

Higher Education Savings Plan
CollegeAccess 529
8/12/2020

Compliance Schedule: Calendar Year 2019-Q4

	Required Action	Deadline	Date Completed
1.	Quarterly conference call and performance review	October 31	Nov 7
3.	Allianz recommendations for fund and & asset allocation changes	October 15 December 1	Oct 10
4.	Council approves new funds	Nov/Dec SDIC mtg	Nov 21

Compliance Schedule: Calendar Year 2020-Q1

	Required Action	Deadline	Date Completed
1.	Quarterly conference call and performance review (qtr. & annual)	January 31	Feb 5
2.	Annual Report to Governor	Feb 1	Jan 31
3.	Confirmation of 65 bps expense for SD-D accts	February 28	Jan 23
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	March 31	March 23
5.	Review of Allianz insurance certificates	March 31	March 27
6.	Review CollegeAccess financials	March 31	March 16
7.	Annual Audit Completed	March 31	March 20

Compliance Schedule: Calendar Year 2020-Q2

	Required Action	Deadline	Date Completed
1.	Quarterly conference call and performance review	April 30	May 6
2.	Review AGID financials	May 31	May 19
3.	Review AAM financials	May 31	Aug 11

Compliance Schedule: Calendar Year 2020-Q3

	Required Action	Deadline	Date Completed
1.	Wire transfer of scholarship funds from Allianz to Dakota Corps Scholarship Fd.	July 21	July 20
2.	Allianz pay Scholarship Administrator	July 21	July 31
3.	Quarterly conference call and performance review	July 31	Aug 12
4.	Adjust \$45,000 salary by CPI change and submit expenses to Program Manager	July 30	July 14
5.	Council approves independent auditor for the current calendar year	Aug 31	
6.	Communication to Allianz regarding approving of auditor	Within 2 days of Council approval	
7.	Program Mgr. reimburse Council for expenses	August 31	Aug 6

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