

Higher Education Savings Plan
Compliance Schedule
January 18, 2022

Compliance Schedule: Calendar Year 2021-Calendar Q3

	Required Action	Target Date	Date Completed
1.	Allianz to provide any recommendations for fund and & asset allocation changes	July 1 or other agreed-upon time	July 1
2.	Quarterly conference call and performance review with VPD	Aug 15	July 27
3.	Adjust \$45,000 salary by CPI change and submit expenses to Program Manager	July 30	July 28
4.	Council approves new funds	Aug/Sept SDIC mtg	Aug 31
5.	Communication to VPD regarding approving of auditor	Within 2 days of Council approval	Sept 2 (8/31 approval)
6.	VPS Distrib to provide updated fund list and descriptions (Exhibit A)	Within 1 month of new fund implementation	Sept 23 – same day as fund implementation
7.	VPD reimbursed Council for expenses (SDIO salary, conference, supplies)	August 31	Aug 10

Compliance Schedule: Calendar Year 2021-Calendar Q4

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review	Nov 15	Oct 28

Compliance Schedule: Calendar Year 2022-Calendar Q1

	Required Action	Target Date	Date Completed
1.	Quarterly conference call and performance review (qtr. & annual)	Feb 15	Scheduled 2/2/22
2.	Annual Report to Governor	Feb 1	Jan 18
3.	Confirmation of 65 bps expense for SD-D accts	Feb 28	
4.	Program Mgr. send Annual Report to Municipal Securities Rulemaking Bd.	Mar 31	
5.	Review of VPD insurance certificates	Mar 31	
6.	Review CollegeAccess financials	Mar 31	
7.	Annual Audit Completed	Mar 31	