

## Subrecipient Vs Contractor Checklist As of 3/22/17

Agency	Are they using UGG Workgroup Form?	If Agency Responded No, Why	Do they have another form they are using?
ATG	Yes		
DENR	Yes		
DHS	Yes		
DLR	Yes		
DOC	Yes		
DOE	Yes		
DOH	Yes		
DOM	Yes		
DOT	Yes		
DPS	Yes		
GFP	Yes		
GOED	Yes		
PUC	Yes		
SDDA	Yes		
SDSTA	Yes		
SOS	Yes		
TOUR	Yes		
UJS	Yes		
BFM	Yes	No Federal Grants	
BHR	Yes	No Federal Grants	
BOA	Yes	No Federal Grants	
DLA	Yes	No Federal Grants	
DOR	Yes	No Federal Grants	
DTR	Yes	No Federal Grants	
GOV	Yes	No Federal Grants	
LRC	Yes	No Federal Grants	
OST	Yes	No Federal Grants	
SDIC	Yes	No Federal Grants	
SDRS	Yes	No Federal Grants	
SPL	Yes	No Federal Grants	
BIT	Yes	No Subrecipients	
DVA	Yes	No Subrecipients	
OSA	Yes	No Subrecipients	
BOR	No	Using FDP (Federal Demonstration Partnership) checklist.	Yes
DSS	No	Need flexibility to make modifications to our forms. We currently utilize two forms	Yes

<b>Subrecipient vs. Contractor</b>
<a href="#">Jeremy Tentinger</a>
<a href="#">Chris Petersen</a>
<a href="#">Bridget Coppersmith</a>
<a href="#">Andrew Lauck</a>
BFM Contact: <a href="#">Mark Edwardson</a>

## Pre Award Risk Assessment As of 3/22/17

Agency	Are they using UGG Workgroup Form?	If Agency Responded No, Why	Do they have another form they are using?
ATG	Yes		
DENR	Yes		
DHS	Yes		
DLR	Yes		
DOC	Yes		
DOH	Yes		Yes
DOM	Yes		
DOT	Yes		Yes
DSS	Yes		Yes
GFP	Yes		
GOED	Yes		
SDDA	Yes		
SDSTA	Yes		
SOS	Yes		
TOUR	Yes		
UJS	Yes		
BFM	Yes	No Federal Grants	
BHR	Yes	No Federal Grants	
BOA	Yes	No Federal Grants	
DLA	Yes	No Federal Grants	
DOR	Yes	No Federal Grants	
DTR	Yes	No Federal Grants	
GOV	Yes	No Federal Grants	
LRC	Yes	No Federal Grants	
OST	Yes	No Federal Grants	
SDIC	Yes	No Federal Grants	
SDRS	Yes	No Federal Grants	
SPL	Yes	No Federal Grants	
BIT	Yes	No Subrecipients	
DVA	Yes	No Subrecipients	
OSA	Yes	No Subrecipients	
PUC	Yes	No Subrecipients	
DOE	Yes, on new discretionary awards	Most of the grants administered by the DOE are ongoing formula or entitlement grants that we have to award based on federal law. We will use relevant parts of the risk assessment tool to assess the subrecipient risk in our ongoing monitoring process for formula grant recipients.	No
BOR	No	At this time FDP (Federal Demonstration Partnership), has a Risk Assessment tool that we are all currently using. We would prefer to continue to use this form and so would not use the UGG workgroup tool.	Yes
DPS	Maybe	Our risk assessment is currently incorporated into an online grants management system. If we are required to change the risk assessment, it would take programming time/money to incorporate into the system.	Yes

### Pre Award/ Ongoing Risk Assessment

[Emily Ward](#)

[Laura Ellenbecker](#)

[Travis Dovre](#)

[BFM Contact: Darcy McGuigan](#)

# Subrecipient Monitoring Guide As of 3/22/17

Agency	Are they using UGG Workgroup Form?	If Agency Responded No, Why	Do they have another form they are using?
ATG	Yes		
BOR	Yes		
DENR	Yes		
DHS	Yes		
DLR	Yes		
DOC	Yes		
DOH	Yes		
DOM	Yes		
DOT	Yes		
DPS	Yes		
GFP	Yes		
GOED	Yes		
SDDA	Yes		
SDSTA	Yes		
SOS	Yes		
TOUR	Yes		
UJS	Yes		
DSS	Yes	Will incorporate guide into current process, along with tools and forms that are program specific.	Yes
DOE	Yes	With flexibility	
BFM	Yes	No Federal Grants	
BHR	Yes	No Federal Grants	
BOA	Yes	No Federal Grants	
DLA	Yes	No Federal Grants	
DOR	Yes	No Federal Grants	
DTR	Yes	No Federal Grants	
GOV	Yes	No Federal Grants	
LRC	Yes	No Federal Grants	
OST	Yes	No Federal Grants	
SDIC	Yes	No Federal Grants	
SDRS	Yes	No Federal Grants	
SPL	Yes	No Federal Grants	
BIT	Yes	No Subrecipients	
DVA	Yes	No Subrecipients	
OSA	Yes	No Subrecipients	
PUC	Yes	No Subrecipients	

<b>Subrecipient Monitoring</b>
<a href="#">Cally Hall</a>
<a href="#">Heather Nachtigal</a>
<a href="#">Jan Talley</a>
<a href="#">BFM Contact: Amy Juso</a>

## Grant Agreement As of 2/17/17

Agency	Are they using UGG Workgroup Form?	If Agency Responded No, Why	Do they have another form they are using?
ATG	Yes		
DENR	Yes		
DHS	Yes		
DLR	Yes		
DOM	Yes		
DSS	Yes		
SDSTA	Yes		
SOS	Yes		
TOUR	Yes		
UJS	Yes		
BFM	Yes	No Federal Grants	
BHR	Yes	No Federal Grants	
BOA	Yes	No Federal Grants	
DLA	Yes	No Federal Grants	
DOR	Yes	No Federal Grants	
DTR	Yes	No Federal Grants	
GOV	Yes	No Federal Grants	
LRC	Yes	No Federal Grants	
OST	Yes	No Federal Grants	
SDIC	Yes	No Federal Grants	
SDRS	Yes	No Federal Grants	
SPL	Yes	No Federal Grants	
OSA	Yes	No Subrecipients	
BIT	Yes	No Subrecipients	
DVA	Yes	No Subrecipients	
PUC	Yes	No Subrecipients	
BOR	No	All State Universities use same forms from FDP (Federal Demonstration Partnership)	Yes
DOC	No	We are in support of a template to be used by all of State Government, but we are not comfortable with all of the current content.	Yes
DOE	No	The template is not exactly what we are looking for, I will continue to utilize the grant agreement developed here since I am looking using it for state and federal funds, In addition, on the formula and entitlement grants side we are using online grants management systems based on federal program requirements	Yes
DOH	No	DOH legal believes having the federal award identification on the front of the contract and the proposed form includes this information as an attached exhibit.	Yes
DOT	No	We have lots of different agreements depending on what the agency is doing or the type of Federal funding.	Yes
DPS	No	greatest concern with this draft is that it muddies the waters between State consulting contracts, and grant agreements.	Yes
GFP	No	With flexibility. Several forms are required by Federal partners. All parts of the state grant agreement are included in their form.	Yes
GOED	No	All of the funds passed to sub recipients are for the purpose of incentivizing or encouraging a specific activity. Including language from the State's contract template (insurance, indemnification, etc.) seems unnecessary.	Yes
SDDA	No	With flexibility. Several forms are required by Federal partners. All parts of the state grant agreement are included in their form.	Yes

**Grant Agreement Subgroup members:**

[Chris Petersen](#)

[Taya Runyan](#)

[Jan Talley](#)

[Dustin DeBoer](#)

[Amber Mulder](#)

[Kay McLain](#)

[Ann Holzhauser](#)

[Bill Regynski](#)

[David McVey](#)

[BFM Contact: Teresa Evans](#)

[DLA Contact Info Purposes Only: Lisa Jonas](#)