

Higher Education Savings Plan  
Compliance Schedule  
June 20, 2023

**Compliance Schedule: Calendar Year 2022-Calendar Q4**

	<b>Required Action</b>	<b>Target Date</b>	<b>Date Completed</b>
1.	Quarterly conference call and performance review	Nov 15	Nov 2

**Compliance Schedule: Calendar Year 2023-Calendar Q1**

	<b>Required Action</b>	<b>Target Date</b>	<b>Date Completed</b>
1.	Quarterly conference call and performance review (qtr. & annual)	Feb 15	Scheduled 1/31/23
2.	Annual Report to Governor	Feb 1	Jan 19
3.	Confirmation of 65 bps expense for SD-D accts	Feb 28	March 1
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	Mar 31	March 27
5.	Review of VPD insurance certificates	Mar 31	March 29
6.	Review CollegeAccess financials	Mar 31	March 15
7.	Annual Audit Completed	Mar 31	March 24

**Compliance Schedule: Calendar Year 2023-Calendar Q2**

	<b>Required Action</b>	<b>Target Date</b>	<b>Date Completed</b>
1.	Quarterly conference call and performance review	May 15	May 10
2.	Review Virtus financials	May 31	May 30

**Compliance Schedule: Calendar Year 2023-Calendar Q3**

	<b>Required Action</b>	<b>Target Date</b>	<b>Date Completed</b>
1.	VPD to provide any recommendations for fund and & asset allocation changes	July 1 or other agreed-upon time	
2.	Quarterly conference call and performance review with VPD	Aug 15	
3.	Wire transfer of scholarship funds from VPD to Dakota Corps Scholarship Fd.	July 21	
4.	VPD pay Scholarship Admin. (BOR)	July 21	
5.	Council approves independent auditor (PwC) for the current calendar year	August SDIC meeting	
6.	Communication to VPD regarding approving of auditor	Within 2 days of Council approval	
6.	VPD reimbursed Council for expenses (SDIO salary, conference, supplies)	August 31	