

Higher Education Savings Plan
Compliance Schedule
January 17, 2023

Compliance Schedule: Calendar Year 2022-Calendar Q2

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review	May 15	April 25
2.	Review Virtus financials	May 31	June 2

Compliance Schedule: Calendar Year 2022-Calendar Q3

	Required Action	Target Date	Date Completed
1.	VPD to provide any recommendations for fund and & asset allocation changes	July 1 or other agreed-upon time	July 7
2.	Quarterly conference call and performance review with VPD	Aug 15	August 3
3.	Wire transfer of scholarship funds from VPD to Dakota Corps Scholarship Fd.	July 21	August 9
4.	VPD pay Scholarship Admin. (BOR)	July 21	August 11
5.	Council approves independent auditor (PwC) for the current calendar year	August SDIC meeting	August 23
6.	Communication to VPD regarding approving of auditor	Within 2 days of Council approval	August 29
6.	VPD reimbursed Council for expenses (SDIO salary, conference, supplies)	August 31	August 23

Compliance Schedule: Calendar Year 2022-Calendar Q4

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review	Nov 15	Nov 2

**Compliance Schedule: Calendar Year 2023
-Calendar Q1**

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review (qtr. & annual)	Feb 15	Scheduled 1/31/23
2.	Annual Report to Governor	Feb 1	Jan 19
3.	Confirmation of 65 bps expense for SD-D accts	Feb 28	
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	Mar 31	
5.	Review of VPD insurance certificates	Mar 31	
6.	Review CollegeAccess financials	Mar 31	
7.	Annual Audit Completed	Mar 31	