maintains a list of 45 approved industry-recognized credentials that can be offered as part of CTE programs and as a means of earning the Advanced Career Endorsement high school diploma (see additional detail below). These credentials are vetted through each Career Cluster's statewide advisory board to ensure alignment with cluster standards and industry goals.

As a means of promoting skill attainment at the secondary level, the state promotes students obtaining a National Career Readiness Certificate by offering free of charge one administration of the assessment to each junior and senior. This program is the result of a collaboration between the Department of Education and the South Dakota Department of Labor and Regulation. This initiative has resulted in nearly 21,000 students earning a certificate statewide – an industry credential that demonstrates workplace readiness and a valuable resume addition when applying to postsecondary education. This initiative also serves as a cornerstone credential for approved CTE programs in the state. Although secondary schools across the state 34 unique credentials to their students in the 2018-19 school year, the NCRC is the most popular offering across all career clusters. For more on the state's NCRC initiative, see: <https://doe.sd.gov/assessment/ncrc.aspx>

The state's reduced-tuition dual-credit program also simplifies the ability of schools to ensure their students graduate equipped with the skills necessary to succeed in the workforce or postsecondary education. Described in further detail below, the state has heavily promoted the program – successfully, given the exponential growth of the program in its five-year history. This program makes accessible postsecondary degrees and certificates that might otherwise not be available to students.

As a signal of the importance the state places on students obtaining valued skills and credentials while still in high school, in 2018 the South Dakota Board of Education Standards (SD BOES) passed updated high school graduation requirements. These graduation requirements consist of a high school diploma with stackable endorsements that a student can earn to signify emphasis in a particular area during his or her career. The Advanced Career Endorsement adds to a high school diploma any two units of approved CTE credits and/or Capstone Experience with an industry-recognized credential from the same cluster area. (For more details, see: <https://doe.sd.gov/gradrequirements/>). School districts are required to note endorsements on students' transcripts beginning in Fall 2020. The new graduation requirements both incentivize students honing and demonstrating career-ready skills during their time in high school and emphasizes at a statewide level the importance of career and technical education.

- c. each eligible recipient will ensure the local needs assessment under section 134 takes into consideration local economic and education needs, including, where appropriate, in-demand industry sectors and occupations. (Section 122(d)(5) of Perkins V)

Prior to the 2019-20 school year program application period, the South Dakota Department of Education partnered with the South Dakota Department of Labor and Regulation (DLR) to provide each eligible recipient with labor market information, broken out by career cluster, for the region and the state. In addition, DLR

information regarding “hot careers” – those career opportunities in high demand with high wages – is provided regularly to every school district. This data was provided as part of the eligible recipient’s overall data package regarding how their students performed on the key Perkins accountability indicators. In addition to providing state-level labor market data, the department facilitates access to regional data for any recipient through DLR’s Labor Market Information Center.

As a component of the secondary program application process, the department asked schools to document their usage of labor market data to inform CTE offerings (see <https://doe.sd.gov/cte/documents/19-Perkins-Example.pdf>). The results yielded promising practices in place to expose students to statewide and regional labor market data and to align CTE programs to in demand careers. Respondents in remote areas showed remarkable flexibility in balancing regional needs with exposing students to skills and careers they may want to pursue. One school district, located on an Indian reservation, noted the lack of industry available but found unique ways of honing students’ skills based on not only the current opportunities available on the reservation, but what economic development the reservation needs to sustain Native American traditional lifestyles in the modern economy.

As South Dakota develops its comprehensive needs assessment as required under Perkins V, labor market information at the state, regional, and local level will be a key component that will become standard across all program evaluations.

South Dakota has built a strong connection between secondary and postsecondary CTE programs with the Build Dakota Scholarship Fund. Established in fall 2014, this program is a \$50 million combination of a private donation and state funding to provide scholarships in high-need workforce programs at the state’s public technical colleges. A list of eligible programs for the 2019-20 program year can be found here: https://www.builddakotascholarships.com/application/files/1215/4818/7369/Approved_Programs-2019-2020.pdf. Eligible programs are approved by the Build Dakota Board annually based on high need and industry demand. The program is promoted widely throughout the state, in particular at high schools. Not only has the program increased the gravitas of pursuing career and technical postsecondary education, but has also raised awareness about local economic and education needs, including in-demand industry sectors and occupations. The promotion of this post-secondary program has in turn aided secondary CTE programs in aligning their own programs to labor market demand and promoting the value of career and technical education.

2. Describe how funds received by the eligible agency through the allotment made under section 111 of the Act will be distributed—
 - a. among career and technical education at the secondary level, or career and technical education at the postsecondary and adult level, or both, including how such distribution will most effectively provide students with the skills needed to succeed in the workplace; and

South Dakota traditionally has split funding under section 111 of the Act 50 percent for eligible institutions at the secondary level and 50 percent at the postsecondary level. This funding split will continue for the FY19 transition year under Perkins V.

Splitting funds as such provides yields the most widely equitable distribution of funds for schools. At the postsecondary level, South Dakota funds approved career and technical education programs at four public technical colleges and one tribal college. The 50/50 split therefore yields significant funding for postsecondary programs, while also providing funding for the secondary level. Nearly all of South Dakota’s public school districts run approved secondary CTE programs; additionally, several tribal/BIE schools also run approved CTE programs that are funded under the state’s formula.

The split South Dakota has maintained allows students significant exploration and specialization opportunities at the secondary level, including the opportunity in many cases to gain industry-recognized credentials. The funding then supports students' postsecondary aspirations through world-class programs at the state's renowned postsecondary technical colleges to master industry-needed skills and training.

- b. among any consortia that may be formed among secondary schools and eligible institutions, and how funds will be distributed among the members of the consortia, including the rationale for such distribution and how it will most effectively provide students with the skills needed to succeed in the workplace. (Section 122(d)(8) of Perkins V)

For the FY19 Perkins V transition year, the only consortia in the state funded through formula allocations under section 111 are of secondary schools (see response to Question 3 for more details). Secondary consortia are funded based on the allocations calculated for each secondary school district, with the resulting amounts for each member district then pooled for mutually beneficial programs throughout the consortia members.

3. For the upcoming program year, provide the specific dollar allocations made available by the eligible agency for career and technical education programs and programs of study under section 131(a)-(e) of the Act and describe how these allocations are distributed to local educational agencies, areas career and technical education schools and educational service agencies within the State. (Section 131(g) of Perkins V)

For the FY19 transition year, the department has approved 16 local educational agencies (LEAs) to receive formula Perkins funding. South Dakota does not have area career and technical education schools, nor does it fund educational service agencies within the state.

Additionally, the department has approved 24 consortia for mutually beneficial career and technical education funding. Fiscal agents have been identified for each of the consortia; a total of 135 LEAs are members of consortia.

Funds are allocated based on the statutory formula contained in the Strengthening Career and Technical Education Act for the 21st Century (Perkins V), section 131: Distribution of Funds to Secondary Education Programs:

(1) THIRTY PERCENT.—Thirty percent shall be allocated to each local educational agencies in proportion to the number of individuals aged 5 through 17, inclusive, who reside in the school district served by such local educational agency for the preceding fiscal year compared to the total number of such individuals who reside in the school districts served by all local educational agencies in South Dakota for such preceding fiscal year, as determined on the basis of the most recent satisfactory— (A) data provided to the Secretary by the Bureau of the Census for the purpose of determining eligibility under title I of the Elementary and Secondary Education Act of 1965; or (B) student membership data collected by the National Center for Education Statistics through the Common Core of Data survey system.

(2) SEVENTY PERCENT.—Seventy percent shall be allocated to such local educational agencies in proportion to the number of individuals aged 5 through 17, inclusive, who reside in the school district served by such local educational agency and are from families below the poverty level for the preceding fiscal year, as determined on the basis of the most recent satisfactory data used under section 1124(c)(1)(A) of the Elementary and Secondary

Education Act of 1965, compared to the total number of such individuals who reside in the school districts served by all the local educational agencies in South Dakota for such preceding fiscal year.

Funds allocated for FY19 can be found below.

Eligible recipients submit budgets for approval through the department’s grants management system. Public access to the system can be found here: <https://sddoe.mtwgms.org/SDDOEGMSWeb/StaticPages/MenuList.aspx>.

Allocations are distributed to local education agencies and career and technical education schools through use of the SD DOE’s Grants Management System (GMS). The GMS includes a grant application process, and a subsequent payment process. Allocation amounts are loaded into the GMS and available for budgeting by each eligible entity. After the application and budget are reviewed and approved by the department, the entity may request reimbursements through the GMS for expenditures made in accordance with the approved budget. The department distributes payments to subrecipients based on the reimbursement requests that are submitted on the GMS. The SD DOE drawdowns program funds from the federal G5 after the payments are made to subrecipients.

Secondary-level funding amounts are detailed below; they can also be accessed at: <https://doe.sd.gov/ofm/documents/Perkins-FY2020.pdf>

Carl D Perkins Grant
Career and Technical Education Improvement Act - CFDA #
Preliminary Allocations for School Year 2019-2020
State Fiscal Year 2020

Allocations are preliminary estimates based on currently available data March 18, 2019

NCES	AWARD #	LOCAL EDUCATION AGENCY (LEA)	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
4602070	PRPI-06001-20	ABERDEEN 06-1	64,328.00	7,712.00	72,040.00
4607950	PRPI-49002-20	BRANDON VALLEY 49-2	30,039.00	3,601.00	33,640.00
4612000	PRPI-07001-20	CHAMBERLAIN 07-1	19,902.00	2,386.00	22,288.00
4619410	PRPI-51001-20	DOUGLAS 51-1	37,942.00	4,548.00	42,490.00
4635480	PRPI-02001-20	HURON 02-2	47,790.00	5,729.00	53,519.00
4669930	PRPI-46001-20	MEADE 46-1	33,827.00	4,055.00	37,882.00
4659820	PRPI-51004-20	RAPID CITY 51-4	220,143.00	26,390.00	246,533.00
4666270	PRPI-49005-20	SIOUX FALLS 49-5	292,081.00	35,014.00	327,095.00
4600053	PRPI-54002-20	SISETON 54-2	20,519.00	2,460.00	22,979.00
4666930	PRPI-40002-20	SPEARFISH 40-2	26,974.00	3,234.00	30,208.00
4672090	PRPI-66001-20	TODD COUNTY 66-1	79,006.00	9,471.00	88,477.00
4679710	PRPI-59002-20	WINNER 59-2	14,500.00	1,738.00	16,238.00
4680430	PRPI-63003-20	YANKTON 63-3	33,154.00	3,974.00	37,128.00
5900073	PRPI-34301-20	CROW CREEK SCHOOL DISTRICT	9,811.00	1,176.00	10,987.00
5900173	PRPI-65306-20	LITTLE WOUND SCHOOL	46,979.00	5,632.00	52,611.00
5900091	PRPI-66301-20	ST. FRANCIS INDIAN SCHOOL	24,939.00	2,990.00	27,929.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
BADLANDS CONSORTIUM:					
4630490		HAAKON 27-1	4,484.00	538.00	5,022.00
4680437	PRPI-35002-20	KADOKA AREA 35-2 Fiscal Agent	12,398.00	1,486.00	13,884.00
4650670		NEW UNDERWOOD 51-3	2,666.00	320.00	2,986.00
4675660		WALL 51-5	2,783.00	334.00	3,117.00
CONSORTIUM TOTAL			22,331.00	2,678.00	25,009.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
BIG SIOUX EDUCATION CONSORTIUM					
4631350	PRPI-41002-20	HARRISBURG 41-2 Fiscal Agent	22,983.00	2,755.00	25,738.00
4600052		TEA AREA 41-5	10,558.00	1,266.00	11,824.00
CONSORTIUM TOTAL			33,541.00	4,021.00	37,562.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
4680120	PRPI-40201-20	BLACK HILLS SPECIAL SERVICES COOP: Fiscal Agent			
4605610		BELLE FOURCHE 09-1	23,006.00	2,758.00	25,764.00
4616950		CUSTER 16-1	15,203.00	1,822.00	17,025.00
4620850		EDGEMONT 23-1	2,159.00	259.00	2,418.00
4633360		HILL CITY 51-2	5,219.00	626.00	5,845.00
4634480		HOT SPRINGS 23-2	11,961.00	1,434.00	13,395.00
4641300		LEAD-DEADWOOD 40-1	11,867.00	1,423.00	13,290.00
4652770		OELRICHS 23-3	502.00	60.00	562.00
CONSORTIUM TOTAL			69,917.00	8,382.00	78,299.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
BROOKINGS AREA CONSORTIUM					
4608520	PRPI-05001-20	BROOKINGS 05-1 Fiscal Agent	32,039.00	3,841.00	35,880.00
4678300		DEUBROOK AREA 05-6	4,045.00	485.00	4,530.00
CONSORTIUM TOTAL			36,084.00	4,326.00	40,410.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
CHEYENNE-EAGLE BUTTE CONSORTIUM					
4620100	PRPI-2001-20	EAGLE BUTTE 20-1/CHEYENNE RIVER SIOUX TRIBE	41,273.00	4,948.00	46,221.00
CONSORTIUM TOTAL			41,273.00	4,948.00	46,221.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
CORNBELT EDUCATIONAL COOP:					
4616150	PRPI-60201-20	BRIDGEWATER-EMERY 30-3	3,843.00	461.00	4,304.00
4610320		CANISTOTA 43-1	2,229.00	267.00	2,496.00
4622500		ETHAN 17-1	1,830.00	219.00	2,049.00
4625500		FREEMAN 33-1	5,907.00	708.00	6,615.00
4602640		HANSON 30-1	5,213.00	625.00	5,838.00
4645450		MARION 60-3	2,787.00	334.00	3,121.00
4601026		MCCOOK CENTRAL 43-7	6,352.00	761.00	7,113.00
4648780		MONTROSE 43-2	1,666.00	200.00	1,866.00
4654270		PARKER 60-4	3,065.00	367.00	3,432.00
CONSORTIUM TOTAL			32,892.00	3,942.00	36,834.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
DEW-AL VOC CONSORTIUM:					
4603720		ARLINGTON 38-1	3,341.00	400.00	3,741.00
4617850		DE SMET 38-2	2,459.00	295.00	2,754.00
4600036	PRPI-19004-20	DEUEL 19-4 Fiscal Agent	6,082.00	729.00	6,811.00
4621390		ELKTON 05-3	3,739.00	448.00	4,187.00
4622410		ESTELLINE 28-2	3,104.00	372.00	3,476.00
4636150		IROQUOIS 02-3	3,509.00	421.00	3,930.00
4639990		LAKE PRESTON 38-3	2,820.00	338.00	3,158.00
4666300		SIOUX VALLEY 05-5	4,952.00	594.00	5,546.00
4679350		WILLOW LAKE 12-3	2,365.00	283.00	2,648.00
4600003		WILMOT 54-7	3,167.00	380.00	3,547.00
CONSORTIUM TOTAL			35,538.00	4,260.00	39,798.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
DAKOTA VALLEY-VERMILLION CONSORTIUM:					
4636990		DAKOTA VALLEY 61-8	8,439.00	1,012.00	9,451.00
4674370	PRPI-13001-20	VERMILLION 13-1 Fiscal Agent	20,509.00	2,459.00	22,968.00
CONSORTIUM TOTAL			28,948.00	3,471.00	32,419.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
4600027	PRPI-49203-20	EAST DAKOTA EDUCATIONAL COOP: Fiscal Agent			
4641550		LENNOX 41-4	8,209.00	984.00	9,193.00
4631710		WEST CENTRAL 49-7	10,460.00	1,254.00	11,714.00
CONSORTIUM TOTAL			18,669.00	2,238.00	20,907.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
GREAT LAKES CONSORTIUM					
4612940		CLARK 12-2	4,984.00	597.00	5,581.00
4619170	PRPI-56002-20	DOLAND 56-2 Fiscal Agent	2,004.00	240.00	2,244.00
4600046		HITCHCOCK -TULARE 56-6	3,377.00	405.00	3,782.00
4660450		REDFIELD 56-4	7,047.00	845.00	7,892.00
4676680		WAUBAY 18-3	5,655.00	678.00	6,333.00
4676990		WEBSTER 18-5	6,843.00	820.00	7,663.00
4680100		WOLSEY-WESSINGTON 02-6	3,169.00	380.00	3,549.00
CONSORTIUM TOTAL			33,079.00	3,965.00	37,044.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
MISSOURI RIVER CONSORTIUM					
4600042		AGAR-BLUNT-ONIDA 58-3	3,100.00	372.00	3,472.00
4607800		BOWDLE 22-1	1,352.00	162.00	1,514.00
4624030		FAULKTON 24-4	4,385.00	526.00	4,911.00
4626970	PRPI-53001-20	GETTYSBURG 53-1 Fiscal Agent	2,275.00	273.00	2,548.00
4680440		HIGHMORE-HARROLD 34-2	4,251.00	510.00	4,761.00
4634600		HOVEN 53-2	2,979.00	357.00	3,336.00
4647942		MILLER 29-4	5,475.00	656.00	6,131.00
CONSORTIUM TOTAL			23,817.00	2,856.00	26,673.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
MITCHELL AREA CONSORTIUM					
4648390	PRPI-17002-20	MITCHELL 17-2 - Fiscal Agent	39,733.00	4,763.00	44,496.00
4649650		MOUNT VERNON 17-3	2,269.00	272.00	2,541.00
4654300		PARKSTON 33-3	5,917.00	709.00	6,626.00
CONSORTIUM TOTAL			47,919.00	5,744.00	53,663.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
4680170	PRPI-14201-20	NORTHEAST TECHNICAL HIGH SCHOOL: Fiscal Agent			
4611280		CASTLEWOOD 28-1	4,118.00	494.00	4,612.00
4624540		FLORENCE 14-1	1,913.00	229.00	2,142.00
4630800		HAMLIN 28-3	9,139.00	1,096.00	10,235.00
4632340		HENRY 14-2	1,477.00	177.00	1,654.00
4670140		SUMMIT 54-6	2,403.00	288.00	2,691.00
4676620		WATERTOWN 14-4	50,706.00	6,079.00	56,785.00
4676740		WAVERLY 14-5	2,988.00	358.00	3,346.00
CONSORTIUM TOTAL			72,744.00	8,721.00	81,465.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
NORTHEAST CONSORTIUM:					
4600041		BRITTON-HECLA 45-4	5,359.00	642.00	6,001.00
4621400		FREDERICK 06-2	2,487.00	298.00	2,785.00
4640860		LANGFORD 45-5	3,642.00	437.00	4,079.00
4600002	PRPI-25004-20	MILBANK 25-4 Fiscal Agent	11,068.00	1,327.00	12,395.00
4651750		NORTHWESTERN 56-7	4,501.00	540.00	5,041.00
4663360		ROSHOLT 54-4	3,855.00	462.00	4,317.00
CONSORTIUM TOTAL			30,912.00	3,706.00	34,618.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
NORTHERN SD HI TECH CONSORTIUM:					
4634440		EDMUNDS CENTRAL 22-5	3,689.00	442.00	4,131.00
4622560		EUREKA 44-1	2,512.00	301.00	2,813.00
4600045		GROTON AREA 06-6	6,487.00	778.00	7,265.00

4632430		HERREID 10-1	949.00	114.00	1,063.00
4636060		IPSWICH 22-6	3,310.00	397.00	3,707.00
4641640		LEOLA 44-2	3,624.00	434.00	4,058.00
4601028		SELBY 62-5	4,286.00	514.00	4,800.00
4676020	PRPI-05005-20	WARNER 06-5-Fiscal Agent	2,711.00	325.00	3,036.00
CONSORTIUM TOTAL			27,568.00	3,305.00	30,873.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
NORTH STAR CONSORTIUM:					
4607050		BISON 52-1	2,470.00	296.00	2,766.00
4641520	PRPI-52004-20	LEMMON 52-2 Fiscal Agent	4,768.00	572.00	5,340.00
4680441		MOBRIDGE-POLLOCK 62-6	10,973.00	1,315.00	12,288.00
4650850		NEWELL 09-2	6,055.00	726.00	6,781.00
CONSORTIUM TOTAL			24,266.00	2,909.00	27,175.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
4680280	PRPI-52201-20	NORTHWEST AREA EDUCATIONAL COOP: Fiscal Agent			
4619450		DUPREE 64-2	10,756.00	1,289.00	12,045.00
4622940		FAITH 46-2	2,770.00	332.00	3,102.00
4609300		HARDING COUNTY 31-1	2,362.00	283.00	2,645.00
4646260		MCINTOSH 15-1	7,020.00	842.00	7,862.00
4646380		MCLAUGHLIN 15-2	26,465.00	3,173.00	29,638.00
4675600		SMEE 15-3	4,834.00	580.00	5,414.00
4671880		TIMBER LAKE 20-3	9,894.00	1,186.00	11,080.00
5900190		TIOSPAYE TOPA SCHOOL	5,623.00	674.00	6,297.00
CONSORTIUM TOTAL			69,724.00	8,359.00	78,083.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
PARAGON RIDGE CONSORTIUM:					
4603780		ARMOUR 21-1	2,228.00	267.00	2,495.00
4680445		CORSICA-STICKNEY 21-3	4,966.00	595.00	5,561.00
4638220	PRPI-07002-20	KIMBALL 07-2 - Fiscal Agent	4,541.00	544.00	5,085.00
4655710		PLANKINTON 01-1	2,655.00	318.00	2,973.00
4680438		PLATTE-GEDES 11-5	7,915.00	949.00	8,864.00
4603932		SANBORN CENTRAL 55-5	3,346.00	401.00	3,747.00
4677460		WESSINGTON SPRINGS 36-2	5,141.00	616.00	5,757.00
4678510		WHITE LAKE 01-3	1,958.00	235.00	2,193.00
4680190		WOONSOCKET 55-4	3,887.00	466.00	4,353.00
CONSORTIUM TOTAL			36,637.00	4,391.00	41,028.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
PIERRE/STANLEY CONSORTIUM:					
4655260	PRPI-32002-20	PIERRE 32-2 Fiscal Agent	32,359.00	3,879.00	36,238.00
4624850		STANLEY COUNTY 57-1	5,476.00	656.00	6,132.00
CONSORTIUM TOTAL			37,835.00	4,535.00	42,370.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
4600050	PRPI-39201-20	PRAIRIE LAKES CONSORTIUM: Fiscal Agent			
4604680		BALTIC 49-1	3,807.00	456.00	4,263.00
4612300		CHESTER 39-1	2,024.00	243.00	2,267.00
4614100		COLMAN-EGAN 50-5	3,990.00	478.00	4,468.00
4618120		DELL RAPIDS 49-3	9,595.00	1,150.00	10,745.00
4624390		FLANDREAU 50-3	8,926.00	1,070.00	9,996.00
4626370		GARRETSON 49-4	4,351.00	522.00	4,873.00
4600025		HOWARD 48-3	5,273.00	632.00	5,905.00
4639600		MADISON CENTRAL 39-2	16,103.00	1,930.00	18,033.00
4601027		OLDHAM-RAMONA 39-5	1,608.00	193.00	1,801.00
4664140		RUTLAND 39-4	1,161.00	139.00	1,300.00
4644940		TRI-VALLEY 49-6	10,369.00	1,243.00	11,612.00
CONSORTIUM TOTAL			67,207.00	8,056.00	75,263.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
4680431	PRPI-04201-20	SOUTH CENTRAL COOP: Fiscal Agent			
4639540		ANDES CENTRAL 11-1	19,381.00	2,323.00	21,704.00
4604270		AVON 04-1	3,378.00	405.00	3,783.00
4607400		BON HOMME 04-2	7,808.00	936.00	8,744.00
5900055		MARTY INDIAN SCHOOL	4,209.00	505.00	4,714.00
4647100		MENNO 33-2	2,924.00	351.00	3,275.00
4665180		SCOTLAND 04-3	4,251.00	510.00	4,761.00
4672450		TRIPP-DELMONT 33-5	5,045.00	605.00	5,650.00
4675420		WAGNER 11-4	15,880.00	1,904.00	17,784.00
CONSORTIUM TOTAL			62,876.00	7,539.00	70,415.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
4664300	PRPI-61201-20	SOUTHEAST AREA COOP: Fiscal Agent			
4600028		ALCESTER-HUDSON 61-1	3,925.00	471.00	4,396.00
4606360		BERESFORD 61-2	6,480.00	777.00	7,257.00
4610560		CANTON 41-1	11,315.00	1,356.00	12,671.00
4611760		CENTERVILLE 60-1	3,333.00	400.00	3,733.00
4621340		ELK POINT-JEFFERSON 61-7	6,304.00	756.00	7,060.00
4626490		GAYVILLE-VOLIN 63-1	3,183.00	382.00	3,565.00
4680439		IRENE-WAKONDA 13-3	3,680.00	441.00	4,121.00
4674520		VIBORG-HURLEY 60-6	4,985.00	598.00	5,583.00
CONSORTIUM TOTAL			43,205.00	5,181.00	48,386.00

NCES	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
4600024	PRPI-37201-20	THREE RIVERS COOP: Fiscal Agent			
4606240		BENNETT COUNTY 03-1	28,842.00	3,458.00	32,300.00
4619580		JONES COUNTY 37-3	2,677.00	321.00	2,998.00
4644770		LYMAN 42-1	9,146.00	1,096.00	10,242.00
4678570		WHITE RIVER 47-1	13,495.00	1,618.00	15,113.00
CONSORTIUM TOTAL			54,160.00	6,493.00	60,653.00

NCES LEA ID	AWARD #	CONSORTIUM	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
WEST RIVER CONSORTIUM:					
4609512	PRPI-26002-20	BURKE 26-2 Fiscal Agent	3,696.00	443.00	4,139.00
4614130		COLOME 59-3	4,727.00	567.00	5,294.00
4629880		GREGORY 26-4	5,538.00	664.00	6,202.00
4607670		SOUTH CENTRAL 26-5	2,496.00	299.00	2,795.00
CONSORTIUM TOTAL			16,457.00	1,973.00	18,430.00

4. For the upcoming program year, provide the specific dollar allocations made available by the eligible agency for career and technical education programs and programs of study under section 132(a) of the Act and describe how these allocations are distributed to eligible institutions and consortia of eligible institutions within the State.

Four public technical colleges and one tribal college are approved at the postsecondary level for funding under Perkins V. No consortia exist at the postsecondary level.

Funds are allocated based on the statutory formula contained in the Strengthening Career and Technical Education Act for the 21st Century (Perkins V), section 132: Distribution of Funds to Postsecondary Education Programs:

(2) FORMULA.—Each eligible institution or consortium of eligible institutions shall be allocated an amount that bears the same relationship to the portion of funds made available under section 112(a)(1) to carry out this section for any fiscal year as the sum of the number of individuals who are Federal Pell Grant recipients and recipients of assistance from the Bureau of Indian Affairs enrolled in programs meeting the requirements of

section 135 offered by such institution or consortium in the preceding fiscal year bears to the sum of the number of such recipients enrolled in such programs within the State for such year. Preliminary allocations for FY19 are below:

Carl D Perkins Grant
Career and Technical Education Improvement Act - CFDA #
Preliminary Allocations for School Year 2019-2020
State Fiscal Year 2020

Allocations are preliminary estimates based on currently available data March 18, 2019

AWARD #	TECHNICAL INSTITUTES	ALLOCATION AMOUNT	REALLOCATION AMOUNT	TOTAL AVAILABLE
PRPI-80057-20	LAKE AREA TECHNICAL INSTITUTE	575,607.00	8,363.00	583,970.00
PRPI-80058-20	MITCHELL TECHNICAL INSTITUTE	305,092.00	4,433.00	309,525.00
PRPI-80059-20	SOUTHEAST TECHNICAL INSTITUTE	608,828.00	8,846.00	617,674.00
PRPI-80060-20	WESTERN DAKOTA TECHNICAL INSTITUTE	425,773.00	6,186.00	431,959.00
PRPI-89001-20	OGLALA LAKOTA COLLEGE	54,239.00	788.00	55,027.00

Eligible recipients submit budgets for approval through the department’s grants management system. Public access to the system can be found here: <https://sddoe.mtbgms.org/SDDOEGMSWeb/StaticPages/Menulist.aspx>.

Allocations are distributed to local education agencies and career and technical education schools through use of the department’s Grants Management System (GMS). The GMS includes a grant application process, and a subsequent payment process. Allocation amounts are loaded into the GMS and available for budgeting by each eligible entity. After the application and budget are reviewed and approved by the department, the entity may request reimbursements through the GMS for expenditures made in accordance with the approved budget. The department distributes payments to subrecipients based on the reimbursement requests that are submitted on the GMS. The department drawdowns program funds from the federal G5 after the payments are made to subrecipients.

- Describe how the eligible agency will adjust the data used to make the allocations to reflect any changes in school district boundaries that may have occurred since the population and/or enrollment data was collected, and include local education agencies without geographical boundaries, such as charter schools and secondary schools funded by the Bureau of Indian Education. (Section 131(a)(3) of Perkins V)

The South Dakota Department of Education uses the most recent data provided by the Bureau of the Census for the purposes of determining eligibility under Title I of the Elementary and Secondary Education Act to make allocations. This data includes the number of individuals aged 5 through 17, and the number of these individuals from families below the poverty level, who reside in each school district.

The Census data is adjusted to reflect changes in school district boundaries and include local education agencies without geographical boundaries funded by the Bureau of Indian Education. South Dakota does not have charter schools. The steps taken will depend on the nature of the school district boundary change as follows:

- The consolidation of two or more school districts to form one new larger school district that conforms to the same external boundaries as the consolidating districts. The Census data of the consolidating districts will be aggregated together to obtain the new school district’s aged 5 through 17 population and poverty counts.

- A school district that dissolves or consolidates with two or more school districts. The Census counts from the dissolving district will be proportionally distributed to the new resident districts based the number of resident students identified in each of the absorbing or new school districts.
- Local education agencies (LEA) that include BIE funded schools located within the geographical boundaries of the LEA. The student population of the BIE schools is inclusive of the resident Census data for the LEA. Adjustments are made by using the LEA's and BIE funded schools' fall enrollment numbers. The fall enrollment numbers of the LEA and BIE schools are added together and then prorated out on a percentage basis. The prorated percentages are then multiplied by the LEA's Census Data poverty and Census Data population count to determine the split between the LEA and the BIE schools. These prorated numbers are then used to calculate their allocations.

6. If the eligible agency will submit an application for a waiver to the secondary allocation formula described in section 131(a)—
- a. include a proposal for such an alternative formula; and
 - b. describe how the waiver demonstrates that a proposed alternative formula more effectively targets funds on the basis of poverty (as defined by the Office of Management and Budget and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2)) to local educational agencies with the State. (Section 131(b) of Perkins V)

Also indicate if this is a waiver request for which you received approval under the prior Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV).

South Dakota is not requesting a waiver of the secondary allocation formula.

7. If the eligible agency will submit an application for a waiver to the postsecondary allocation formula described in section 132(a)—
- a. include a proposal for such an alternative formula; and
 - b. describe how the formula does not result in a distribution of funds to the eligible institutions or consortia with the State that have the highest numbers of economically disadvantaged individuals and that an alternative formula will result in such a distribution. (Section 132(b) of Perkins V)

Also indicate if this is a waiver request for which you received approval under the prior Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV).

South Dakota is not requesting a waiver of the postsecondary allocation formula.

8. Provide the State's fiscal effort per student, or aggregate expenditures for the State, that will establish the baseline for the Secretary's annual determination on whether the State has maintained its fiscal effort, and indicate whether the baseline is a continuing level or new level. If the baseline is new, please provide the fiscal effort per student, or aggregate expenditures for the State, for the preceding fiscal year. (Section 211(b)(1)(D) of Perkins V)

\$636,852.

D. Accountability for Results

1. Identify and include at least one (1) of the following indicators of career and technical education program quality—
 - a. the percentage of CTE concentrators (see Text Box 6 for the statutory definition of a CTE concentrator under section 3(12) of Perkins V) graduating from high school having attained a recognized postsecondary credential;
 - b. the percentage of CTE concentrators graduating high school having attained postsecondary credits in relevant career and technical education programs and programs of study earned through a dual or concurrent enrollment program or another credit transfer agreement; and/or
 - c. the percentage of CTE concentrators graduating from high school having participated in work-based learning. (Section 113(b)(2)(A)(iv)(I) of Perkins V)

Include any other measure(s) of student success in career and technical education that are statewide, valid, and reliable, and comparable across the State. (Section 113(b)(2)(A)(iv)(II) of Perkins V) Please note that inclusion of “other” program quality measure(s) is optional for States.

Provide the eligible agency’s measurement definition with a numerator and denominator for each of the quality indicator(s) the eligible agency selects to use.

2. Provide on the form in Section V.B, for each year covered by the State plan beginning in FY 2020, State determined performance levels or each of the secondary and postsecondary core indicators, with the levels of performance being the same for all CTE concentrators in the State. (Section 113(b)(3)(A)(i)(I) of Perkins V)

Text Box 6: Statutory Definition of CTE Concentrator

The term ‘CTE concentrator’ means—

- (A) at the secondary school level, a student served by an eligible recipient who has completed at least 2 courses* in a single career and technical education program or program of study; and
- (B) at the postsecondary level, a student enrolled in an eligible recipient who has—
 - (i) earned at least 12 credits within a career and technical education program or program of study; or
 - (ii) completed such a program if the program encompasses fewer than 12 credits or the equivalent in total. (Section 3(12) of Perkins V)

* This means that once a student completes 2 courses in a single CTE program or program of study, they are counted as a CTE concentrator.

(Section 3(12) of Perkins V)

3. Describe the procedure the eligible agency adopted for determining State determined levels of performance described in section 113 of Perkins V, which at a minimum shall include—
 - a. a description of the process for public comment under section 113(b)(3)(B) of Perkins V as part of the development of the State determined levels of performance (see Text Box 7 for the statutory requirements for consultation on State determined performance levels under section 113(b)(3)(B) of Perkins V);
 - b. an explanation for the State determined levels of performance that meet each of the statutory requirements in Text Box 8; and
 - c. a description of how the State determined levels of performance set by the eligible agency align with the levels, goals and objectives other Federal and State laws, (Section 122(d)(10) of Perkins V).

As part of the procedures for determining State determined levels of performance, describe the process that will be used to establish a baseline for those levels.

4. Provide a written response to the comments regarding State determined performance levels received during the public comment period pursuant to section 113(b)(3)(B) of Perkins V. (Section 113(b)(3)(B)(iii) of Perkins V).

As part of the written response, include a description of any the changes made to the State determined performance levels as a result of stakeholder feedback.

5. Describe how the eligible agency will address disparities or gaps in performance as described in section 113(b)(3)(C)(ii)(II) of Perkins V in each of the plan years, and if no meaningful progress has been achieved prior to the third program year, a description of the additional actions the eligible agency will take to eliminate these disparities or gaps. (Section 122(d)(11) of Perkins V)

As part of the written response, and pursuant to the Report of the Senate Committee on Health, Education, Labor, and Pensions (HELP),⁸ the eligible agency could indicate that it will analyze data on the core indicators of performance to identify gaps in performance, explain how they will use evidence-based research to develop a plan to provide support and technical assistance to eligible recipients to address and close such gaps, and how they will implement this plan. The eligible agency is not required to submit a new State plan prior to the third program year in order to address this requirement.

**Text Box 7: Statutory Requirements for Consultation on
State Determined Performance Levels**

(B) PUBLIC COMMENT.—

- (i) **IN GENERAL.—**Each eligible agency shall develop the levels of performance under subparagraph (A) in consultation with the stakeholders identified in section 122(c)(1)(A).
- (ii) **WRITTEN COMMENTS.—**Not less than 60 days prior to submission of the State plan, the eligible agency shall provide such stakeholders with the opportunity to provide written comments to the eligible agency, which shall be included in the State plan, regarding how the levels of performance described under subparagraph (A)—
 - (I) meet the requirements of the law;
 - (II) support the improvement of performance of all CTE concentrators, including subgroups of students, as described in section 1111(h)(1)(C)(ii) of the Elementary and Secondary Education Act of 1965, and special populations, as described in section 3(48); and
 - (III) support the needs of the local education and business community.
- (iii) **ELIGIBLE AGENCY RESPONSE.—**Each eligible agency shall provide, in the State plan, a written response to the comments provided by stakeholders under clause (ii).

(Section 113(b)(3)(B) of Perkins V)

⁸ See page 11 of Committee Report at <https://www.congress.gov/115/crpt/srpt434/CRPT-115srpt434.pdf>.

III. ASSURANCES, CERTIFICATIONS, AND OTHER FORMS

A. Statutory Assurances

■ The eligible agency assures that:

1. It made the State plan publicly available for public comment⁹ for a period of not less than 30 days, by electronic means and in an easily accessible format, prior to submission to the Secretary for approval and such public comments were taken into account in the development of this State plan. (Section 122(a)(4) of Perkins V)
2. It will use the funds to promote preparation for high-skill, high-wage, or in-demand industry sectors or occupations and non-traditional fields, as identified by the State. (Section 122(d)(13)(C) of Perkins V)
3. It will provide local educational agencies, area career and technical education schools, and eligible institutions in the State with technical assistance, including technical assistance on how to close gaps in student participation and performance in career and technical education programs. (Section 122(d)(13)(E) of Perkins V)
4. It will comply with the requirements of this Act and the provisions of the State plan, including the provision of a financial audit of funds received under this Act, which may be included as part of an audit of other Federal or State programs. (Section 122(d)(13)(A) of Perkins V)
5. None of the funds expended under this Act will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the acquiring entity or the employees of the acquiring entity, or any affiliate of such an organization. (Section 122(d)(13)(B) of Perkins V)
6. It will use the funds provided under this Act to implement career and technical education programs and programs of study for individuals in State correctional institutions, including juvenile justice facilities. (Section 122 (d)(13)(D) of Perkins V)

⁹ An eligible agency that submits a 1-Year Transition Plan in FY 2019 is not required to hold a public comment period on the 1-Year Transition Plan. Such agency must assure that it meets this public comment requirement prior to submitting its Perkins V State Plan in FY 2020.

B. EDGAR Certifications

- By submitting a Perkins V State Plan, consistent with 34 CFR 76.104, the eligible agency certifies that:
 1. It is eligible to submit the Perkins State plan.
 2. It has authority under State law to perform the functions of the State under the Perkins program(s).
 3. It legally may carry out each provision of the plan.
 4. All provisions of the plan are consistent with State law.
 5. A State officer, specified by title in Item C on the Cover Page, has authority under State law to receive, hold, and disburse Federal funds made available under the plan.
 6. The State officer who submits the plan, specified by title in Item C on the Cover Page, has authority to submit the plan.
 7. The entity has adopted or otherwise formally approved the plan.
 8. The plan is the basis for State operation and administration of the Perkins program.

C. Other Forms

- The eligible agency certifies and assures compliance with the following enclosed forms:
 1. Assurances for Non-Construction Programs (SF 424B) Form (OMB Control No. 0348-0040) - <https://www2.ed.gov/fund/grant/apply/appforms/sf424b.pdf>
 2. Disclosure of Lobbying Activities (SF LLL) (OMB Control No. 4040-0013): https://apply07.grants.gov/apply/forms/sample/SFLLL_1_2-V1.2.pdf
 3. Certification Regarding Lobbying (ED 80-0013 Form): <https://www2.ed.gov/fund/grant/apply/appforms/ed80-013.pdf>
 4. General Education Provisions Act (GEPA) 427 Form (OMB Control No. 1894-0005): <https://www2.ed.gov/fund/grant/apply/appforms/gepa427.pdf>

IV. BUDGET

A. Instructions

1. On the form in Item IV.B below, provide a budget for the upcoming fiscal year. As you prepare your budget, refer to the statutory descriptions and assurances in Section II.C and Section III.A, respectively, of this guide.

2. In completing the budget form, provide--

Line 1: The total amount of funds allocated to the eligible agency under section 112(a) of Perkins V. *This amount should correspond to the amount of funds noted in the Department's program memorandum with estimated State allocations for the fiscal year.*

Line 2: The amount of funds made available to carry out the administration of the State plan under section 112(a)(3). *The percent should equal not more than 5 percent of the funds allocated to the eligible agency as noted on Line 1, or \$250,000, whichever is greater.*

Line 3: The amount of funds made available to carry out State leadership activities under section 112(a)(2) of Perkins V. *The percent should equal not more than 10 percent of the funds allocated to the eligible agency as noted on Line 1.*

Line 4: The percent and amount of funds made available to serve individuals in State institutions, such as: (a) correctional institutions; (b) juvenile justice facilities; and (c) educational institutions that serve individuals with disabilities pursuant to section 112(a)(2)(A) of Perkins V. *The percent of funds should equal not more than 2 percent of the funds allocated to the eligible agency as noted on Line 1.*

Line 5: The amount of funds to be made available for services that prepare individuals for non-traditional fields pursuant to section 112(a)(2)(B) of Perkins V. *The amount of funds should be not less than \$60,000 and not more than \$150,000.*

Line 6: The amount of funds to be made available for the recruitment of special populations to enroll in career and technical education programs pursuant to section 112(a)(2)(C) of Perkins V. *The percent of funds shall not be less than the lesser of an amount equal to 0.1 percent of the funds made available by the eligible agency for State leadership activities as noted on Line 3, or \$50,000.*

Line 7: The percent and amount of funds to be made available to eligible recipients [local education agencies (secondary recipients) and institutions of higher education (postsecondary recipients)] pursuant to section 112(a)(1) of Perkins V. *The percent of funds should be not less than 85 percent of the funds allocated to the eligible agency as noted on Line 1.*

- Line 8: The percent and amount, if any, of funds to be reserved and made available to eligible recipients under section 112(c) of Perkins V. *The percent of funds should be not more than 15 percent of the 85 percent of funds noted on Line 7.*
- Line 9: The percent and amount, if any, of funds to be reserved and made available to secondary recipients under section 112(c) of Perkins V.
- Line 10: The percentage and amount, if any, of funds to be reserved and made available to postsecondary recipients under section 112(c) of Perkins V.
- Line 11: The percent and amount of funds to be made available to eligible recipients under section 112(a)(1) of Perkins V. *The percent and amount of funds should represent the funds remaining after subtracting any reserve as noted on Line 8.*
- Line 12: The percent and amount of funds to be distributed to secondary recipients under the allocation formula described in section 131 of Perkins V.
- Line 13: The percent and amount of funds to be distributed to postsecondary recipients under the allocation formula described in section 132 of Perkins V.
- Line 14: The amount of funds to be made available for the State administration match requirement under section 112(b) of Perkins. *The amount of funds shall be provided from non-Federal sources and on a dollar-for-dollar basis.*

B: Budget Form

State Name: _____

Fiscal Year (FY): _____

Line Number	Budget Item	Percent of Funds	Amount of Funds
1	Total Perkins V Allocation	Not applicable	\$
2	State Administration	%	\$
3	State Leadership	%	\$
4	• Individuals in State Institutions	%	\$
4a	– Correctional Institutions	Not required	\$
4b	– Juvenile Justice Facilities	Not required	\$
4c	– Institutions that Serve Individuals with Disabilities	Not required	\$
5	• Non-traditional Training and Employment	Not applicable	\$
6	• Special Populations Recruitment	%	\$
7	Local Formula Distribution	%	\$
8	• Reserve	%	\$
9	– Secondary Recipients	%	\$
10	– Postsecondary Recipients	%	\$
11	• Allocation to Eligible Recipients	%	\$
12	– Secondary Recipients	%	\$
13	– Postsecondary Recipients	%	\$
14	State Match (<i>from non-federal funds</i>)	Not applicable	\$

V. STATE DETERMINED PERFORMANCE LEVELS

A. Instructions

1. On the form in Item V.B, provide State determined performance levels (SDPLs), covering FY 2020-23, for each of the secondary and postsecondary core indicators of performance for all CTE concentrators in the State described in section 113(b) of Perkins V (see Table 6). In preparing your SDPLs, refer to your narrative descriptions in Section II.D of this guide.

2. In completing the SDPL form, provide—

Column 2: Baseline level

Columns 3-6: State determined levels of performance for each year covered by the State plan, beginning for FY 2020, expressed in percentage or numeric form and that meets the requirements of section 113(b)(3)(A)(III) of Perkins V. See Text Box 8 for the statutory requirements for setting State determined levels of performance under section 113(b)(3)(A)(iii) of Perkins V.

3. Revise, as applicable, the State determined levels of performance for any of the core indicators of performance—

- i. Prior to the third program year covered by the State plan for the subsequent program years covered by the State plan pursuant to section 113(b)(3)(A)(ii); and/or
- ii. Should unanticipated circumstances arise in a State or changes occur related to improvement in data or measurement approaches pursuant to section 113(b)(3)(A)(iii).

Please note that, pursuant to section 123(a)(5) of Perkins V, an eligible agency may not adjust performance levels for any core indicators that are subject to, and while executing, an improvement plan pursuant to section 123(a) of Perkins V.

**Text Box 8: Statutory Requirements for State Determined
Performance Levels**

- (III) REQUIREMENTS.—Such State determined levels of performance shall, at a minimum—
- (aa) be expressed in a percentage or numerical form, so as to be objective, quantifiable, and measurable;
 - (bb) require the State to continually make meaningful progress toward improving the performance of all career and technical education students, including the subgroups of students described in section 1111(h)(1)(C)(ii) of the Elementary and Secondary Education Act of 1965, and special populations, as described in section 3(48); and
 - (cc) have been subject to the public comment process described in subparagraph (B), and the eligible agency has provided a written response;
 - (dd) when being adjusted pursuant to clause (ii), take into account how the levels of performance involved compare with the State levels of performance established for other States, considering factors including the characteristics of actual (as opposed to anticipated) CTE concentrators when the CTE concentrators entered the program, and the services or instruction to be provided;
 - (ee) when being adjusted pursuant to clause (ii), be higher than the average actual performance of the 2 most recently completed program years, except in the case of unanticipated circumstances that require revisions in accordance with clause (iii); and
 - (ff) take into account the extent to which the State determined levels of performance advance the eligible agency's goals, as set forth in the State plan.

(Section 113(b)(3)(A)(i)(III) of Perkins V)

Table 6: Section 113(b) Core Indicators of Performance

Indicator Descriptions	Indicator Codes	Indicator Names
Secondary Level		
The percentage of CTE concentrators who graduate high school, as measured by the four-year adjusted cohort graduation rate (defined in section 8101 of the Elementary and Secondary Education Act of 1965).	1S1	Four-Year Graduation Rate
(At the State’s discretion) The percentage of CTE concentrators who graduate high school, as measured by extended-year adjusted cohort graduation rate defined in such section 8101.	1S2	Extended Graduation Rate
CTE concentrator proficiency in the challenging State academic standards adopted by the State under section 1111(b)(1) of the Elementary and Secondary Education Act of 1965, as measured by the academic assessments in reading/language arts as described in section 1111(b)(2) of such Act.	2S1	Academic Proficiency in Reading/Language Arts
CTE concentrator proficiency in the challenging State academic standards adopted by the State under section 1111(b)(1) of the Elementary and Secondary Education Act of 1965, as measured by the academic assessments in mathematics as described in section 1111(b)(2) of such Act.	2S2	Academic Proficiency in Mathematics
CTE concentrator proficiency in the challenging State academic standards adopted by the State under section 1111(b)(1) of the Elementary and Secondary Education Act of 1965, as measured by the academic assessments in science as described in section 1111(b)(2) of such Act.	2S3	Academic Proficiency in Science
The percentage of CTE concentrators who, in the second quarter after exiting from secondary education, are in postsecondary education or advanced training, military service or a service program that receives assistance under title I of the National and Community Service Act of 1990 (42 U.S.C. 12511 et seq.), are volunteers as described in section 5(a) of the Peace Corps Act (22 U.S.C. 2504(a)), or are employed.	3S1	Post-Program Placement

Table 6: Section 113(b) Core Indicators of Performance (continued)

Indicator Descriptions	Indicator Codes	Indicator Names
Secondary Level (continued)		
The percentage of CTE concentrators in career and technical education programs and programs of study that lead to non-traditional fields. ¹⁰	4S1	Non-traditional Program Concentration
<i>The eligible agency must include at least one program quality indicator—5S1, 5S2, or 5S3—and may include any other quality measure(s) that are statewide, valid, reliable, and comparable across the State.</i>		
The percentage of CTE concentrators graduating from high school having attained a recognized postsecondary credential.	5S1	Program Quality – Attained Recognized Postsecondary Credential
The percentage of CTE concentrators graduating from high school having attained postsecondary credits in the relevant career and technical education program or program of study earned through a dual or concurrent enrollment or another credit transfer agreement	5S2	Program Quality – Attained Postsecondary Credits
The percentage of CTE concentrators graduating from high school having participated in work-based learning.	5S3	Program Quality – Participated in Work-Based Learning
Other(s) (<i>optional</i>): The percentage of CTE concentrators achieving on any other measure of student success in career and technical education that is statewide, valid, and reliable, and comparable across the State. Please identify.	5S4, 5S5, 5S6, ...	Program Quality – Other

¹⁰ This means that a student gets counted under this indicator if individuals from their gender comprise less than 25 percent of the individuals employed in the related occupation or field of work.

Table 6: Section 113(b) Core Indicators of Performance (continued)

Indicator Descriptions	Indicator Codes	Indicator Names
Postsecondary Level		
The percentage of CTE concentrators who, during the second quarter after program completion, remain enrolled in postsecondary education, are in advanced training, military service, or a service program that receives assistance under title I of the National and Community Service Act of 1990 (42 U.S.C. 12511 et seq.), are volunteers as described in section 5(a) of the Peace Corps Act (22 U.S.C. 2504(a)), or are placed or retained in employment.	1P1	Post-Program Placement
The percentage of CTE concentrators who receive a recognized postsecondary credential during participation in or within 1 year of program completion. ¹¹	2P1	Earned Recognized Postsecondary Credential
The percentage of CTE concentrators in career and technical education programs and programs of study that lead to non-traditional fields. ¹²	3P1	Non-traditional Program Concentration

¹¹ This means that a student gets counted under this indicator whether the student obtains the credential during participation or within 1 year of completion. The Department interprets “within 1 year of completion” to have the plain meaning of those words: that the student would be counted if the student obtains the credential in the 1 year following that student’s completion of the program.

¹² This means that a student gets counted under this indicator if individuals from their gender comprise less than 25 percent of the individuals employed in the related occupation or field of work.

B: State Determined Performance Levels (SDPL) Form

State Name: _____

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6
Indicators	Baseline Level	Performance Levels			
		FY 2020	FY 2021	FY 2022	FY 2023
Secondary Indicators					
1S1: Four-Year Graduation Rate					
1S2: Extended Graduation Rate					
2S1: Academic Proficiency in Reading Language Arts					
2S2: Academic Proficiency in Mathematics					
2S3: Academic Proficiency in Science					
3S1: Post-Program Placement					
4S1: Non-traditional Program Concentration					
5S1: Program Quality – Attained Recognized Postsecondary Credential					
5S2: Program Quality – Attained Postsecondary Credits					
5S3: Program Quality – Participated in Work-Based Learning					
5S4: Program Quality – Other ¹³					

¹³ The Perkins V State Plan Portal will allow an eligible agency to include on this form as many “other” program quality indicators as they choose.

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6
Indicators	Baseline Level	Performance Levels			
		FY 2020	FY 2021	FY 2022	FY 2023
Postsecondary Indicators					
1P1: Post-Program Placement					
2P1: Earned Recognized Postsecondary Credential					
3P1: Non-traditional Program Concentration					

Provide any additional information regarding SDPLs, as necessary: