

Higher Education Savings Plan
CollegeAccess 529
11/8/2018

Compliance Schedule: Calendar Year 2018-Q1

	Required Action	Deadline	Date Completed
1.	Quarterly conference call and performance review (qtr. & annual)	January 31	Jan 31
2.	Annual Report to Governor	Feb 1	Jan 30
3.	Confirmation of 65 bps expense for SD-D accts	February 28	Jan 8
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	March 31	March 22
5.	Review of Allianz insurance certificates	March 31	March 28
6.	Review CollegeAccess financials	March 31	March 21
7.	Annual Audit Completed	March 31	March 21

Compliance Schedule: Calendar Year 2018-Q2

	Required Action	Deadline	Date Completed
1.	Quarterly conference call and performance review	April 30	April 27
2.	Review AGID financials	April 30	April 27
3.	Review AGIA financials	April 30	April 27

Compliance Schedule: Calendar Year 2018-Q3

	Required Action	Deadline	Date Completed
1.	Wire transfer of scholarship funds from Allianz to Dakota Corps Scholarship Fd.	July 21	July 20
2.	Allianz pay Scholarship Administrator	July 21	July 20
3.	Quarterly conference call and performance review	July 31	August 7
4.	Adjust \$45,000 salary by CPI change and submit expenses to Program Manager	July 30	July 16
5.	Council approves independent auditor for the current calendar year	Aug 31	Aug 27
6.	Communication to Allianz regarding approving of auditor	Within 2 days of Council approval	Aug 28
7.	Program Mgr. reimburse Council for expenses	August 31	August 8

Compliance Schedule: Calendar Year 2018-Q4

	Required Action	Deadline	Date Completed
1.	Quarterly conference call and performance review	October 31	Nov 6
3.	Allianz recommendations for fund and & asset allocation changes	October 15 December 1	Oct 30
4.	Council approves new funds	Nov/Dec SDIC mtg	