

Subrecipient Vs Contractor Checklist

Agency	Are they using UGG Workgroup Form?	If Agency Responded No, Why	Do they have another form they are using?
ATG	Yes		
DENR	Yes		
DHS	Yes		
DLR	Yes		
DOC	Yes		
DOE	Yes		
DOH	Yes		
DOM	Yes		
DPS	Yes		
DVA	Yes		
GFP	Yes		
GOED	Yes		
TOUR	Yes		
SDDA	Yes		
SDSTA	Yes		
SOS	Yes		
UJS	Yes		
BOR	No	Using FDP (Federal Demonstration Partnership) checklist.	Yes
DOT	No and Yes	Using this form for some grants and not for others, and not for certain contracts	
DSS	No	Need flexibility to make modifications to our forms. We currently utilize two forms	Yes
BFM	No Federal Grants		
BHR	No Federal Grants		
BOA	No Federal Grants		
DLA	No Federal Grants		
DOR	No Federal Grants		
GOV	No Federal Grants		
DTR	No Federal Grants		
LRC	No Federal Grants		
OST	No Federal Grants		
SDIC	No Federal Grants		
SDRS	No Federal Grants		
SPL	No Federal Grants		
BIT	No Subrecipients		
OSA	No Subrecipients		
PUC	No Subrecipients		

Subrecipient Monitoring Guide

Agency	Are they using UGG Workgroup Form?	If Agency Responded No, Why	Do they have another form they are using?
ATG	Yes		
BOR	Yes		
DHS	Yes		
DLR	Yes		
DOC	Yes		
DOM	Yes		
DPS	Yes		
DVA	Yes		
GFP	Yes		
GOED	Yes		
SDDA	Yes		
SDSTA	Yes		
SOS	Yes		
TOUR	Yes		
DENR	Yes	With flexibility	
DOE	Yes	With flexibility	
DOH	Yes	With flexibility	
UJS	Yes	With flexibility and a few formatting changes	
DSS	No	Different forms used depending on the type of federal program. Forms are approved and required by federal agencies.	Yes
DOT	No/Yes	Transit Division not using guide. Do not monitor based on risk or amount of funds awarded, all monitoring is the same depending on funding type. MPO grants will be using guide.	No/Yes
BFM	No Federal Grants		
BHR	No Federal Grants		
BOA	No Federal Grants		
DLA	No Federal Grants		
DOR	No Federal Grants		
DTR	No Federal Grants		
GOV	No Federal Grants		
LRC	No Federal Grants		
OST	No Federal Grants		
SDIC	No Federal Grants		
SDRS	No Federal Grants		
SPL	No Federal Grants		
PUC	No Subrecipients		
BIT	No Subrecipients		
OSA	No Subrecipients		

Pre Award Risk Assessment

Agency	Are they using UGG Workgroup Form?	If Agency Responded No, Why	Do they have another form they are using?
ATG	Yes		
DENR	Yes		
DHS	Yes		
DLR	Yes		
DOM	Yes		
DVA	Yes		
SDSTA	Yes		
SOS	Yes		
UJS	Yes		
DOC	Yes		
GOED	Yes		
GFP	Yes		
SDDA	Yes		
DSS	No	The majority of the information gathered on this Risk Assessment Tool is being collected during our monitoring process.	Yes
BOR	No	At this time FDP (Federal Demonstration Partnership), has a Risk Assessment tool that we are all currently using. We would prefer to continue to use this form and so would not use the UGG workgroup tool.	Yes
DOE	No	Most of the grants administered by the DOE are formula or entitlement grants that we have to award based on federal law. We plan to use parts of the risk assessment tool	No
DOH	No	Several of the questions asked on the form are questions we can't answer. I think this form is more geared to agencies that use an application process with their subrecipient agreements. We have very few, if any, who collect an application.	Yes
DOT	No	The new risk assessment does not work for them because they don't use the amount awarded to determine risk.	Yes
DPS	No	Our risk assessment is currently incorporated into an online grants management system. If we are required to change the risk assessment, it would take programming time/money to incorporate into the system.	Yes
BFM	No Federal Grants		
BHR	No Federal Grants		
BOA	No Federal Grants		
DLA	No Federal Grants		
DOR	No Federal Grants		
DTR	No Federal Grants		
GOV	No Federal Grants		
LRC	No Federal Grants		
OST	No Federal Grants		
SDIC	No Federal Grants		
SDRS	No Federal Grants		
SPL	No Federal Grants		
TOUR	No Federal Grants		
OSA	No Subrecipients		
BIT	No Subrecipients		
PUC	No Subrecipients		

Grant Agreement

Agency	Are they using UGG Workgroup Form?	If Agency Responded No, Why	Do they have another form they are using?
ATG	Yes		
DENR	Yes		
DHS	Yes		
DLR	Yes		
DOM	Yes		
DSS	Yes		
DVA	Yes		
SDSTA	Yes		
SOS	Yes		
UJS	Yes		
BOR	No	All State Universities use same forms from FDP (Federal Demonstration Partnership)	Yes
DOC	No	We are in support of a template to be used by all of State Government, but we are not comfortable with all of the current content.	Yes
DOE	No	The template is not exactly what we are looking for, I will continue to utilize the grant agreement developed here since I am looking using it for state and federal funds	Yes
DOH	No	Our agency does not want to use the grant agreement with Exhibits	Yes
DOT	No	We have lots of different agreements depending on what the agency is doing or the type of Federal funding.	Yes
DPS	No	greatest concern with this draft is that it muddies the waters between State consulting contracts, and grant agreements.	Yes
GOED	No	All of the funds passed to sub recipients are for the purpose of incentivizing or encouraging a specific activity. Including language from the State's contract template (insurance, indemnification, etc.) seems unnecessary.	Yes
GFP	No	A lot of forms required by Feds they are including all parts of the grant agreement in their form	Yes
SDDA	No	A lot of forms required by Feds they are including all parts of the grant agreement in their form	Yes
BFM	No Federal Grants		
BHR	No Federal Grants		
BOA	No Federal Grants		
DLA	No Federal Grants		
DOR	No Federal Grants		
DTR	No Federal Grants		
GOV	No Federal Grants		
LRC	No Federal Grants		
OST	No Federal Grants		
SDIC	No Federal Grants		
SDRS	No Federal Grants		
SPL	No Federal Grants		
TOUR	No Federal Grants		
OSA	No Subrecipients		
BIT	No Subrecipients		
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