

Higher Education Savings Plan
CollegeAccess 529
1/24/2019

Compliance Schedule: Calendar Year 2018-Q2

	Required Action	Deadline	Date Completed
1.	Quarterly conference call and performance review	April 30	April 27
2.	Review AGID financials	April 30	April 27
3.	Review AGIA financials	April 30	April 27

Compliance Schedule: Calendar Year 2018-Q3

	Required Action	Deadline	Date Completed
1.	Wire transfer of scholarship funds from Allianz to Dakota Corps Scholarship Fd.	July 21	July 20
2.	Allianz pay Scholarship Administrator	July 21	July 20
3.	Quarterly conference call and performance review	July 31	August 7
4.	Adjust \$45,000 salary by CPI change and submit expenses to Program Manager	July 30	July 16
5.	Council approves independent auditor for the current calendar year	Aug 31	Aug 27
6.	Communication to Allianz regarding approving of auditor	Within 2 days of Council approval	Aug 28
7.	Program Mgr. reimburse Council for expenses	August 31	August 8

Compliance Schedule: Calendar Year 2018-Q4

	Required Action	Deadline	Date Completed
1.	Quarterly conference call and performance review	October 31	Nov 6
3.	Allianz recommendations for fund and & asset allocation changes	October 15 December 1	Oct 30
4.	Council approves new funds	Nov/Dec SDIC mtg	Nov 29

Compliance Schedule: Calendar Year 2019-Q10

	Required Action	Deadline	Date Completed
1.	Quarterly conference call and performance review (qtr. & annual)	January 31	Scheduled Feb 6
2.	Annual Report to Governor	Feb 1	To be sent Jan 31
3.	Confirmation of 65 bps expense for SD-D accts	February 28	
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	March 31	
5.	Review of Allianz insurance certificates	March 31	
6.	Review CollegeAccess financials	March 31	
7.	Annual Audit Completed	March 31	

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