

Higher Education Savings Plan  
CollegeAccess 529  
8/15/2018

**Compliance Schedule: Calendar Year 2017-Q4**

	<b>Required Action</b>	<b>Deadline</b>	<b>Date Completed</b>
1.	Quarterly conference call and performance review	October 31	Nov 3
3.	Allianz recommendations for fund and & asset allocation changes	October 15 December 1	Oct 23
4.	Council approves new funds	Nov/Dec SDIC mtg	Nov 16

**Compliance Schedule: Calendar Year 2018-Q1**

	<b>Required Action</b>	<b>Deadline</b>	<b>Date Completed</b>
1.	Quarterly conference call and performance review (qtr. & annual)	January 31	Jan 31
2.	Annual Report to Governor	Feb 1	Jan 30
3.	Confirmation of 65 bps expense for SD-D accts	February 28	Jan 8
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	March 31	March 22
5.	Review of Allianz insurance certificates	March 31	March 28
6.	Review CollegeAccess financials	March 31	March 21
7.	Annual Audit Completed	March 31	March 21

**Compliance Schedule: Calendar Year 2018-Q2**

	<b>Required Action</b>	<b>Deadline</b>	<b>Date Completed</b>
1.	Quarterly conference call and performance review	April 30	April 27
2.	Review AGID financials	April 30	April 27
3.	Review AGIA financials	April 30	April 27

**Compliance Schedule: Calendar Year 2018-Q3**

	<b>Required Action</b>	<b>Deadline</b>	<b>Date Completed</b>
1.	Wire transfer of scholarship funds from Allianz to Dakota Corps Scholarship Fd.	July 21	July 20
2.	Allianz pay Scholarship Administrator	July 21	July 20
3.	Quarterly conference call and performance review	July 31	August 7
4.	Adjust \$45,000 salary by CPI change and submit expenses to Program Manager	July 30	July 16
5.	Council approves independent auditor for the current calendar year	Aug 31	
6.	Communication to Allianz regarding approving of auditor	Within 2 days of Council approval	
7.	Program Mgr. reimburse Council for expenses	August 31	August 8