

FS 360	Date Printed: 11/30/2015 9:43:50 AM(johnn)
CMS Waiver Assurance	
From Review Date: 6/1/2015 To: 5/31/2016	

Administrative Authority

The Medicaid agency retains ultimate administrative authority and responsibility for the operation of the waiver program by exercising oversight of the performance of waiver functions by other state and local/regional non-state agencies (if appropriate) and contracted entities.

% of participants enrolled per SMA procedures (participant enrollment)					
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Provider	Total Reviews	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	49	0	0.00%	49	100.00%

% of LOC evaluations completed in accordance w/ SMA procedures (LOC evaluation)					
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Provider	Total Reviews	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	326	0	0.00%	326	100.00%

% of a statistically valid sample of participant plans reviewed by DHS and reported to the SMA (plans)			
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Provider	Total Reviews Required	Total Reviews Completed	% Completed
Statewide	205	101	49.27%

% of a statistically valid sample of participant files reviewed by DHS and reported to the SMA			
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Provider	Total Reviews Required	Total Reviews Completed	% Completed
Statewide	205	101	49.27%

% of a statistically valid sample of Provider Claims reviewed by DHS and reported to the SMA			
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Provider	Total Reviews Required	Total Reviews Completed	% Completed
Statewide	0	0	--

% of Provider certifications conducted by DHS and reported to the SMA			
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Provider	Total Certifications Required	Total Certifications Completed	% Completed
Statewide	0	0	--

% of POEs implemented timely			
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Provider	Total POEs Required	Total POEs Completed	% Completed
Statewide	0	0	--

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Level of Care

Sub-assurance b: The levels of care of enrolled participants are re-evaluated at least annually or as specified in the approved waiver.

% of re-evaluations completed timely					
Provider	Total Reviews	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	277	0	0.00%	277	100.00%

Sub-assurance c: The processes and instruments described in the approved waiver are applied appropriately and according to the approved description to determine participant level of care

% of LOC completed using approved process					
Provider	Total Reviews	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	326	0	0.00%	326	100.00%

% of LOC decisions that are correct					
Provider	Total Reviews	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	326	0	0.00%	326	100.00%

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Qualified Providers

Sub-assurance a: The State verifies that providers initially and continually meet required licensure and/or certification standards and adhere to other standards prior to their furnishing waiver services.

% of providers compliant w/ file review

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	3	100.00%

% of SC providers meeting qualifications

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

% of PC providers meeting qualifications

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

% of RC providers meeting qualifications

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

% of CC providers meeting qualifications

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

% of SE providers meeting qualifications

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

Sub-assurance c: The State implements its policies and procedures for verifying that provider training is conducted in accordance with state requirements and the approved waiver.

% of SC with required training

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

% of PC with required training

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

% of RC with required training

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

% of CC with required training

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

% of SE with required training

Provider	Total Required	Total In Compliance	% In Compliance
Statewide	3	0	0.00%

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Service Plan

Sub-assurance a: Service plans address all participants' assessed needs (including health and safety risk factors) and personal goals, either by the provision of waiver services or through other means.

% of plans in which needs are addressed

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	0	101	4	3.96%	97	96.04%

% of plans in which risk factors are assessed and addressed

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	0	101	1	0.99%	100	99.01%

% of plans in which participant preferences & goals are id and addressed

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	0	101	0	0.00%	101	100.00%

Sub-assurance b: The State monitors service plan development in accordance with its policies and procedures.

% of plans that used approved process

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	0	101	17	16.83%	84	83.17%

% of plans that are monitored as required

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	2	99	26	26.26%	73	73.74%

Sub-assurance c: Service plans are updated/revised at least annually or when warranted by changes in the waiver participant's needs.

% of plans that are updated annually

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	74	0	74	2	2.70%	72	97.30%

% of plans that are revised as needed

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	26	75	1	1.33%	74	98.67%

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Service Plan (cont)

Sub-assurance e: Participants are afforded choice: Between waiver services and institutional care; and between/among waiver services and providers.

% of participants afforded choice

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	0	101	0	0.00%	101	100.00%

% afforded choice - institution

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	0	0	0	0	--	0	--

% afforded choice - providers

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	0	101	0	0.00%	101	100.00%

% afforded choice - services

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	0	101	0	0.00%	101	100.00%

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Health and Welfare

The State, on an ongoing basis, identifies, addresses and seeks to prevent the occurrence of abuse, neglect and exploitation.

% of participants trained on ANE reporting

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	0	101	35	34.65%	66	65.35%

% of participants for whom an allegation of ANE was handled appropriately

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	98	3	1	33.33%	2	66.67%

% of participants for whom critical incidents were handled appropriately

Provider	Total	Total NA	Total Not NA	Total Incorrect	% Incorrect	Total Correct	% Correct
Statewide	101	90	11	1	9.09%	10	90.91%

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Financial Accountability

State financial oversight exists to assure that claims are coded and paid for in accordance with the reimbursement methodology specified in the approved waiver.

% of claims paid according to the approved rate methodology

Provider	Total Claims	Total SBR	Total Overpayment	Accuracy Rate
Statewide	0	\$0	\$0	--