

**South Dakota Home and
Community Based Services
Statewide Transition Plan**
South Dakota Department of Social Services
Division of Medical Services

DSS
Strong Families - South Dakota's Foundation and Our Future

Advisory Council on Aging
May 28, 2015

South Dakota Medicaid | 200 Governor Drive | Pierre, SD 57501

HCBS FINAL RULE

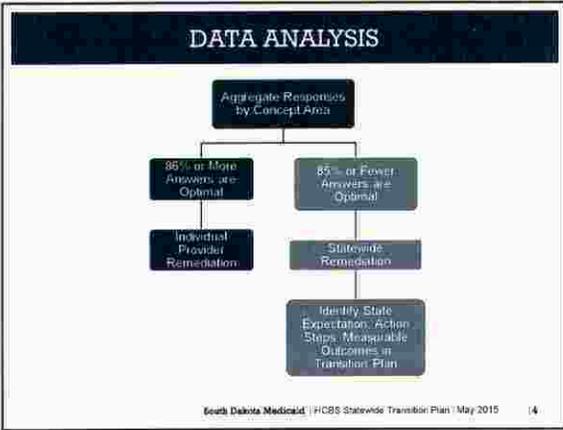
- **CMS Published:** January 16, 2014
- **Effective Date:** March 17, 2014
- **CMS Final Rule Intent:**
 - Maximize the opportunities for participants in Home and Community Based Services (HCBS) programs to have access to the benefits of community living.
 - Allow participants to receive services in the most integrated setting.
- **All states required to submit Transition Plan to CMS by March 15, 2015**
- **SD submitted Transition Plan on March 12, 2015**

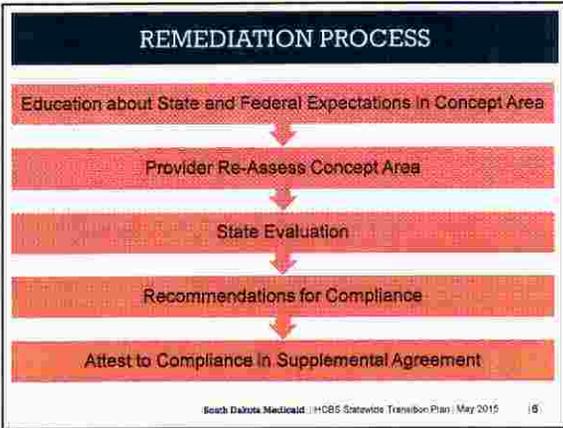
South Dakota Medicaid | HCBS Statewide Transition Plan | May 2015 | 12

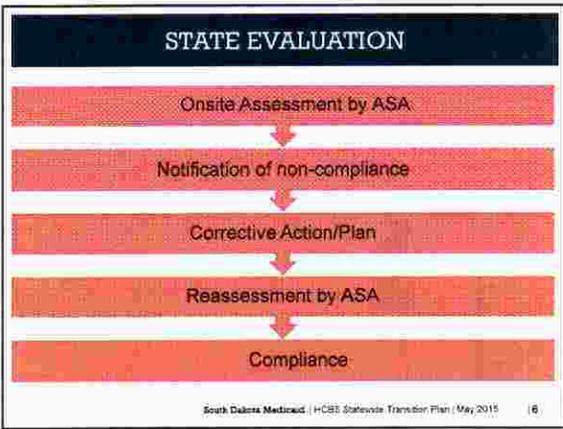
TRANSITION PLANNING PROCESS

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graph TD; A[Assessment Process] --> B[Data Analysis]; B --> C[Draft Action Items]; C --> D[Informal Comment]; D --> E[Public Input]; E --> F[Transition Plan Revision]; F --> G[Transition Plan Submission];
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South Dakota Medicaid | HCBS Statewide Transition Plan | May 2015 | 13







CONCEPT AREAS BELOW 86% COMPLIANCE		
HCBS (ASA) Waiver		
PRIVACY		
PROVIDERS 86%	STAFF 72%	INDIVIDUALS 72%
DSS will address with Statewide Action Items		
LIVING ARRANGEMENTS		
PROVIDERS 79%	STAFF 72%	INDIVIDUALS 81%
DSS will address with Statewide Action Items		
COMMUNITY INTEGRATION		
PROVIDERS 86%	STAFF 86%	INDIVIDUALS 56%
DSS will address with Statewide Action Items		
South Dakota Medicaid HCBS Statewide Transition Plan May 2015 10		

PRIVACY			
EXPECTATION	COMPLIANCE ACTION STEP	MEASURABLE OUTCOMES	AGENCY
BEDROOM DOOR LOCKS			
In provider owned or leased properties, individuals should be able to lock the door to their bedroom from non-staff if capable.	Educate providers of state and federal expectations.	Educational Webinar, Informational Bulletin	DSS
	Document health and welfare concerns in person-centered care plan.	100% compliance in quarterly plan reviews	DSS and Assisted Living Providers
	All provider-owned or leased settings have implemented locks for capable individuals.	100% compliance in annual site reviews	DSS and Assisted Living Providers
DSS will educate providers by January 31, 2016. 26 months for total implementation after education. Providers must offer bedroom door locks to all new AL residents starting on or before July 1, 2016. Lock installation may be staggered but must be completed by March 2018.			
South Dakota Medicaid HCBS Statewide Transition Plan May 2015 11			

PRIVACY			
EXPECTATION	COMPLIANCE ACTION STEP	MEASURABLE OUTCOMES	AGENCY
SHARED BEDROOMS			
Individuals will be offered a choice between setting options with private and shared bedrooms.	Document setting choice between private and shared bedrooms in person-centered care plan.	100% compliance in quarterly plan reviews	DSS
ROOMMATE CHOICE			
When an individual shares a bedroom, they will be able to choose their roommate.	Educate providers of state and federal expectations.	Educational Webinar, Informational Bulletin	DSS
	Providers implement a policy that allows individuals choice of roommates as available.	100% compliance in annual site reviews	Assisted Living Providers
DSS will document individual choice between providers with shared bedrooms and providers with private bedrooms when entering an AL in the care plan starting on July 1, 2015. DSS will educate providers by June 30, 2015. 6 months for implementation after education. Providers must implement a roommate choice policy by December 31, 2015.			
South Dakota Medicaid HCBS Statewide Transition Plan May 2015 12			

NEXT 12 MONTHS- PRIVACY			
ACTION STEP	SUB STEPS	TARGET COMPLETION	AGENCY
Privacy/shared bedrooms	2.1 Document setting choice between private and shared bedrooms in person-centered care plan	07/01/2015	DSS/ASA

South Dakota Medicaid | HCBS Statewide Transition Plan | May 2015 | 19

NEXT 12 MONTHS- PRIVACY			
ACTION STEP	SUB STEPS	TARGET COMPLETION	AGENCY
Privacy/Door locks	Review state expectations with Department of Health	10/00/2015	DSS/ASA
Privacy/Door locks	Review state expectations with Fire Marshall	10/00/2015	DSS/ASA
Privacy/Door locks	Outreach to compliant providers to assist in education	12/30/2015	DSS/ASA
Privacy/Door locks	1.1 Educate providers of state and federal expectations/guidance regarding door locks- WEBINAR	01/31/2016	DSS/ASA Assisted Living

South Dakota Medicaid | HCBS Statewide Transition Plan | May 2015 | 20

NEXT 12 MONTHS- LIVING ARRANGEMENTS			
ACTION STEP	SUB STEPS	TARGET COMPLETION	AGENCY
Living Arrangements/immediate access	Review state expectations with Department of Health	11/00/2015	DSS/ASA
Living Arrangements/immediate access	Outreach to compliant providers to assist in education	01/30/2016	DSS/ASA
Living Arrangements/immediate access	2.1 Educate providers about state and federal expectations regarding immediate access to setting- WEBINAR	02/04/2016	DSS/ASA Assisted Living

South Dakota Medicaid | HCBS Statewide Transition Plan | May 2015 | 21

NEXT 12 MONTHS- LIVING ARRANGEMENTS			
ACTION STEP	SUB STEPS	TARGET COMPLETION	AGENCY
Living Arrangements/access to food	Outreach to compliant providers to assist in education.	11/30/2015	DSS/ASA
Living Arrangements/access to food	2.1 Educate providers about state and federal expectations regarding meals/access to food- WEB/AR	12/31/2015	DSS/ASA Assisted Living

South Dakota Medicaid | HCBS Statewide Transition Plan | May 2015 | 22

NEXT 12 MONTHS- COMMUNITY INTEGRATION			
ACTION STEP	SUB STEPS	TARGET COMPLETION	AGENCY
Community Integration/work and volunteer	Outreach to compliant providers to assist in education.	12/30/2015	DSS/ASA
Community Integration/work and volunteer	2.1 Educate providers of state and federal expectations/guidance regarding individual working/volunteering in the community- WEB/AR	03/31/2016	DSS/ASA Assisted Living

South Dakota Medicaid | HCBS Statewide Transition Plan | May 2015 | 23

CONCEPT AREAS ABOVE 86% COMPLIANCE			
HCBS (ASA) Waiver			
DIGNITY & RESPECT			
PROVIDERS 99%	STAFF 99%	INDIVIDUALS 94%	
No Statewide Action Items. Will work with individual ALs to ensure compliance.			
LOCATION			
PROVIDERS 89%	STAFF 93%	INDIVIDUALS 97%	
No Statewide Action Items. Will work with individual ALs to ensure compliance.			
PHYSICAL ACCESSIBILITY			
PROVIDERS 98%	STAFF 99%	INDIVIDUALS 99%	
No Statewide Action Items. Will work with individual ALs to ensure compliance.			
AUTONOMY			
PROVIDERS 97%	STAFF 99%	INDIVIDUALS 99%	
No Statewide Action Items. Will work with individual ALs to ensure compliance.			

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NEXT STEPS- INDIVIDUAL REMEDIATION		
CONCEPT AREA	EDUCATION	REVIEW
DIGNITY AND RESPECT	December 2015-October 2016	January 2017
LOCATION	December 2015- January 2017	April 2017
PHYSICAL ACCESSIBILITY	December 2016-April 2017	July 2017
AUTONOMY	December 2015 - July 2017	October 2017

South Dakota Medicaid | HCBS Statewide Transition Plan | May 2015 | 25



QUESTIONS?

We appreciate your time today and look forward to working with you to ensure individuals served by South Dakota's HCBS programs continue to receive quality supports and services in the community
