

## **Contractor or Subrecipient Relationship Determination Checklist**

The State Board of Internal Control (SBIC) adopts the Contractor or Subrecipient Relationship Determination Checklist as presented by the South Dakota UGG Workgroup, and all future revisions made by that workgroup, as the standard tool for determining subrecipient status in complying with 2 CFR 200.330. BFM will make this tool available electronically. Unless a specific exemption is given by the SBIC, all state agencies as defined by SDCL 1-56 shall adopt and use the Contractor or Subrecipient Relationship Determination Checklist for all new agreements or contracts as of July 1, 2017 that are funded with federal funds. State agencies must request an exemption of the SBIC and prove they are in compliance with 2 CFR 200, and the exemption is in the best interest of state government and its subrecipients. Any state agency that is mandated by a federal awarding agency as defined by 2 CFR 200.37, to use a form or tool other than the Contractor or Subrecipient Relationship Determination Checklist is awarded an automatic exemption from using the Contractor or Subrecipient Relationship Determination Checklist. However, state agencies must maintain documentation of the federal awarding agency mandates.

## **Pre-Award Risk Assessment tool**

The State Board of Internal Control adopts the Pre-Award Risk Assessment tool as presented by the South Dakota UGG Workgroup, and all future revisions made by the South Dakota UGG Workgroup, as the standard subrecipient risk assessment tool in complying with 2 CFR 200.331. BFM will make this tool available electronically. Unless a specific exemption is given by the SBIC, all state agencies as defined by SDCL 1-56 shall adopt and use the Pre-Award Risk Assessment tool for all new subrecipients as of July 1, 2017 that are funded with federal funds. State agencies must request the exemption of the SBIC and prove they are in compliance with 2 CFR 200, and the exemption is in the best interest of state government and its subrecipients. Any state agency that is mandated by a federal awarding agency as defined by 2 CFR 200.37, to use a form or tool other than the Pre-Award Risk Assessment tool is awarded an automatic exemption from using the Pre-Award Risk Assessment tool. However, state agencies must maintain documentation of the federal awarding agency mandates.

## **Subrecipient Monitoring Guide**

The State Board of Internal Control adopts the Subrecipient Monitoring Guide as presented by the South Dakota UGG Workgroup, and all future revisions made by the South Dakota UGG Workgroup, as the standard subrecipient monitoring tool in complying with 2 CFR 200.331. BFM will make this tool available electronically. Unless a specific exemption is given by the SBIC, all state agencies as defined by SDCL 1-56 shall adopt and use the Subrecipient Monitoring Guide for all subrecipients as of July 1, 2017 that are funded with federal funds. State agencies must request the exemption of the SBIC and prove they are in compliance with 2 CFR 200, and the exemption is in the best interest of state government and its subrecipients. Any state agency that is mandated by a federal awarding agency as defined by 2 CFR 200.37, to use a form or tool other than the Subrecipient Monitoring Guide is awarded an automatic exemption from using Subrecipient Monitoring Guide. However, state agencies must maintain documentation of the federal awarding agency mandates.