

Higher Education Savings Plan  
Compliance Schedule  
August 8, 2022

**Compliance Schedule: Calendar Year 2021-Calendar Q4**

	<b>Required Action</b>	<b>Target Date</b>	<b>Date Completed</b>
1.	Quarterly conference call and performance review	Nov 15	Oct 28

**Compliance Schedule: Calendar Year 2022-Calendar Q1**

	<b>Required Action</b>	<b>Target Date</b>	<b>Date Completed</b>
1.	Quarterly conference call and performance review (qtr. & annual)	Feb 15	Scheduled 2/2/22
2.	Annual Report to Governor	Feb 1	Jan 18
3.	Confirmation of 65 bps expense for SD-D accts	Feb 28	Feb 17
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	Mar 31	Mar 29
5.	Review of VPD insurance certificates	Mar 31	Mar 29
6.	Review CollegeAccess financials	Mar 31	Mar 22
7.	Annual Audit Completed	Mar 31	Mar 28

**Compliance Schedule: Calendar Year 2022-Calendar Q2**

	<b>Required Action</b>	<b>Target Date</b>	<b>Date Completed</b>
1.	Quarterly conference call and performance review	May 15	April 25
2.	Review Virtus financials	May 31	

**Compliance Schedule: Calendar Year 2022-Calendar Q3**

	<b>Required Action</b>	<b>Target Date</b>	<b>Date Completed</b>
1.	VPD to provide any recommendations for fund and & asset allocation changes	July 1 or other agreed-upon time	July 7
2.	Quarterly conference call and performance review with VPD	Aug 15	August 3
3.	Wire transfer of scholarship funds from VPD to Dakota Corps Scholarship Fd.	July 21	August 9
4.	VPD pay Scholarship Admin. (BOR)	July 21	
5.	Council approves independent auditor (PwC) for the current calendar year	August SDIC meeting	
6.	Communication to VPD regarding approving of auditor	Within 2 days of Council approval	
6.	VPD reimbursed Council for expenses (SDIO salary, conference, supplies)	August 31	