

Higher Education Savings Plan
Compliance Schedule
May 11, 2022

Compliance Schedule: Calendar Year 2021-Calendar Q4

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review	Nov 15	Oct 28

Compliance Schedule: Calendar Year 2022-Calendar Q1

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review (qtr. & annual)	Feb 15	Scheduled 2/2/22
2.	Annual Report to Governor	Feb 1	Jan 18
3.	Confirmation of 65 bps expense for SD-D accts	Feb 28	Feb 17
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	Mar 31	Mar 29
5.	Review of VPD insurance certificates	Mar 31	Mar 29
6.	Review CollegeAccess financials	Mar 31	Mar 22
7.	Annual Audit Completed	Mar 31	Mar 28

Compliance Schedule: Calendar Year 2022-Calendar Q2

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review	May 15	April 25
2.	Review Virtus financials	May 31	

Compliance Schedule: Calendar Year 2022-Calendar Q3

	Required Action	Target Date	Date Completed
1.	Allianz to provide any recommendations for fund and & asset allocation changes	July 1 or other agreed-upon time	
2.	Quarterly conference call and performance review with VPD	Aug 15	
3.	Adjust \$45,000 salary by CPI change and submit expenses to Program Manager	July 30	
4.	Council approves new funds	Aug/Sept SDIC mtg	
5.	Communication to VPD regarding approving of auditor	Within 2 days of Council approval	
6.	VP Distrib to provide updated fund list and descriptions (Exhibit A)	Within 1 month of new fund implementation	
7.	VPD reimbursed Council for expenses (SDIO salary, conference, supplies)	August 31	