

# Environmental Factors and Plan

## Primary Prevention - SABG

### Assessment

1. Does your state have an active State Epidemiological and Outcomes Workgroup (SEOW)?  Yes  No
2. Does your state collect the following types of data as part of its primary prevention needs assessment process? (check all that apply):
  - a.  Data on consequences of substance-using behaviors
  - b.  Substance-using behaviors
  - c.  Intervening variables (including risk and protective factors)
  - d.  Other (please list)
3. Does your state collect needs assessment data that include analysis of primary prevention needs for the following population groups? (check all that apply):
  - a.  Children (under age 12)
  - b.  Youth (ages 12-17)
  - c.  Young adults/college age (ages 18-26)
  - d.  Adults (ages 27-54)
  - e.  Older adults (ages 55 and above)
  - f.  Cultural/ethnic minorities
  - g.  Sexual/gender minorities
  - h.  Rural communities
  - i.  Other (please list)
4. Does your state use data from the following sources in its primary prevention needs assessment? (check all that apply):
  - a.  Archival indicators (please list)
  - b.  National Survey on Drug Use and Health (NSDUH)
  - c.  Behavioral Risk Factor Surveillance System (BRFSS)
  - d.  Youth Risk Behavior Surveillance System (YRBS)
  - e.  Monitoring the Future
  - f.  Communities that Care
  - g.  State-developed survey instrument
  - h.  Other (please list) Local communities and schools survey data.
5. Does your state use needs assessment data to make decisions about the allocation of SABG primary prevention funds?  Yes  No
  - a. If yes, please explain. The state requires that each local prevention coalition complete a needs assessment. This information, along with regional and statewide data, are factors in determining which of the state's five behavioral health planning regions have the highest need for funding.
  - b. If no, please explain how SABG funds are allocated.

### Capacity Building

6. Does your state have a statewide licensing or certification program for the substance use disorder prevention workforce?  Yes  No

- a. If yes, please describe The South Dakota Board of Addiction and Prevention Professionals has a certification process for Prevention Specialists.
- 7. Does your state have a formal mechanism to provide training and technical assistance to the substance use disorder prevention workforce?**  Yes  No
- a. If yes, please describe mechanism used The state contracts with three prevention resource centers to provide training and technical assistance to communities, schools, local coalitions and the prevention workforce.
- 8. Does your state have a formal mechanism to assess community readiness to implement prevention strategies?**  Yes  No
- a. If yes, please describe mechanism used: Each funded coalition needs to complete a local needs assessment, which allows the state to determine a community's readiness to change.

### Planning

- 9. Does your state have a strategic plan that addresses substance use disorder prevention that was developed within the last five years?**  Yes  No
- a. If yes, please attach the plan in BGAS See attachement A - SD DSS Prevention Program Five Year Strategic Plan (2015-2020).
- 10. Does your state use the strategic plan to make decisions about use of the primary prevention set-aside of the SABG?**  Yes  No  Not Applicable
- 11. Does your state's prevention strategic plan include the following components? (check all that apply):**
- a.  Based on needs assessment datasets the priorities that guide the allocation of SABG primary prevention funds
- b.  Timelines
- c.  Roles and responsibilities
- d.  Process indicators
- e.  Outcome indicators
- f.  Cultural competence component
- g.  Sustainability component
- h.  Other (please list) Mechanism to identify priority populations
- i.  Not application/no prevention strategic plan
- 12. Does your state have an Advisory Council that provides input into decisions about the use of SABG primary prevention funds?**  Yes  No
- 13. Does your state have an active Evidence-Based Workgroup that makes decisions about appropriate strategies to be implemented with SABG primary prevention funds?**
- Yes  No
- a. If yes, please describe the criteria the Evidence-Based Workgroup uses to determine which programs, policies and strategies are evidence-based. See attachment B - Request for Approval of Evidence-Based Program or Promising Practices. This form is used to determine which programs, policies and strategies are evidence-based.

### Implementation

**14. States distribute SABG primary prevention funds in a variety of different ways.**

**Please check all that apply to your state:**

- a.  SSA staff directly implements primary prevention programs and strategies.
- b.  The SSA has statewide contracts (e.g. statewide needs assessment contract, statewide workforce training contract, statewide media campaign contract).
- c.  The SSA funds regional entities that are autonomous in that they issue and manage their own sub-contracts.
- d.  The SSA funds regional entities that provide training and technical assistance.
- e.  The SSA funds regional entities to provide prevention services.
- f.  The SSA funds county, city, or tribal governments to provide prevention services.
- g.  The SSA funds community coalitions to provide prevention services.
- h.  The SSA funds individual programs that are not part of a larger community effort.
- i.  The SSA directly funds other state agency prevention programs.
- j.  Other (please describe)

**15. Please list the specific primary prevention programs, practices and strategies that are funded with SABG primary prevention dollars in each of the six prevention strategies. Please see instructions for definitions of the six strategies.**

- a.  Information Dissemination:
- b.  Education:
- c.  Alternatives:
- d.  Problem Identification and Referral:
- e.  Community-Based Processes:
- f.  Environmental:

**16. Does your state have a process in place to ensure that SABG dollars are used only to fund primary prevention services not funded through other means?**  Yes  No

- a. If yes, please describe: The state reimburses community coalitions and the prevention resource centers on a fee for service basis. Each month prevention providers submit an invoice into the prevention programs data collection system. Prevention Program staff review each invoice against those contract items that were designated to be paid with SABG funds before an invoice is processed. In addition, fiscal audits are conducted to ensure that the provider has billed the appropriate services to the appropriate funding stream and has documentation of the services provided.

**Evaluation**

**17. Does your state have an evaluation plan for substance use disorder prevention that was developed within the last five years?**  Yes  No

- a. If yes, please attach the plan in BGAS See attachment C - SD PFS Evaluation Plan. Since the SABG funds are blended with the Partnership for Success (PFS) grant and the target population is the same, we utilize the PFS evaluation plan for the the SABG funded services as well.

**18. Does your state's prevention evaluation plan include the following components? (check all that apply):**

- a.  Establishes methods for monitoring progress towards outcomes, such as targeted benchmarks.
- b.  Includes evaluation information from sub-recipients
- c.  Includes SAMHSA National Outcome Measurement (NOMs) requirements
- d.  Establishes a process for providing timely evaluation information to stakeholders
- e.  Formalizes processes for incorporating evaluation findings into resource allocation and decision-making
- f.  Other (please describe)
- g.  Not applicable/no prevention evaluation plan

**19. Please check those process measures listed below that your state collects on its**

**SABG funded prevention services:**

- a.  Numbers served
- b.  Implementation fidelity
- c.  Participant satisfaction
- d.  Number of evidence-based program/practices/policies implemented
- e.  Attendance
- f.  Demographic information
- g.  Other (please describe)

**20. Please check those outcome measures listed below that your state collects on its**

**SABG funded prevention services:**

- a.  30-day use of alcohol, tobacco, prescription drugs, etc.
- b.  Heavy use
- Binge use
- Perception of harm
- c.  Disapproval of use
- d.  Consequences of substance use (e.g. alcohol-related motor vehicle crashes, drug-related mortality)
- e.  Other (please describe)