

CollegeAccess 529 Plan Summary
Summary of Shareholder Positions and Accounts
As of 10/31/2020

| ALLIANZ POSITIONS BY PORTFOLIO | 09/30/2020 Positions | 09/30/2020 Assets | 10/31/2020 Positions | 10/31/2020 Assets |
|-----------------------------------|-------------------------|--------------------------|-------------------------|--------------------------|
| <u>Age-Based:</u> | | | | |
| Age-Based 1 (Ages 0-8) | 3,515 | \$ 58,933,044.48 | 3,473 | \$ 56,181,312.62 |
| Age-Based 2 (Ages 9-10) | 2,008 | \$ 41,982,089.59 | 2,017 | \$ 41,470,447.51 |
| Age-Based 3 (Age 11) | 1,019 | \$ 25,434,920.48 | 1,006 | \$ 24,517,508.14 |
| Age-Based 4 (Age 12) | 1,187 | \$ 31,950,077.51 | 1,173 | \$ 30,820,735.74 |
| Age-Based 5 (Age 13) | 1,366 | \$ 36,328,148.53 | 1,336 | \$ 34,980,353.68 |
| Age-Based 6 (Age 14) | 1,501 | \$ 40,171,500.79 | 1,489 | \$ 40,288,403.06 |
| Age-Based 7 (Age 15) | 1,618 | \$ 45,878,334.99 | 1,598 | \$ 44,196,745.88 |
| Age-Based 8 (Age 16) | 1,960 | \$ 61,220,817.45 | 1,924 | \$ 59,948,920.44 |
| Age-Based 9 (Age 17) | 8,931 | \$ 241,635,399.77 | 9,058 | \$ 243,354,981.72 |
| Subtotal | 23,105 | \$ 583,534,333.59 | 23,074 | \$ 575,759,408.79 |
| <u>Static:</u> | | | | |
| Diversified Equity | 3,046 | \$ 78,132,487.00 | 3,022 | \$ 75,616,638.88 |
| Diversified Bond | 1,138 | \$ 18,003,370.20 | 1,138 | \$ 17,960,386.64 |
| Ultrashort Bond | 631 | \$ 16,705,522.07 | 637 | \$ 16,913,497.58 |
| Subtotal | 4,815 | \$ 112,841,379.27 | 4,797 | \$ 110,490,523.10 |
| <u>Individual:</u> | | | | |
| AllianzGI Global Allocation | 527 | \$ 6,888,104.03 | 525 | \$ 6,601,589.11 |
| AllianzGI NFJ Dividend Value | 2,225 | \$ 21,187,903.55 | 2,215 | \$ 20,383,097.73 |
| AllianzGI NFJ Small-Cap Value | 1,979 | \$ 14,054,744.24 | 1,973 | \$ 14,377,161.29 |
| AllianzGI Focused Growth | 1,453 | \$ 27,689,953.72 | 1,469 | \$ 26,691,081.16 |
| Dodge & Cox International Stock | 1,614 | \$ 11,756,612.47 | 1,596 | \$ 11,387,510.86 |
| Metropolitan West Total Return | 1,631 | \$ 21,519,345.29 | 1,637 | \$ 21,566,970.80 |
| PIMCO All Asset | 2,272 | \$ 38,245,098.38 | 2,326 | \$ 38,813,564.17 |
| PIMCO Income | 1,374 | \$ 14,505,452.31 | 1,370 | \$ 14,507,271.74 |
| PIMCO Real Return | 784 | \$ 9,251,186.16 | 788 | \$ 9,217,162.63 |
| PIMCO Short Asset Investment | 722 | \$ 22,744,812.20 | 723 | \$ 23,025,259.89 |
| PIMCO StocksPLUS Absolute Return | 3,774 | \$ 72,269,350.31 | 3,766 | \$ 70,317,658.66 |
| TIAA Quant International Equity | 1,365 | \$ 7,529,639.89 | 1,362 | \$ 7,126,067.10 |
| Subtotal | 19,720 | \$ 267,642,202.55 | 19,750 | \$ 264,014,395.14 |
| TOTAL ALLIANZ POSITIONS | 47,640 | \$ 964,017,915.41 | 47,621 | \$ 950,264,327.03 |

| ALLIANZ ACCOUNTS BY SHARE CLASS | 09/30/2020 Positions | 09/30/2020 Assets | 10/31/2020 Positions | 10/31/2020 Assets |
|------------------------------------|-------------------------|--------------------------|-------------------------|--------------------------|
| A Shares | 31,687 | \$ 720,020,450.80 | 31,673 | \$ 710,507,389.92 |
| C Shares | 9,631 | \$ 142,631,629.53 | 9,531 | \$ 138,025,542.00 |
| F Shares | 539 | \$ 911,938.29 | 570 | \$ 1,154,195.42 |
| Subtotal | 41,857 | \$ 863,564,018.62 | 41,774 | \$ 849,687,127.34 |
| SD-A Shares | 3,541 | \$ 63,519,715.88 | 3,535 | \$ 62,548,880.21 |
| SD-C Shares | 535 | \$ 6,027,239.02 | 532 | \$ 5,895,799.96 |
| SD-D Shares | 1,707 | \$ 30,906,941.89 | 1,780 | \$ 32,132,519.52 |
| Subtotal | 5,783 | \$ 100,453,896.79 | 5,847 | \$ 100,577,199.69 |
| TOTAL ALLIANZ POSITIONS | 47,640 | \$ 964,017,915.41 | 47,621 | \$ 950,264,327.03 |

| | |
|--|---------------|
| Total # of Accounts within A, C and F shares | 22,483 |
| Total # of Accounts within SD-A, SD-C and SD-D | 4,144 |
| Total # of Accounts Across All Shares | 26,627 |